

Agency Budget Request

FISCAL YEAR 2022–2023



Commissioner of Insurance
165 — Department of Insurance



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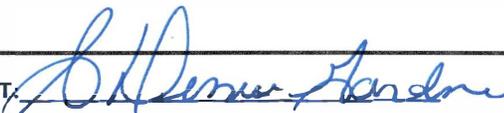
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BUDGET REQUEST

Fiscal Year Ending June 30, 2023

NAME OF DEPARTMENT / AGENCY: DEPARTMENT OF INSURANCE PHYSICAL ADDRESS: 1702 N. THIRD STREET
BUDGET UNIT: COMMISSIONER OF INSURANCE BATON ROUGE, LA
SCHEDULE NUMBER: 04-165 ZIP CODE: 70804-9214
TELEPHONE NUMBER: (225) 342-5423 WEB ADDRESS: www.lidi.la.gov

WE HEREBY CERTIFY THAT THE STATEMENTS AND FIGURES ON THE ACCOMPANYING FORMS ARE TRUE AND CORRECT TO THE BEST OF OUR KNOWLEDGE.

<p>HEAD OF DEPARTMENT: <u></u> PRINTED NAME/TITLE: <u>James J. Donelon, Commissioner</u> DATE: <u>November 1, 2021</u> EMAIL ADDRESS: <u>Jim.Donelon@ldi.la.gov</u></p>	<p>HEAD OF BUDGET UNIT: <u></u> PRINTED NAME/TITLE: <u>Appointing Authority/Chief of Staff</u> DATE: <u>November 1, 2021</u> EMAIL ADDRESS: <u>dgardner@ldi.la.gov</u></p>
<p>PROGRAM CONTACT PERSON: <u>S. Denise Gardner</u> TITLE: <u>Appointing Authority/Chief of Staff</u> TELEPHONE NUMBER: <u>(225) 342-9189</u> EMAIL ADDRESS: <u>dgardner@ldi.la.gov</u></p>	<p>FINANCIAL CONTACT PERSON: <u>Lance L. Herrin</u> TITLE: <u>Deputy Undersecretary, Office of Management & Finance</u> TELEPHONE NUMBER: <u>(225) 342-3981</u> EMAIL ADDRESS: <u>Lance.Herrin@ldi.la.gov</u></p>

Operational Plan

**OPERATIONAL PLAN FORM
AGENCY (BUDGET UNIT) DESCRIPTION**

AGENCY NUMBER AND NAME: 04-165 Commissioner of Insurance

AGENCY MISSION:

The mission of the Louisiana Department of Insurance is to regulate the insurance industry in the state (licensing of producers, insurance adjusters, public adjusters and insurers), and to serve as advocate for the state's insurance consumers.

AGENCY GOAL(S):

Regulate the insurance industry in the state (licensing of producers, insurance adjusters, public adjusters and insurers); serve as advocate for the state's insurance consumers by enforcing existing laws fairly and consistently and by proposing new laws as necessary.

Provide necessary administrative and operational support to the entire department, attract insurers to the state in order to promote a more competitive market, work to stabilize the property insurance market and provide outreach and consumer assistance.

STATEMENT OF AGENCY STRATEGIES FOR DEVELOPMENT AND IMPLEMENTATION OF HUMAN RESOURCE POLICIES THAT ARE HELPFUL AND BENEFICIAL TO WOMEN AND FAMILIES:

The LDI maintains flexible work hours, permitting full time employees to select a supervisor-approved schedule that may begin as early as 7:00 a.m. or end as late as 5:30 p.m. The LDI also permits a 4-day work week of 10½-hour days, or a 4½-day work week, with supervisor and Appointing Authority approval. LDI Policy Memorandum 10 adopts the availability of part-time employment, in accordance with Civil Service Rule 11.2. Additionally, the agency complies with and supports the Family and Medical Leave Act.

Overall, the department believes that when forms of insurance are both available and affordable to the state's citizens, all individuals and business and industry in the state benefit.

**OPERATIONAL PLAN FORM
PROGRAM DESCRIPTION**

PROGRAM NAME: Program A - Administration/Fiscal

PROGRAM AUTHORIZATION:

La. Const. Art. IV, § 11; La. R.S. 36:681-696; La. R.S. 22:2-3; La. R.S. 22:31-33; La. R.S. 22:41; La. R.S. 22:1071; La. R.S. 22:1476; La. R.S. 9:2800.7; La. R.S. 22:2291-2347; La. R.S. 40:1428; and 42 USC 1395b-4.

PROGRAM MISSION:

The mission of the Louisiana Department of Insurance is to regulate the insurance industry in the state (licensing of producers, insurance adjusters, public adjusters and insurers), and to serve as advocate for the state's insurance consumers.

PROGRAM GOAL(S):

Provide necessary administrative and operational support to the entire department, attract insurers to the state in order to promote a more competitive market, work to stabilize the property insurance market and provide outreach and consumer assistance.

PROGRAM ACTIVITY:

Office of the Commissioner: Internal Audit, Public Affairs, and Consumer Advocacy and Diversity, which includes the Senior Health Insurance Information Program.

PROGRAM ACTIVITY:

Office of Management and Finance: Fiscal Affairs; Revenue Services; Information Technology; Human Resources (No indicator set); Administrative Services (No indicator set); Budget (No indicator set); Budget/Purchasing (No indicator set); and Strategic and Operational Planning (No indicator set).

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal

PROGRAM STRUCTURE CHART PROGRAM A - ADMINISTRATION/FISCAL	
Office of the Commissioner	Provides management oversight to the entire department and coordinates the administration of all provisions of the Louisiana Insurance Code - Title 22 of the Louisiana Revised Statutes.
Internal Audit	Assists management by identifying weaknesses and deficiencies in departmental operations and making recommendations for necessary corrective actions. Internal Audit also provides management assistance to divisions upon request. This division also performs special projects, as assigned by the Commissioner through his designee.
Public Affairs	Communicates the Department’s message through printed materials including press releases, brochures, weekly newspaper columns, radio scripts, and newsletter articles; accepts media calls and public information requests; coordinates media interview requests and speaking engagements for the Commissioner and LDI staff; responsible for the Department’s web site content and public e-mail system; edits public information disseminated by the Department; writes Department’s Public Service Announcements; coordinates consumer information booths at conferences, fairs or festivals.
Consumer Advocacy and Diversity	The Consumer Advocacy analyzes the LDI’s consumer complaint records to improve both the regulation of the business of insurance and service to complainants. OCA conducts regular random reviews of complaint case files to determine the existence of patterns of behavior by regulated entities which require further examination by other LDI offices or divisions’ staff. Additionally, OCA provides audit services of compliance staff in Consumer Services to review their effectiveness in protecting consumers’ interests. Through the Division of Diversity and Opportunity, the LDI foster awareness of opportunities in the insurance industry and of the skill, training and education necessary to prepare for employment with insurers, appointment as producers and as service providers of insurers. In addition to its continued outreach efforts pertaining to current insurance trends and topics, the OCA oversees the Senior Health Insurance Program (SHIIP), which provides health-insurance related information to senior citizens and others eligible for Medicare. Since 2012, SHIIP’s duties, under its federal grant expansion, support federal efforts to increase awareness of certain provisions of the Patient Protection and Affordable Care Act of 2010.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal

PROGRAM STRUCTURE CHART PROGRAM A - ADMINISTRATION/FISCAL	
Office of Management and Finance	Oversees the management of the department's fiscal, budget, revenue collections, IT, human resources, purchasing, supplies, equipment, inventory/property control, and administrative services, and is responsible for strategic and operational planning for the entire department.
Fiscal Affairs	Deposits revenue to the State Treasury, handles accounts payable and receivable, and travel.
Budget/Purchasing	Manages the department's appropriation by compiling the necessary information for budget development, budget projections, monitoring budget changes affected by expenditures, revenue collections, legislation and mid-year adjustments. Maintains office supply inventory and distribution of supplies; procures stock and special supplies, equipment, furniture and printing services by using ISIS and/or obtaining bids according to state law and purchasing rules and regulations; maintains portable devices for LDI personnel; maintains photocopiers and fax machines; also reviews and verifies all professional and consulting services contracts in accordance with agency policy and procedures and within the laws, rules and regulations of the state.
Revenue Services	Invoices and collects assessments (LA Insurance Rating, Fraud & Administrative Fund), receipts all revenue, classifies all revenue, performs desk examinations of premium tax returns.
Information Technology	Maintains the department's databases and systems, assists various divisions in developing on-line access to certain information and services for the public, insurance industry and department staff.
Human Resources	Manages the department's human resources and building security.
Administrative Services	Maintains records, handles mail and messenger services, building maintenance, fleet management, property control and physical inventory.
Strategic and Operational Planning	Prepares strategic and operational plans for the department, collects, analyzes and reports quarterly performance results, collects information for and writes legislative fiscal notes and fiscal economic and family impact statements; serves as liaison with the Legislative Fiscal Office and House and Senate fiscal affairs committees.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner

II.1 **K** Objective II.1 Through the Office of the Commissioner, to retain accreditation by the National Association of Insurance Commissioners (NAIC).

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: The National Association of Insurance Commissioners (NAIC) is the national organization that exists to promote effective insurance regulation and consumer protection. The Department of Insurance's accreditation remains in effect until suspended or revoked. The NAIC conducts periodic audits to determine if continued accreditation is appropriate. The indicator is expressed as a percentage because LaPAS can accept only numeric expression. Most recently, the NAIC accredited the Louisiana Department of Insurance in August 2020.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
			6389	K	Percentage of NAIC accreditation retained	100	100	100	100

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner

GENERAL PERFORMANCE INFORMATION: Office of Commissioner/NAIC						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
912	Number of licensed domestic insurers ¹	108	105	105	104	101
913	Number of licensed foreign/alien insurance companies ²	1,307	1,323	1,335	1,539	1,348
911	Total number of risk bearing companies licensed and approved	1,762	1,791	1,755	1,771	1,791
25961	Total number of non-risk bearing companies licensed and approved	729	750	694	793	820

¹ Domestic Insurer - domiciled in Louisiana and licensed by the Louisiana Department of Insurance.

² Foreign/Alien Insurer - domiciled in any state of the United States except Louisiana (foreign); domiciled in any country other than the United States (alien). Foreign/Alien insurers are licensed to do business in the State of Louisiana.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner/Internal Audit

II.2 Objective II.2 Through the Internal Audit Division, to identify the adequacy or weaknesses of the department’s internal control processes by performing scheduled internal audits and to assure that there are no repeat findings in the legislative auditor’s report.

Children’s Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: The LDI’s budget generally exceeds the \$30 million threshold contained in the preamble of the Appropriations Bill (HB1), which requires such agencies to allot within their table of organization positions that perform the function of internal auditing. The LDI has numerous staff, supervisors, and managers, who regularly track, reconcile, and inventory assets entrusted to the LDI. These functions include but are not limited to tracking appropriated budget revenue and expenditures, performing annual inventory of movable property, and processing over \$400 million in revenue the LDI collects on behalf of the State of Louisiana. The LDI maintains one position dedicated exclusively to planning and performing internal audits. ACT 314 of the 2015 Regular Legislative Session establishes any agency with an appropriation in the general appropriation bill of 30 million dollars or more shall establish an internal audit function.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
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26377	S	Percentage of audit plan completed.	75%	86%	80%	80%	80%		
26378	S	Percentage of recommendations implemented. ¹	75%	93%	90%	90%	90%		

¹ Indicator name needs to change from number of recommendations implemented to percentage of recommendations implemented. The percentage is what is counted for this indicator.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner/Internal Audit

GENERAL PERFORMANCE INFORMATION: Office of Commissioner/Internal Audit						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
6394	Number of internal audit recommendations accepted	100	100	100	93 ¹	100
6395	Number of repeat findings in the legislative auditor's report	0	0	0	0	0
6393	Number of engagements internal audits performed ³	3	2	2	6	6
887	Number of repeat internal audit findings	0	0	0	4	3

¹ Number of engagements counted not percentage.

² PI 6393 changed the name to clarify what is being counted. Indicator needs to change from number of internal audits performed to number of engagements internal audits performed.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner/Consumer Advocacy and Diversity

II.3 **K** Objective II.3 Through the Office of Consumer Advocacy and Diversity, to receive consumer inquiries and complaints, to provide insurance information, both basic and developing topics, and to ensure the LDI provides consumers the highest quality service.

Children’s Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: During Fiscal Year 2014-2015, the office of consumer advocacy and diversity (OCAD) focused its activities on responding to consumer inquiries, performing quality management audits, and maintaining its role in community outreach. This office also remains available to assist the various divisions within the LDI and will perform a second review of a complaint file, upon request.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
22838	K	Number of community based presentations ¹	60	37	60	60	60		
25337	K	Number of files from other divisions audited	360	316	360	360	360		
25338	K	Percentage of complaint files referred for additional regulatory review, as a result of audit	2	0	2	2	2		
25339	K	Percentage of complaint files leading to additional staff training, as a result of audit	10	10	10	10	10		

¹ This number includes speaking engagements and radio and television show appearances.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner/Consumer Advocacy and Diversity

GENERAL PERFORMANCE INFORMATION: Office of Commissioner/Consumer Advocacy and Diversity						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
22839	Number of inquiries received	1	0	0	0	93
22842	Number of public information packets distributed to consumers	27,588 ¹	12,060	14,282	12,845	1,064
25340	Percentage of LDI complaint files audited	11%	10	11	11	11
26332	Number of consumers assisted by Office of Consumer Advocacy and Diversity division	4598	2010	1137	9,469	5,750

¹ Started counting all information pamphlets that are included in each packet that is distributed to consumers. Many pamphlets are included in one packet. Previous years count was based on packets.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of the Commissioner/Office of Consumer Advocacy and Diversity/Division of Diversity and Opportunity

II.4 Objective II.4 Through the Division of Diversity and Opportunity, within the Office of Consumer Advocacy and Diversity, to foster awareness of opportunities in the insurance industry and of the skill, training and education necessary to prepare for employment with insurers, appointment as producers, and as service providers of insurers.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: LDI conducts informal counseling and educational/training across the state.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES					PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	
24326	S	Number of educational seminars provided by the Division of Diversity and Opportunity	3	3	5	5	5	

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Consumer Advocacy and Diversity/Division of Diversity and Opportunity

GENERAL PERFORMANCE INFORMATION: Office of Commissioner/Division of Diversity and Opportunity						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
13793	Number of persons attending educational or training seminars	213	932	939	933	1,218
25029	Number of minorities receiving services through LDI for training, individual telephone, or email assistance to help obtain employment in the insurance industry or related service companies	103	106	67	55	44
25162	Number of workshops Division of Diversity and Opportunity participated in via invitations	19	23	22	31	34

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner/Consumer Advocacy and Diversity/SHIIP

II.5 **K** Objective II.5 Through the Senior Health Insurance Information Program, to assist senior citizens and others eligible for Medicare with awareness of health insurance programs available to them.

Children’s Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: Centers for Medicare and Medicaid Services (CMS) awards baseline grants to every state for reaching the Medicare population with information about the program and enrollment options; CMS and Administration for Community Living (ACL) sets grant amounts based on achievement of performance measures.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES					PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	
999	K	Number of senior health group presentations, healthfairs and training provided.	650	210	375	375	375	
996	K	Total persons reached through presentations, booth/exhibits. (CMS PM2)	45,000	8,377	30,000	30,000	30,000	
25346	K	Number of client contacts in-person office, telephone all durations and contacts by email, postal or fax (CMS PM1)	86,000	41,718	53,500	53,500	53,500	
25344	S	Total counseling hours provided (CMS PM8)	33,000	9,368	33,000	33,000	33,000	
25345	S	Total number of active SHIIP counselors	75	102	75	75	60	

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Commissioner/Consumer Advocacy and Diversity/SHIIP

GENERAL PERFORMANCE INFORMATION: Office of Consumer Advocacy and Diversity/SHIIP						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
995	Estimated savings to counseled health clients ¹	1,564,837	4,267,808	0 ¹	0 ¹	0 ¹
25401	Number of agencies reporting data	20	20	16	13	9
25403	Number of Medicare beneficiaries in the state, as of September 30	817,689	843,951	867,826	935,173	884,146

¹ Was converted to a new system (STARS) and unable to collect accurate data this FY.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Management and Finance/Fiscal Affairs

II.6 S Objective: II. 6 Through the Fiscal Affairs Division, to deposit revenue to the State Treasury and handle accounts payable and receivable, and travel.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note:

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
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890	S	Total amount of revenue collected from taxes, ¹ assessments, fees, penalties and miscellaneous amounts \$ in millions	1,062.65	1172.95	1,130.62	1,130.62	1,239.29		

¹ Amounts included here are total for the fiscal year, as of the August 15 close.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Management and Finance/Fiscal Affairs

GENERAL PERFORMANCE INFORMATION: Office of Management and Finance/Fiscal						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
898	Number of different tax types collected	7	8	8	8	8
899	Number of different fees and assessments collected ¹	72	71	71	71	71
893	Tax collections as percentage of taxable premiums	2.77	3.28	3.19	3.22	3.36
895	Total amount of Louisiana Insurance Rating assessment collected \$ in millions	82.7	79.8	80.2	75.7	77.5
6397	Total fees collected \$ in millions	25.86	26.87	27.02	37.44	45.04
25813	Total taxes collected \$ in millions	885.86	892.96	914.77	953.77	1,043.52
896	Louisiana Insurance Rating assessment collection as percentage of subject premiums	0.89	0.83	0.00808	0.7260	0.7245
23501	Amount reverted at end of FY \$ in millions ³	13.35	8.73	7.98	9.44	16.35
24327	Administrative fund assessment as percentage of health premium ⁴	0.015	0.023	0.024	0.029	0.023
24328	Fraud assessment as percentage of subject premium ⁵	0.0337	0.0361	0.0031	0.0330	0.0304
25404	LDI budget as percentage of total revenue collected	3.01	3	3.10	3.06	2.86

¹ Count includes only fees for which there were actual collections.

² Was erroneously reported as 1, should be .95.

³ The LDI recommends including this number to identify the amount of money paid each year by the insurance industry for the purpose of regulating the industry but is not available for funding the LDI based on HB1 appropriated levels plus any unexpended budget at EOFY.

⁴ The cost associated with the administration and enforcement of the Health Insurance Portability and Accountability Act, as defined in Louisiana law, is recovered from health insurers through an annual assessment which is deposited in the Administrative Fund. The assessment is authorized in La. R.S. 22:1071 (D), subject to a cap of .05% of assessable premium.

⁵ The costs associated with the investigation, enforcement, public education and public awareness, and prosecution of insurance fraud in this state are funded by an assessment on property, casualty and health insurers. It is distributed \$30,000 to the LDI for costs of collection; \$187,000 to LATIFPA; the remainder divided 75% to the insurance fraud investigation unit of the office of the state police, 15% to the Department of Justice section on insurance fraud, and 10% to the LDI's division of insurance fraud. This assessment is authorized in La. R.S. 40:1428, subject to a cap of .0375% of assessable premium.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Management and Finance/Revenue Services

II.7 Objective: II.7 Through the Revenue Services Division, to collect all assessments and premium taxes due and to perform desk examinations of premium tax returns.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note:

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
901	S	Number of desk examinations performed for tax purposes ¹	4,600	4,768	4,716	4,716	4,700		

¹ LDI examines all tax returns filed. The performance standard represents the number of insurers and brokers expected to file tax returns.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Management and Finance/Revenue Services

GENERAL PERFORMANCE INFORMATION: Office of Management and Finance/Revenue Services						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
891	Taxable premium \$ in billions	25.79	27.23	28.70	29.63	31.02
894	Total premium subject to Louisiana Insurance Rating assessment \$ in billions	9.53	10.16	10.41	10.69	10.67

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program A - Administration/Fiscal
 PROGRAM ACTIVITY: Office of Management and Finance/IT

II.8 Objective II.8 Through the Information Technology Division, to provide maintenance and support of the department's IT systems, databases, and internet access, and to improve consumer and industry service and information access via technology.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note:

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
22835	S	Number of technology projects planned to maximize employee productivity, improve or maintain compatibility with regulated entities, and warehouse data.	4	4	4	4	4		
22836	S	Percentage of planned technology projects reaching completion	100	100	100	100	100		

PROGRAM DESCRIPTION

PROGRAM NAME: Program B - Market Compliance

PROGRAM AUTHORIZATION:

La. Const. Art. IV, § 11; La. R.S. 36:681-696; Louisiana Insurance Code (Title 22); La. R.S. 40:1424; La. R.S. 23:1191-1200.5; and La. R.S. 33:1341-1350.2, and 42USC 1395b-4, La. R.S. 22:972.

PROGRAM MISSION:

The mission of the Louisiana Department of Insurance is to regulate the insurance industry in the state (licensing of producers, insurance adjusters, public adjusters and insurers), and to serve as advocate for the state's insurance consumers.

PROGRAM GOAL(S):

The goals for Program B are the same as the department-wide goal: Regulate the insurance industry in the state (licensing of producers, insurance adjusters, public adjusters and insurers); serve as advocate for the state's insurance consumers by enforcing existing laws fairly and consistently and by proposing new laws as necessary.

PROGRAM ACTIVITY:

Office of Licensing - Consists of Producer Licensing Division, Company Licensing Division, Licensing Call Center and Statutory Deposits. Producer Licensing Division maintains license and records of all producers, surplus lines brokers and adjusters to determine their qualification to conduct business in accordance with Louisiana laws. Company Licensing Division oversees the licensing of foreign and domestic insurance companies, third party administrators, dental referral plans, health maintenance organizations, risk purchasing groups, risk retention groups, vehicle mechanical breakdown insurers and viatical settlement brokers and providers, and discount medical plans, utilization review organizations and independent review organizations. The Licensing call center receives all incoming producer licensing calls and provides information as needed by the consumer. Statutory Deposits receives, reviews and maintains various types of deposits required by the Insurance Code in order for a company to comply with its licensing standards.

PROGRAM ACTIVITY:

Office of Health, Life and Annuity - Consolidates the regulation of state and federal requirements applicable to commercial and government-operated health benefit plans. Provides protection to Louisiana consumers; assures continued viability of health, life, annuity and viatical settlement plans. The Office of Health, Life and Annuity consists of three regulatory divisions (Health Forms, Life and Annuity and premium rate review) and an advisory commission, the Louisiana Health Care Commission. The Health Forms Division, reviews and approves/disapproves contract/policy forms, advertising and, where authorized, rates. The staff reviews all filings for compliance with applicable statutes, rules and regulations and implements approvals made by the Interstate Insurance Product Regulation Compact. Life and Annuity and Long Term Care Division reviews and approves/disapproves contract/policy forms, rates for long-term care and acknowledges advertising where authorized. The staff reviews all filings for compliance with applicable statutes, rules and regulations for life insurance, annuities, long-term care insurance and viatical settlement products and implements approvals made by the Interstate Compact Product Regulation Compact. Premium Rate Review Performs review of health premium rates for small group or individual market. The review involves an actuarial process to determine if the premium rate increases are in compliance with state and federal law. Health Care Commission engages representatives of the health insurance and health care delivery systems to recommend public policies and perform activities which extend access to health care.

PROGRAM ACTIVITY:

Office of Financial Solvency - Analyzes and examines the financial condition of all insurers approved to conduct the business of insurance in Louisiana. The type of regulated insurer varies and includes Louisiana domiciled (domestic), out of state (foreign) and out of country (alien) insurers. These companies may operate as life, health, property and casualty, health maintenance organizations, surplus lines, self-insurance funds (primarily workers' compensation insurance), and vehicle mechanical breakdown companies. The Office of Financial Solvency plans, coordinates and administers the rehabilitation and liquidation of insolvent insurers pursuant to Part XVI and other applicable statutes contained in the Louisiana Insurance Code and under the supervision of the 19th Judicial District Court of Louisiana.

PROGRAM ACTIVITY:

Office of Property and Casualty -Regulates insurance matters relative to the lines of coverage that are considered property and casualty lines. OPC consists of two regulatory divisions and an advisory commission. Insurance Policy Forms Division reviews, approves and/or disapproves contract forms submitted by insurers. The forms are reviewed by Division staff for compliance with applicable statutes, rules and regulations. Insurance Rating Division reviews, approves and/or disapproves all manual rates and rules that are submitted to the Commissioner of Insurance for approval. By statute, the rates submitted by insurers are reviewed by the Division and staff actuaries to ensure that rates are not excessive, inadequate or unfairly discriminatory. The rates and rules submitted for review must be approved by the Commissioner of Insurance prior to implementation by an insurer. Louisiana Property and Casualty Insurance Commission (LPCIC) studies and provides recommendations to the Legislature on issues relative to automobile, homeowners and workers' compensation insurance.

PROGRAM ACTIVITY:

Division of Legal Services - Acts as the legal counsel and enforcement arm of the Department. Its functions include drafting regulations, directives, bulletins and advisory letters; preparing and monitoring legislation; representing the Department in litigation before federal, state courts, and the Division of Administrative Law; enforcing insurance regulatory laws in administrative hearings; and assisting Department staff by providing legal advice on policy forms and any other insurance related matters. It also serves as a liaison between the Department and other federal, state and local government departments, agencies and commissions as well as insurance companies, producers and consumers.

PROGRAM ACTIVITY:

Division of Insurance Fraud - Investigates all instances of alleged or suspected fraud committed by or upon insurance producers, brokers and companies. The Division of Insurance Fraud assists local, state and federal authorities in fraud investigations, as necessary, and cooperates with industry associations and organizations in the investigation and prevention of fraud. The Enforcement section coordinates and records the civil or regulatory actions and fines of the department to ensure that departmental rules and regulations, state corporate laws and the laws contained in the Louisiana Insurance Code are followed. The Louisiana Auto Theft and Insurance Fraud Prevention Authority (LATIFPA) is a public agency within the Division of Insurance Fraud, whose purpose is to combat motor vehicle insurance fraud, including fraud by theft and other criminal acts. The Louisiana Legislature also established the Automobile Theft and Insurance Fraud Prevention Authority Fund within the LATIFPA, which collects and disburses funds through a grant process to combat motor vehicle theft and insurance fraud.

PROGRAM ACTIVITY:

Office of Consumer Services - Consists of Market Conduct and Consumer Complaints. Market Conduct Performs market conduct examinations and analysis of insurers and examinations of producers to assure that policyholders, claimants and beneficiaries are being treated fairly and in line with laws, rules and regulations. The Consumer Complaints monitors all the marketing, customer service and claims handling practices of health, property and casualty, and life and annuity insurance issuers and producers conducting business in the state of Louisiana. Additionally, this Division provides information, advice and assistance to consumers and industry representatives by responding to inquiries, making public presentations and supplying pamphlets and brochures to interested parties.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: 04-165 Department of Insurance
 PROGRAM ID: Program B - Market Compliance

PROGRAM STRUCTURE CHART Program B - Market Compliance	
Office of Licensing	Oversees the licensing of producers and insurers in the state.
Licensing Call Center	Receives all incoming producer licensing calls and provides information as needed by the consumer. Examples: Status of all types of applications and reinstatements, address changes, name changes, affiliations updates and importing continuing education. Explaining the steps to get a resident license.
Producer Licensing	Maintains license, records and company appointments of all producers, surplus lines brokers and adjusters to determine their qualification to conduct business in accordance with Louisiana laws.
Company Licensing	Oversees the licensing of foreign and domestic insurance companies, third party administrators, dental referral plans, health maintenance organizations, risk purchasing groups, risk retention groups, vehicle mechanical breakdown insurers and viatical settlement brokers and providers, discount medical plans, utilization review organizations and independent review organizations.
Statutory Deposits	Receives, reviews and maintains various types of deposits required by the Insurance Code in order for a company to comply with its licensing standards.
Office of Health, Life and Annuity	Consolidates the regulation of state and federal requirements applicable to commercial and government-operated health benefit plans.
Forms Review	Reviews and approves/disapproves contract/policy forms, advertising and, where authorized, rates. The staff reviews all filings for compliance with applicable statutes, rules and regulations and implements approvals made by the Interstate Insurance Product Regulation Compact.
Premium Rate Review	Performs review of health premium rates for small group or individual market. The review involves an actuarial process to determine if the premium rate increase are in compliance with state and federal law.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: 04-165 Department of Insurance
 PROGRAM ID: Program B - Market Compliance

PROGRAM STRUCTURE CHART Program B - Market Compliance	
Life & Annuity	The Life , Annuity and Long Term Care Division enforces the provisions of the Louisiana Insurance Code pertaining to life insurance, annuities, and long-term care products. Review for approval of life insurance, annuity, and long-term care contract forms that insurers propose to market in this state and implements approvals made by the Interstate Insurance Product Regulation Compact.
Health Care Commission	Engages representatives of the health insurance and health care delivery systems to recommend public policies and perform activities which extend access to health care.
Office of Financial Solvency	Monitors the financial health of regulated entities through statutorily mandated field examinations and annual financial analysis. Provides actuarial expertise in the Life, Health and Property and Casualty units.
Financial Examinations	Performs financial examinations (field) and financial analysis of regulated entities to monitor their financial well-being and takes remedial action as necessary.
Actuarial Services	Provides actuarial expertise in the Life, Health and Property and Casualty units. The Life and Health Actuarial Unit provides actuarial expertise in the determination of the adequacy of the reserve liabilities and rates established by life and health insurers and health maintenance organizations. The Property and Casualty Actuarial Unit provides similar actuarial and reinsurance expertise to the examination and analysis of property and casualty insurers, title insurers, vehicle mechanical breakdown companies and self-insurance funds. The Actuarial Division provides actuarial analysis of insurance legislation.
Office of Property & Casualty Insurance	Regulates insurance matters relative to the lines of coverage that are considered property and casualty lines. OPC consists of two regulatory divisions and an advisory commission.
Property and Casualty Rating	Reviews, approves and/or disapproves all manual rates and rules that are submitted to the Commissioner of Insurance for approval. By statute, the rates submitted by insurers are reviewed by the Division and staff actuaries to ensure that rates are not excessive, inadequate or unfairly discriminatory. The rates and rules submitted for review must be approved by the Commissioner of Insurance prior to implementation by an insurer.
Forms Review	Reviews, approves and/or disapproves contract forms submitted by insurers. The forms are reviewed by Division staff for compliance with applicable statutes, rules and regulations.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: 04-165 Department of Insurance
 PROGRAM ID: Program B - Market Compliance

PROGRAM STRUCTURE CHART Program B - Market Compliance	
LA Property & Casualty Insurance Commission	Studies and provides recommendations to the Legislature on issues relative to automobile, homeowners and workers' compensation insurance.
Division of Legal Services	Acts as the legal counsel and enforcement arm of the Department. Its functions include drafting regulations, directives, bulletins and advisory letters; preparing and monitoring legislation; representing the Department in litigation before federal, state courts, and the Division of Administrative Law; enforcing insurance regulatory laws in administrative hearings; and assisting Department staff by providing legal advice on policy forms and any other insurance related matters. It also serves as a liaison between the Department and other federal, state and local government departments, agencies and commissions as well as insurance companies, producers and consumers.
Division of Insurance Fraud	Investigates all instances of alleged or suspected fraud committed by or upon insurance producers, brokers and companies.
Fraud	The Division of Insurance Fraud assists local, state and federal authorities in fraud investigations, as necessary, and cooperates with industry associations and organizations in the investigation and prevention of fraud.
La Auto Theft & Insurance Fraud Prevention Authority	The Louisiana Auto Theft and Insurance Fraud Prevention Authority (LATIFPA) is a public agency within the Division of Insurance Fraud, whose purpose is to combat motor vehicle insurance fraud, including fraud by theft and other criminal acts. The Louisiana Legislature also established the Automobile Theft and Insurance Fraud Prevention Authority Fund within the LATIFPA, which collects and disburses funds through a grant process to combat motor vehicle theft and insurance fraud.
Enforcement	Enforcement coordinates and records the civil or regulatory actions and fines of the department to ensure that departmental rules and regulations, state corporate laws and the laws contained in the Louisiana Insurance Code are followed.
Office of Consumer Services	Consists of Market Conduct and Consumer Complaints. Additionally, this Division provides information, advice and assistance to consumers and industry representatives by responding to inquiries, making public presentations and supplying pamphlets and brochures to interested parties.
Market Conduct	Performs Market Conduct examinations and analysis of insurers and examinations of producers to assure that policyholders, claimants and beneficiaries are being treated fairly and in line with laws, rules and regulations.

DEPARTMENT ID: 04-165 Department of Insurance
AGENCY ID: 04-165 Department of Insurance
PROGRAM ID: Program B - Market Compliance

PROGRAM STRUCTURE CHART Program B - Market Compliance	
Consumer Complaints	The Consumer Complaints monitors all of the marketing, customer service and claims handling practices of health, property and casualty, and life and annuity insurance issuers and producers conducting business in the state of Louisiana.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Licensing/Producer

I.1 **K** Objective I.1 Through the Office of Licensing, to oversee the licensing of producers and adjusters in the state and to work with the Information Technology division to effect a smooth transition to the ecommerce environment.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: A company appointment is the contract between the producer and the insurance company that allows the producer to offer the company's products to his/her clients; company appointments must be made each year. Licenses are issued for a two year period to Property & Casualty producers and in alternating years to Life and Health producers.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
6417	K	Number of producer license renewals processed	64,500	73,699	64,500	64,500	72,000		
934	K	Number of company appointments processed	700,000	868,305	725,000	725,000	850,000		
25030	K	Number of adjuster renewals processed ¹	40,000	41,497	42,500	42,500	42,500		
25986	K	Percentage of all problematic applications and requests processed within 5 days	60%	62	60%	60%	60%		

¹ Adjuster renewals are biennial on a calendar year cycle.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Licensing and Compliance/Producer

GENERAL PERFORMANCE INFORMATION: Office of Licensing/Producer						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
933	Total number of licensed producers	129,960	142,738	150,344	186,570	178,702
24330	Total number of adjusters	72,007	80,305	91,201	108,409	103,377
6416	Number of new producer licenses issued	26,908	30,084	31,962	32,602	49,115
25031	Number of new adjuster licenses issued.	17,335	19,349	19,016	16,236	18,962
25814	Percentage of first time applications submitted electronically	99.2%	99.4%	99.7%	99.80%	99.80%
25815	Percentage of renewal applications submitted electronically	99.3%	99.4%	99.8%	99.96%	99.97%

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Licensing/Company Licensing

I.2 **K** Objective I.2 Through the Company Licensing Division of the Office of Licensing, to review applications for all license or registration types, other than insurance producers and adjusters, required to be filed with and approved by the Department of Insurance.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note:

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
22844	K	Average number of days to complete review of ¹ Certificate of Authority and health maintenance organization applications	60	56	60	60	60		
22845	K	Average number of days to review all other licensing and registration applications ²	50	26	50	50	50		
22846	K	Average number of days to complete processing of requests for Certificate of Compliance or No Objection Letter ³	25	9	25	25	25		
22847	K	Percentage of all applications and requests processed within the performance standard	75	85	75	75	75		

¹ As of FY 2012-2013 and as a result of adoption of federal NRRRA, this indicator no longer includes applications for surplus lines approvals for foreign/alien insurers.

² "All other" consists of applications for vehicle mechanical breakdown insurers, property residual value insurers, risk purchasing groups, risk retention groups, viatical settlement brokers, viatical settlement providers, viatical settlement investment agent, discount medical plans, utilization review organizations, independent review organizations, dental referral plans, dissolution of domestic insurers and other applications.

³ Includes applications for Certificate of Compliance, Letters of No Objection for insurers to expand to other states, amendments to articles of incorporation for domestic and foreign/alien insurers, mergers of foreign insurers.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Licensing/Company Licensing

GENERAL PERFORMANCE INFORMATION: Office of Licensing/Company Licensing						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
940	Number of company licensing applications and filings received	580	520	614	544	593
941	Number of company licensing applications and filings processed	471	536	530	602	520

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Health, Life and Annuity/Health Forms

I.3 **K** Objective I.3 Through the Health Forms Division, to review for compliance with state and federal regulations, all fully-insured health policy forms/contracts, Medicare supplement rates, URO/IRO applications renewals and annual reports and discount medical plan applications and renewals within the performance standard.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: All fully insured policy forms/contracts must be reviewed and approved by LDI before they can be offered for sale in the state. Delays in the process can result in insurers not being able to timely offer those products to consumers. Good efficiency in this area is important to our department-wide efforts to attract more companies to do business in the state.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES					PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	
12290	K	Average number of days to process health form filing reviews. ¹	30	30	30	30	30	
22857	K	Percentage of health filing reviews completed within the performance standard of 30 days.	75	56	60	60	60	

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Health, Life and Annuity/Health Forms

GENERAL PERFORMANCE INFORMATION: Office of Health, Life and Annuity/Health Forms						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
986	Number of health policy forms/contracts, Medicare supplement rates and discount medical plan applications and renewals received.	5,055	7,553	5,324	5,378	6,041
10212	Number of health policy forms/ contracts, Medicare supplement rates and discount medical plan applications and renewals processed.	5,571	7,516	5,446	5,614	6,003
985	Percentage of health form filings approved.	94%	80%	93%	88%	93%
25408	Number of disability forms approved through the Interstate Insurance Product Regulation Compact (IIPRC).	253	149	189	126	103
25987	Number of Utilization Review Organization (URO) applications received	15	7	12	19 ¹	8
25988	Number of Utilization Review Organization (URO) applications processed	20	6	11	15	7
25990	Number of Independent Review Organizations (IRO) applications received (new and renewal) ²	6	1	2	3	2
25991	Number of Independent Review Organizations (IRO) applications processed (new and renewal) ²	6	1	2	3	2

¹ Was erroneously reported in LaPAS as 14; should be 19.

² Act No 14 of the 2017 Regular Legislative Session repeals the requirement for Independent Review Organizations to file an application for renewal effective August 1, 2017.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Health, Life and Annuity/Life, Annuity, LTC Forms

I.4 **K** Objective I.4 Through the Life, Annuity, and Long-Term Care Forms Division, to review and issue a final decision on all forms, advertising, and long-term care rates in a timely manner.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: All forms must be reviewed and approved by LD1 before they can be offered for sale in the state unless approved through the Interstate Insurance Product Regulation Compact. Delays in the process can result in consumers not having access to those products and to insurers being able to sell those products. Good efficiency in this area is important to our department-wide efforts to attract more companies to do business in the state.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
13988	K	Average number of days to process life, annuity and long-term care forms, advertising and rates, which require pre-approval	45	37	45	45	45		
22849	K	Percentage of life, annuity and long-term care forms, advertising and rate reviews completed within the performance standard	50	72	50	50	50		

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Health, Life and Annuity/Life, Annuity & LTC Forms review

GENERAL PERFORMANCE INFORMATION: Office of Health, Life and Annuity/Life, Annuity and LTC Forms review						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
13987	Percentage of life, annuity and long-term care forms, advertising and rates which require pre-approval approved	91%	95%	92%	94%	91%
13990	Number of life, annuity and long-term care forms, advertising and rates received	3,240	4,097	5,193	4,007 ¹	3,886
13991	Number of life, annuity and long-term care forms, advertising and rates processed	3,673	4,064	4,970	5,056 ²	3,928
25406	Number of life, annuity and long-term care forms, advertising and rates filed for informational purposes only	587	470	543	503	470
25407	Number of life, annuity and long-term care forms approved through the Interstate Insurance Product Regulation Compact (IIPRC)	1,721	2,616	3,116	3,203	2,350

¹ Was erroneously reported in LaPAS as 4004; should be 4007

² Was erroneously reported in LaPAS as 4421; should be 5056.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Health, Life and Annuity/Premium Rate Review

I.5 **K** Objective I.5 Through the Health Division, to review initial premium rate filings and premium rate changes for small group or individual market.

Children’s Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: Explanatory Note: The Health Premium Rate Review Division began the review of certain health insurance rates in the small group and individual markets effective January 1, 2014. The division performs a detailed analyses and review of these rate filings. The LDI does not have approval authority over health insurance rates. The LDI does have statutory authority to review rates for compliance with both federal and state law, review rate increases that are ten percent or more to determine whether the increase is reasonable and actuarially justified, and post on the LDI website any proposed rate increase that meets or exceed the ten percent federal threshold and undertake any other actions necessary pursuant to Section 2794 of the Public Health Service Act. Note: Small group health plan is for insurance through an employer with 50 or fewer eligible employees. Individual market health plan is for someone who is buying insurance directly from an insurance company.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
25847	K	Average number of days to process health rate reviews.	70	56	60	60	60		

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Health/Premium Rate Review

GENERAL PERFORMANCE INFORMATION: Office of Health, Life and Annuity/Premium Rate Review						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
25962	Number of health review rate filings received.	57	45	49	47	52
25963	Number of health review rate filings processed.	67	50	47	44	48

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Financial Solvency

I.6 **K** Objective I.6 Through the Office of Financial Solvency, to monitor the financial soundness of regulated entities, to provide assurance that premium tax owed is remitted, and to manage estates of companies in receivership.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: The department has an aggressive program of financial examinations and analyses. A field examination takes place on-site and is required by statute to be no less frequent than once every five years. Analysis occurs in the department, using various filings, results of previous and current examinations, complaints and other data to determine if a company merits examination sooner than its scheduled date. By law, a company can be examined more frequently than every five years when indicated. This system allows for earlier detection of problems and earlier remediation. A market conduct examination may be conducted in concert with a financial examination or without connection to a financial examination. Complaints may trigger a market conduct examination. Because of the NAIC accreditation process, the office is able to rely on financial analyses and examinations by accredited insurance departments in a foreign insurer's domiciliary state.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
11939	K	Percentage of filings by domestic companies analyzed - financial	100	96	100	100	100		

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Financial Solvency

GENERAL PERFORMANCE INFORMATION: Office of Financial Solvency						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
6410	Number of companies examined - financial	17	33	28	15	31
11938	Percentage of domestic companies examined- financial	13	25	21	11	25
25409	Number of financial examinations coordinated ¹ with other states	2	9	7	2	16
6412	Number of companies analyzed - financial	195	227	176	198	208
22850	Number of filings of domestic companies analyzed	694	681	678	742	712
13768	Number of companies in administrative supervision at beginning of fiscal year	1	2	5	3	3
921	Number of companies placed in administrative supervision during fiscal year	2	3	1	0	0
922	Number of companies returned to good health/removed from administrative supervision during fiscal year	0	0	0	0	1
12273	Number of companies in receivership at beginning of fiscal year	7	7	6	5	8
904	Number of companies in receivership brought to final closure	1	1	0	1	0
24329	Average number of months estates currently in receivership have been held in receivership	27	32	41	45	56

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Property and Casualty/Forms

I.7 **K** Objective I.7 Through the P&C Forms Division, to pre-approve or disapprove all contract forms for insurers' use with consumers within 30 days.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: All contract/policy forms must be reviewed and approved by LDI before they can be offered for sale in the state. Delays in the process can result in consumers not having access to those products and to insurers not being able to sell those products. Good efficiency in this area is important to our department-wide efforts to attract more companies to do business in the state.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
13939	K	Average number of days to process property & casualty contract and policy forms	30	11	30	30	30		
22852	K	Percentage of property & casualty contract and policy forms reviews completed within the performance standard	75	70	75	75	75		

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Property and Casualty/Forms

GENERAL PERFORMANCE INFORMATION: Office of Property and Casualty/Forms						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
13942	Number of property & casualty contract and policy forms received	21,649	23,559	20,489	17,669	18,228
13943	Number of property & casualty contract and policy forms processed	21,591	24,454	20,935	17,404	18,442
13940	Percentage of property & casualty contract and policy forms approved	71%	77%	77%	79%	87%
24953	Percentage of property & casualty contract and policy forms disapproved	12%	11%	10%	14%	7%

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Property and Casualty/Rate

I.8 **K** Objective I.8 Through the P&C Rating Division, to process rate change requests in a timely manner.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: Elimination of the Louisiana Insurance Rating Commission, which met only monthly, significantly reduces the average number of total days from submission to decision.

LaPAS PI E CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
13945	S	Average number of days from receipt of filing/submission by Office of Property & Casualty to referral to actuarial staff	16	18	16	16	16		
13949	S	Average number of days from receipt of filing/submission by actuary from Office of Property & Casualty support staff to actuary's recommendation	12	19	12	12	12		
20282	S	Average number of days from receipt of rate filing/submission to final action by LDI ¹	28	40	28	28	28		

¹ The average number of days from receipt of rate filing/submission to final action by LDI is the total number of days from receipt of filing/submission by Office of Property & Casualty to actuarial staff recommendation and finalize with Office of Property & Casualty. The total days may include additional days that were necessary for review by staff or if it includes extra days over a weekend/holiday.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Property and Casualty/Rating

GENERAL PERFORMANCE INFORMATION: Office of Property and Casualty/Rating						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
974 ¹	Total written premium (property, casualty, surety & inland marine) subject to Louisiana regulation and/or taxation, \$ in billions (includes Surplus Lines and Residual Market) ²	11.07	11.58	12.11	12.54	12.69
22853 ¹	Total written premium (property, casualty, surety & inland marine) classified as surplus lines \$ in billions	0.94	0.95	1.02	1.15	1.30
22854 ¹	Total written premium (property, casualty, surety & inland marine) classified as residual market \$ in millions ²	184.33	161.03	160.77	142.04	137.08
971 ³	Number of submissions reviewed by actuary	631	748	688	859	706
22855 ³	Average percentage change in rates at fiscal year end	4.65%	3.46%	0.18%	-1.56%	1.23%

¹ Total is for calendar year ending during fiscal year.

² Written premium in residual market includes premium reported by Louisiana Citizens Property Insurance and LWCC, but excludes Louisiana Automobile Insurance Plan and group self-insurance for workers' compensation since the data is not provided to the Department of Insurance.

³ Total is counted on a fiscal year period.

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Division of Insurance Fraud

I.9 Objective I.9 Through the Division of Insurance Fraud, to reduce incidences of insurance fraud in the state through screening of licensees, investigations of reported incidents, and consumer awareness.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: An initial investigation means a complaint is investigated to determine whether a full investigation should be initiated, or if the report should be entered into the database and maintained for possible additional investigation later. LDI performs biographical background checks on the officers, directors, persons who direct the company on a daily basis and owners of 10% or more of the company. Background checks on producers are limited to those who disclose they have been charged or convicted of a crime or who had an action taken against him/her by an insurance department, security regulator or other administrative entity.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
12276	K	Percentage of initial claim fraud complaint investigations completed within 10 working days	90	99	90	90	95		
12278	K	Percentage of background checks completed within 15 working days	90	100	90	90	95		

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Division of Insurance Fraud

GENERAL PERFORMANCE INFORMATION: Division of Insurance Fraud						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
962	Number of background checks performed for company and producer licensing divisions	988	1,151	1,007	1,024	1,003
12282	Number of claim fraud investigations opened	3,872	2,043	2,280	2,412	2,563
959	Number of claim fraud investigations referred to law enforcement	1,428	1,204	1,023	1,185	1,606
12279	Number of producer investigations opened ¹	119	108	103	168 ²	119
12281	Number of producer investigations referred to law enforcement ¹	6	1	7	10	41
24332	Number of company investigations opened	16	13	19	13	30
24333	Number of company investigations referred to law enforcement	1	0	0	1	6
23502	Number of claims adjuster investigations opened	1	4	2	5	30
24334	Number of claims adjuster referred to law enforcement	0	0	1	1	9
25410	Number of POST certified fraud investigators ³	4	4	4	4	4

¹ This indicator has changed from counting producer and company investigations to counting only producer investigations. New separate indicators are added to count company investigations separately.

² Was erroneously reported in LaPAS Reported as 171 and should be 168.

³ [Authority to commission fraud investigators who are POST certified to carry firearms passed by legislature in 2013 Regular Session, SB 180. Act 217 became effective August 1, 2013.](#)

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B- Market Compliance
 PROGRAM ACTIVITY: Office of Consumer Services

I.10 **K** Objective I.10 Through the Office of Consumer Services, to investigate to conclusion consumer complaints against insurers and producers within 42 days, to analyze complaint trends, and to examine regulated entities' conduct in the market.

Children's Budget Link: N/A
 Human Resource Policies Beneficial to Women and Families Link: N/A
 Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): N/A

Explanatory Note: A consumer complaint may or may not result in a claim payment or premium refund from the insurer or producer, depending on the facts of the matter giving rise to the complaint. Complaints are investigated to determine whether the insurer or producer performed in compliance with the law and the terms and conditions of the policy. A consumer may expect payment for an item not covered by the policy or may not agree with the way a transaction was handled, but the company or producer may have acted properly and within the law. Until January 1, 2015, PI 22859 will continue to count average number of days to process MNRO annual reports; on this date, MNROs are repealed and IRO licensees will fill the role of independent review. (UROs are not required to file annual reports.)

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2020-2021	ACTUAL YEAREND PERFORMANCE FY 2020-2021	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2021-2022	EXISTING PERFORMANCE STANDARD FY 2021-2022	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2022-2023	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2022-2023	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023
11937	K	Number of companies analyzed - market conduct	40	40	40	40	40		
13958	K	Average number of days to conclude a life, annuity, or long-term care complaint investigation.	42	22	35	35	35		
22848	K	Percentage of life, annuity, and long-term care complaint investigations completed with the performance standard.	70	92	70	70	75		
987	K	Average number of days to conclude a health insurance complaint investigation	42	25	35	35	35		
22856	K	Percentage of health complaint investigations concluded within the performance standard	70	84	70	70	75		
10204	K	Average number of days to conclude a property & casualty complaint investigation	42	37	35	35	35		
25032	K	Percentage of property & casualty complaint investigations concluded within the performance standard	70	70	70	70	75		

DEPARTMENT ID: 04-165 Department of Insurance
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Consumer Services

GENERAL PERFORMANCE INFORMATION: Office of Consumer Services						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2016-17	PRIOR YEAR ACTUAL FY 2017-18	PRIOR YEAR ACTUAL FY 2018-19	PRIOR YEAR ACTUAL FY 2019-20	PRIOR YEAR ACTUAL FY 2020-21
13960	Number of life, annuity, and long-term care complaints received	645	628	445	414	380
13961	Number of life, annuity, and long-term care complaint investigations concluded	669	626	467	412	406
13959	Amount of claim payments and premium refunds recovered for life, annuity, and long-term care complainants ¹	1,198,199	3,637,205	1,734,170	2,889,817	2,413,693
6424	Number of health complaints received	1,072	818	1,021	805	692
6425	Number of health complaint investigations concluded	1,091	849	1,052	792	732
989	Amount of claim payments and/or premium refunds recovered for health coverage complaints	1,716,823	884,480	712,450	787,313	593,461
24331	Amount of claim payments/premium refunds recovered from self-insured plans	52,304	3,728	0	0	0
14211	Number of property & casualty complaints received	2,257	1,896	1,807	1,771	3,541
14212	Number of property & casualty complaint investigations concluded	2,296	1,875	1,917	1,782	3,253
954	Amount of claim payments and/or premium refunds recovered for property & casualty complainants	4,917,609	2,797,560	4,249,396	4,563,676	53,972,495
26333	Number of regulatory reviews performed in market conduct. (NEW)	26	21	80	68	105

¹ The life, annuity and long-term care recovery amounts include claim benefit amounts, premium refunds, coverage amounts extended, and other gains of this nature which are recovered as a result of the intervention of LDI on behalf of consumers.

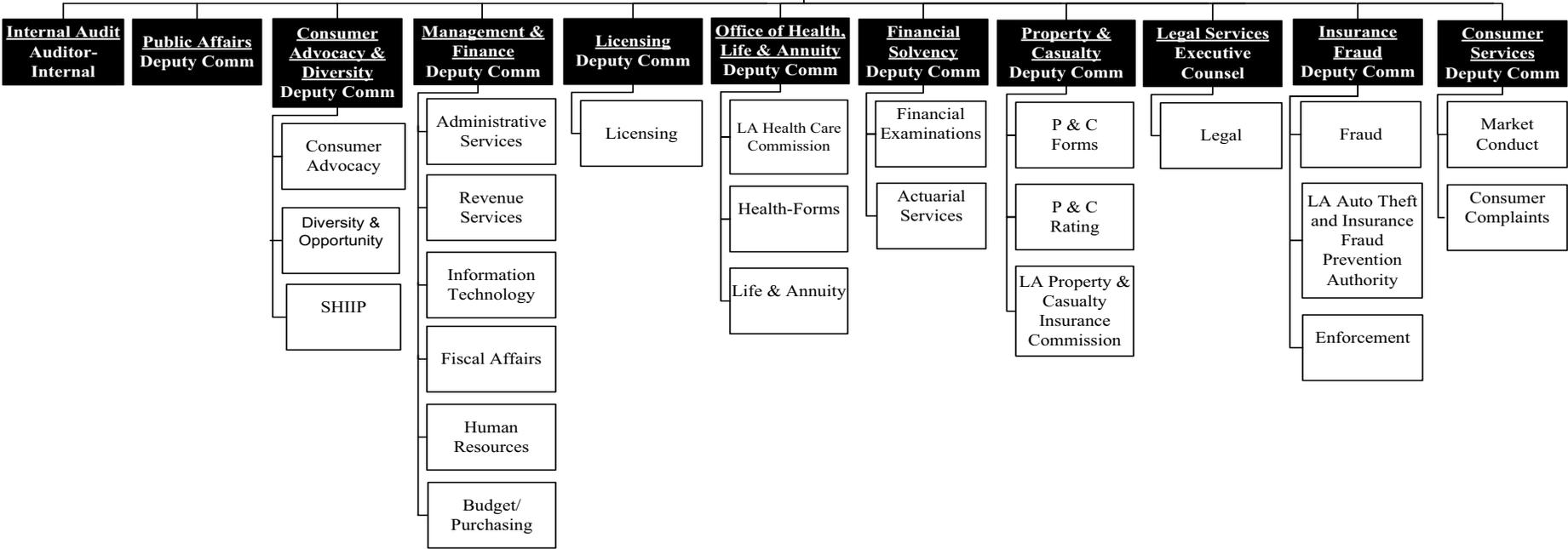
DEPARTMENT ID: 04-165 Department of
 AGENCY ID: Commissioner of Insurance
 PROGRAM ID: Program B - Market Compliance
 PROGRAM ACTIVITY: Office of Property and Casualty

Louisiana's Homeowners Market (Top 20 in 2020)					
Rank	Company Name	2020 Direct Written Premium	2019 Direct Written Premium	2020 Market Share	2019 Market Share
1	State Farm Fire & Cas Co	\$487,412,633	\$483,423,298	23.66%	24.74%
2	Louisiana Farm Bureau Mut Ins Co	\$93,286,950	\$92,585,763	4.53%	4.74%
3	Allstate Ins Co	\$87,066,612	\$92,685,174	4.23%	4.74%
4	Progressive Property Insurance Company	\$80,282,066	\$59,848,616	3.90%	3.06%
5	United Property & Casualty Insurance Company	\$70,136,248	\$60,354,378	3.40%	3.09%
6	Allstate Ins Co	\$61,439,633	\$64,491,044	2.98%	3.30%
7	Lighthouse Excalibur Insurance Company	\$56,347,626	\$28,016,258	2.73%	1.43%
8	United Serv Automobile Assn	\$50,805,245	\$50,040,187	2.47%	2.56%
9	Allstate Vehicle and Property Insurance Company	\$46,882,991	\$39,632,066	2.28%	2.03%
10	Fednat Insurance Company	\$46,807,218	\$43,353,377	2.27%	2.22%
11	Southern Fidelity Insurance Company (*23)	\$43,207,642	\$24,945,771	2.10%	1.28%
12	Liberty Personal Ins. Co	\$42,662,137	\$39,775,544	2.07%	2.04%
13	Safeco Insurance Company of Oregon	\$39,909,491	\$42,558,783	1.94%	2.18%
14	Maison Ins Co	\$34,510,569	\$32,425,025	1.67%	1.66%
15	Shelter Mut Ins Co	\$34,463,334	\$33,869,998	1.67%	1.73%
16	Geovera Specialty Ins Co	\$33,675,411	\$35,606,765	1.63%	1.82%
17	USAA Cas Ins Co	\$33,647,927	\$32,170,747	1.63%	1.65%
18	Foremost Ins. Co Grand Rapids MI	\$32,797,267	\$31,546,052	1.59%	1.61%
19	Centauri Specialty Ins. Co.	\$32,768,566	\$30,654,627	1.59%	1.57%
20	Allied Trust Insurance Company (*28)	\$30,162,012	\$17,946,337	1.46%	0.92%
Total premiums for Top 20		\$1,438,271,578	\$1,335,929,810	69.80%	68.37%
All Others		622,136,669	618,181,754	30.20%	31.63%
Total Direct Written Premiums		\$2,060,408,247	\$1,954,111,564	100.00%	100.00%

Data Source: <https://www.ldi.la.gov/onlineservices/TopTwentyPremiums/> Companies marked with * were not in the Top 20 at year end 2019. Their ranking for 2019 appears numerically after the asterisk.

**Commissioner of Insurance
James J. Donelon**

**Chief Deputy Commissioner
Nicholas J. Lorusso**



**OPERATIONAL PLAN FORM
OPERATIONAL PLAN ADDENDA**

ORGANIZATION AND PROGRAM STRUCTURE CHARTS CHECKLIST:

Organization Chart Attached: YES

Program and Activity Structure Chart Attached: YES

OTHER: List any other attachments to operational plan.

1. Louisiana Homeowners Market

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Budget Request Overview

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	29,645,729	32,770,301	35,599,184	2,828,883	8.63%
STATUTORY DEDICATIONS	857,786	936,271	—	(936,271)	(100.00)%
FEDERAL FUNDS	366,289	717,475	804,713	87,238	12.16%
TOTAL MEANS OF FINANCING	\$30,869,804	\$34,424,047	\$36,403,897	\$1,979,850	5.75%

Fees and Self-Generated

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Fees & Self-Generated	28,690,572	31,548,882	33,429,060	1,880,178	5.96%
Administrative Fund - DOI	955,157	1,221,419	1,221,419	—	—
Insurance Fraud Investigation Fund	—	—	721,705	721,705	—
Auto. Theft and Insurance Fraud Prev.	—	—	227,000	227,000	—
Total:	\$29,645,729	\$32,770,301	\$35,599,184	\$2,828,883	8.63%

Statutory Dedications

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Insurance Fraud Investigation Fund	683,011	709,271	—	(709,271)	(100.00)%
Auto. Theft and Insurance Fraud Prev.	174,775	227,000	—	(227,000)	(100.00)%
Total:	\$857,786	\$936,271	—	\$(936,271)	(100.00)%

Agency Expenditures

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Salaries	14,980,740	15,469,341	16,587,253	1,117,912	7.23%
Other Compensation	133,225	214,942	427,660	212,718	98.97%
Related Benefits	8,377,785	8,744,875	9,474,197	729,322	8.34%
TOTAL PERSONAL SERVICES	\$23,491,751	\$24,429,158	\$26,489,110	\$2,059,952	8.43%
Travel	26,262	242,313	242,313	—	—
Operating Services	2,442,739	2,628,845	2,625,245	(3,600)	(0.14)%
Supplies	102,044	143,424	143,424	—	—
TOTAL OPERATING EXPENSES	\$2,571,045	\$3,014,582	\$3,010,982	\$(3,600)	(0.12)%
PROFESSIONAL SERVICES	\$2,448,269	\$4,356,387	\$4,264,631	\$(91,756)	(2.11)%
Other Charges	174,775	227,000	227,000	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,563,611	1,750,080	1,764,482	14,402	0.82%
TOTAL OTHER CHARGES	\$1,738,386	\$1,977,080	\$1,991,482	\$14,402	0.73%
Acquisitions	620,353	646,840	647,692	852	0.13%
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$620,353	\$646,840	\$647,692	\$852	0.13%
TOTAL EXPENDITURES	\$30,869,804	\$34,424,047	\$36,403,897	\$1,979,850	5.75%

Agency Positions

Classified	195	195	195	—	—
Unclassified	27	27	27	—	—
TOTAL AUTHORIZED T.O. POSITIONS	222	222	222	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	3	3	—	—
TOTAL POSITIONS	225	225	225	—	—

Cost Detail

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
Fees & Self-Generated	28,690,572	31,548,882	33,429,060	1,880,178
Administrative Fund - DOI	955,157	1,221,419	1,221,419	—
Insurance Fraud Investigation Fund	—	—	721,705	721,705
Auto. Theft and Insurance Fraud Prev.	—	—	227,000	227,000
Insurance Fraud Investigation Fund	683,011	709,271	—	(709,271)
Auto. Theft and Insurance Fraud Prev.	174,775	227,000	—	(227,000)
Federal Funds	366,289	717,475	804,713	87,238
Total:	\$30,869,804	\$34,424,047	\$36,403,897	\$1,979,850

Salaries

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5110000	TOTAL SALARIES	—	—	1,117,912	1,117,912
5110010	SAL-CLASS-TO-REG	11,552,616	12,024,716	12,024,716	—
5110020	SAL-CLASS-TO-TERM	27,744	—	—	—
5110025	SAL-UNCLASS-TO-REG	3,347,522	3,444,625	3,444,625	—
5110030	SAL-UNCLASS-TO-OT	1,219	—	—	—
5110035	SAL-UNCLASS-TO-TERM	51,639	—	—	—
Total Salaries:		\$14,980,740	\$15,469,341	\$16,587,253	\$1,117,912

Other Compensation

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5120000	TOTAL OTHER COMP	—	—	212,718	212,718
5120010	COMPENSATION/WAGES	123,779	158,002	158,002	—
5120035	STUDENT LABOR	9,446	56,940	56,940	—
Total Other Compensation:		\$133,225	\$214,942	\$427,660	\$212,718

Related Benefits

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5130000	TOTAL RELATED BENF	—	—	729,322	729,322
5130010	RET CONTR-STATE EMP	5,470,678	5,647,505	5,647,505	—
5130020	RET CONTR-TEACHERS	8,978	—	—	—
5130050	POSTRET BENEFITS	1,135,434	1,314,393	1,314,393	—
5130055	FICA TAX (OASDI)	2,394	13,648	13,648	—
5130060	MEDICARE TAX	203,842	229,703	229,703	—
5130070	GRP INS CONTRIBUTION	1,538,227	1,531,226	1,531,226	—
5130090	TAXABLE FRINGE BEN	18,233	8,400	8,400	—
Total Related Benefits:		\$8,377,785	\$8,744,875	\$9,474,197	\$729,322

Travel

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5210010	IN-STATE TRAVEL-ADM	1,044	4,279	4,279	—
5210015	IN-STATE TRAVEL-CONF	22,450	61,003	61,003	—
5210020	IN-STATE TRAV-FIELD	2,417	45,964	45,964	—
5210025	IN-STATE TRV-BD MEM	—	129	129	—
5210050	OUT-OF-STATE TRV-ADM	186	20,410	20,410	—
5210055	OUT-OF-STTRV-CONF	—	104,990	104,990	—
5210060	OUT-OF-STTRV-FIELD	166	5,538	5,538	—
Total Travel:		\$26,262	\$242,313	\$242,313	—

Operating Services

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5300000	TOTAL OPERATING SERV	—	—	(3,600)	(3,600)
5310001	SERV-ADVERTISING	6,633	6,480	6,480	—
5310004	SERV-BANK FEES	6,675	9,862	9,862	—
5310005	SERV-PRINTING	779	3,059	3,059	—

Operating Services (continued)

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5310010	SERV-DUES & OTHER	45,200	310,847	310,847	—
5310011	SERV-SUBSCRIPTIONS	289,952	33,762	33,762	—
5310014	SERV-DRUG TESTING	610	610	610	—
5310042	SERV-BAR DUES	8,265	7,830	7,830	—
5310400	SERV-MISC	21,178	22,404	22,404	—
5330008	MAINT-EQUIPMENT	7,213	11,414	11,414	—
5330016	MAINT-DATA PROC EQP	83,555	85,388	85,388	—
5330018	MAINT-AUTO REPAIRS	1,099	389	389	—
5330026	MAINT-SOFTWRE MTCE	1,439,075	1,500,868	1,500,868	—
5340010	RENT-REAL ESTATE	42,197	42,025	42,025	—
5340020	RENT-EQUIPMENT	71,104	55,319	55,319	—
5340025	RENT-AUTOMOBILES	1,398	30,991	30,991	—
5340078	RENT-DATA-LIC SOFT	354,814	430,540	430,540	—
5350001	UTIL-INTERNET PROVID	34,731	38,579	38,579	—
5350004	UTIL-TELEPHONE SERV	—	1,676	1,676	—
5350006	UTIL-MAIL/DEL/POST	28,000	36,576	36,576	—
5350008	UTIL-DEL UPS/FED EXP	35	—	—	—
5350020	UTIL-MAIL/DEL/POST	226	226	226	—
Total Operating Services:		\$2,442,739	\$2,628,845	\$2,625,245	\$(3,600)

Supplies

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5410001	SUP-OFFICE SUPPLIES	15,154	15,970	15,970	—
5410006	SUP-COMPUTER	52,008	92,147	92,147	—
5410013	SUP-FOOD & BEVERAGE	24	232	232	—
5410017	SUP-JANITORIAL	3,945	4,812	4,812	—

Agency Summary Statement

Total Agency

Supplies (continued)

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5410027	SUP-OTHER MEDICAL	—	9	9	—
5410400	SUP-OTHER	30,913	30,254	30,254	—
Total Supplies:		\$102,044	\$143,424	\$143,424	—

Professional Services

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5500000	TOTAL PROF SERVICES	—	—	(91,756)	(91,756)
5510001	PROF SERV-ACCT/AUDIT	1,266,251	2,710,000	2,710,000	—
5510005	PROF SERV-LEGAL	353,589	190,000	190,000	—
5510014	PROF SERV-IT CONSLT	558,001	655,557	655,557	—
5510028	PROF SERV-ADV/PRINT	3,000	—	—	—
5510038	PROF SERV-TRAVEL	168	—	—	—
5510400	PROF SERV-OTHER	267,259	800,830	800,830	—
Total Professional Services:		\$2,448,269	\$4,356,387	\$4,264,631	\$(91,756)

Other Charges

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5620112	MISC-OTH PUB SAF FRD	174,775	227,000	227,000	—
Total Other Charges:		\$174,775	\$227,000	\$227,000	—

Interagency Transfers

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5950000	TOTAL IAT	—	—	14,402	14,402
5950001	IAT-COMMODITY/SERV	—	80,000	80,000	—
5950004	IAT-RELATED BENEFITS	135	7,934	7,934	—
5950006	IAT-ADVERTISING	16,081	24,601	24,601	—
5950007	IAT-PRINTING	9,312	23,089	23,089	—

Interagency Transfers (continued)

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5950008	IAT-POSTAGE	750	3,897	3,897	—
5950014	IAT-TELEPHONE	84,770	111,029	111,029	—
5950023	IAT-OTHER MAINTENANC	618,739	636,873	636,873	—
5950033	IAT-INTER AGY TRANS	80	268	268	—
5950034	IAT-OFFICE SUPPLIES	143	322	322	—
5950048	IAT-CPTP	9,501	6,962	6,962	—
5950049	IAT-CIVIL SERVICE	70,497	82,594	82,594	—
5950050	IAT-ORM INSURANCE	174,546	207,122	207,122	—
5950051	IAT-OSUP	12,859	12,945	12,945	—
5950052	IAT-LEG. AUDITOR	99,069	91,872	91,872	—
5950053	IAT-STATE TREASURER	11,892	6,856	6,856	—
5950055	IAT-ADMIN LAW JUDGE	149,132	136,657	136,657	—
5950057	IAT-CAP POL-BLD SEC	211,129	211,087	211,087	—
5950058	IAT-TECH SVCS	68,072	73,495	73,495	—
5950059	IAT ST PROCUREMENT	26,904	32,477	32,477	—
Total Interagency Transfers:		\$1,563,611	\$1,750,080	\$1,764,482	\$14,402

Acquisitions

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5700000	TOTAL ACQUISITIONS	—	—	647,692	647,692
5710221	ACQ-COMP HARDWARE	376,525	646,840	—	(646,840)
5710224	ACQ-OFFICE FURN&EQP	41,268	—	—	—
5710236	ACQ-OTHER	(532)	—	—	—
5710921	COMPUTER/EQUIP-MA	187,509	—	—	—

Acquisitions *(continued)*

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5710924	OFFICE & EQUIP-MA	15,583	—	—	—
Total Acquisitions:		\$620,353	\$646,840	\$647,692	\$852
Total Agency Expenditures:		\$30,869,804	\$34,424,047	\$36,403,897	\$1,979,850

PROGRAM SUMMARY STATEMENT

1651 - Administrative/Fiscal

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	11,816,507	12,348,477	13,026,791	678,314	5.49%
STATUTORY DEDICATIONS	30,000	30,000	—	(30,000)	(100.00)%
FEDERAL FUNDS	366,289	717,475	804,713	87,238	12.16%
TOTAL MEANS OF FINANCING	\$12,212,796	\$13,095,952	\$13,831,504	\$735,552	5.62%

Fees and Self-Generated

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Fees & Self-Generated	11,816,507	12,348,477	12,996,791	648,314	5.25%
Insurance Fraud Investigation Fund	—	—	30,000	30,000	—
Total:	\$11,816,507	\$12,348,477	\$13,026,791	\$678,314	5.49%

Statutory Dedications

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Insurance Fraud Investigation Fund	30,000	30,000	—	(30,000)	(100.00)%
Total:	\$30,000	\$30,000	—	\$(30,000)	(100.00)%

Program Expenditures

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Salaries	4,499,361	4,579,452	5,006,464	427,012	9.32%
Other Compensation	130,919	134,587	255,088	120,501	89.53%
Related Benefits	2,479,760	2,539,274	2,807,415	268,141	10.56%
TOTAL PERSONAL SERVICES	\$7,110,040	\$7,253,313	\$8,068,967	\$815,654	11.25%
Travel	8,097	62,192	62,192	—	—
Operating Services	2,251,677	2,368,296	2,364,696	(3,600)	(0.15)%
Supplies	79,532	112,708	112,708	—	—
TOTAL OPERATING EXPENSES	\$2,339,306	\$2,543,196	\$2,539,596	\$(3,600)	(0.14)%
PROFESSIONAL SERVICES	\$751,699	\$1,159,438	\$1,067,682	\$(91,756)	(7.91)%
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,391,399	1,493,165	1,507,567	14,402	0.96%
TOTAL OTHER CHARGES	\$1,391,399	\$1,493,165	\$1,507,567	\$14,402	0.96%
Acquisitions	620,353	646,840	647,692	852	0.13%
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$620,353	\$646,840	\$647,692	\$852	0.13%
TOTAL EXPENDITURES	\$12,212,796	\$13,095,952	\$13,831,504	\$735,552	5.62%

Program Positions

Classified	56	56	56	—	—
Unclassified	9	9	9	—	—
TOTAL AUTHORIZED T.O. POSITIONS	65	65	65	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—
TOTAL POSITIONS	65	65	65	—	—

Cost Detail

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
Fees & Self-Generated	11,816,507	12,348,477	12,996,791	648,314
Insurance Fraud Investigation Fund	—	—	30,000	30,000
Insurance Fraud Investigation Fund	30,000	30,000	—	(30,000)
Federal Funds	366,289	717,475	804,713	87,238
Total:	\$12,212,796	\$13,095,952	\$13,831,504	\$735,552

Salaries

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5110000	TOTAL SALARIES	—	—	427,012	427,012
5110010	SAL-CLASS-TO-REG	3,458,932	3,511,366	3,511,366	—
5110025	SAL-UNCLASS-TO-REG	1,036,069	1,068,086	1,068,086	—
5110035	SAL-UNCLASS-TO-TERM	4,360	—	—	—
Total Salaries:		\$4,499,361	\$4,579,452	\$5,006,464	\$427,012

Other Compensation

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5120000	TOTAL OTHER COMP	—	—	120,501	120,501
5120010	COMPENSATION/WAGES	121,473	77,647	77,647	—
5120035	STUDENT LABOR	9,446	56,940	56,940	—
Total Other Compensation:		\$130,919	\$134,587	\$255,088	\$120,501

Related Benefits

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5130000	TOTAL RELATED BENF	—	—	268,141	268,141
5130010	RET CONTR-STATE EMP	1,631,025	1,567,786	1,567,786	—
5130050	POSTRET BENEFITS	335,984	377,358	377,358	—

Related Benefits *(continued)*

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5130055	FICA TAX (OASDI)	2,394	8,666	8,666	—
5130060	MEDICARE TAX	63,026	70,917	70,917	—
5130070	GRP INS CONTRIBUTION	439,099	506,147	506,147	—
5130090	TAXABLE FRINGE BEN	8,233	8,400	8,400	—
Total Related Benefits:		\$2,479,760	\$2,539,274	\$2,807,415	\$268,141

Travel

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5210010	IN-STATE TRAVEL-ADM	822	3,918	3,918	—
5210015	IN-STATE TRAVEL-CONF	4,731	5,700	5,700	—
5210020	IN-STATE TRAV-FIELD	2,192	8,582	8,582	—
5210050	OUT-OF-STATE TRV-ADM	186	18,165	18,165	—
5210055	OUT-OF-STTRV-CONF	—	24,713	24,713	—
5210060	OUT-OF-STTRV-FIELD	166	1,114	1,114	—
Total Travel:		\$8,097	\$62,192	\$62,192	—

Operating Services

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5300000	TOTAL OPERATING SERV	—	—	(3,600)	(3,600)
5310001	SERV-ADVERTISING	6,566	6,434	6,434	—
5310004	SERV-BANK FEES	6,675	9,862	9,862	—
5310005	SERV-PRINTING	255	1,821	1,821	—
5310010	SERV-DUES & OTHER	2,174	196,464	196,464	—
5310011	SERV-SUBSCRIPTIONS	219,014	33,327	33,327	—
5310014	SERV-DRUG TESTING	610	610	610	—
5310042	SERV-BAR DUES	870	870	870	—
5310400	SERV-MISC	21,150	9,518	9,518	—

Operating Services *(continued)*

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5330008	MAINT-EQUIPMENT	7,213	11,414	11,414	—
5330016	MAINT-DATA PROC EQP	83,555	85,388	85,388	—
5330018	MAINT-AUTO REPAIRS	1,099	389	389	—
5330026	MAINT-SOFTWRE MTCE	1,425,725	1,500,868	1,500,868	—
5340010	RENT-REAL ESTATE	11,906	13,121	13,121	—
5340020	RENT-EQUIPMENT	70,109	55,319	55,319	—
5340025	RENT-AUTOMOBILES	1,173	5,155	5,155	—
5340078	RENT-DATA-LIC SOFT	348,814	384,690	384,690	—
5350001	UTIL-INTERNET PROVID	34,731	38,579	38,579	—
5350006	UTIL-MAIL/DEL/POST	10,013	14,467	14,467	—
5350008	UTIL-DEL UPS/FED EXP	25	—	—	—
Total Operating Services:		\$2,251,677	\$2,368,296	\$2,364,696	\$(3,600)

Supplies

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5410001	SUP-OFFICE SUPPLIES	14,140	15,742	15,742	—
5410006	SUP-COMPUTER	44,735	80,252	80,252	—
5410013	SUP-FOOD & BEVERAGE	24	174	174	—
5410017	SUP-JANITORIAL	3,945	4,812	4,812	—
5410027	SUP-OTHER MEDICAL	—	9	9	—
5410400	SUP-OTHER	16,688	11,719	11,719	—
Total Supplies:		\$79,532	\$112,708	\$112,708	—

Professional Services

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5500000	TOTAL PROF SERVICES	—	—	(91,756)	(91,756)
5510005	PROF SERV-LEGAL	6,458	25,000	25,000	—
5510014	PROF SERV-IT CONSLT	558,001	605,557	605,557	—
5510028	PROF SERV-ADV/PRINT	3,000	—	—	—
5510400	PROF SERV-OTHER	184,240	528,881	528,881	—
Total Professional Services:		\$751,699	\$1,159,438	\$1,067,682	\$(91,756)

Interagency Transfers

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5950000	TOTAL IAT	—	—	14,402	14,402
5950004	IAT-RELATED BENEFITS	135	7,934	7,934	—
5950007	IAT-PRINTING	8,641	23,089	23,089	—
5950008	IAT-POSTAGE	215	1,756	1,756	—
5950014	IAT-TELEPHONE	78,977	97,513	97,513	—
5950023	IAT-OTHER MAINTENANC	618,739	636,873	636,873	—
5950033	IAT-INTER AGY TRANS	80	268	268	—
5950034	IAT-OFFICE SUPPLIES	143	322	322	—
5950048	IAT-CPTP	9,501	6,962	6,962	—
5950049	IAT-CIVIL SERVICE	70,497	82,594	82,594	—
5950050	IAT-ORM INSURANCE	174,546	207,122	207,122	—
5950051	IAT-OSUP	12,859	12,945	12,945	—
5950052	IAT-LEG. AUDITOR	99,069	91,872	91,872	—
5950053	IAT-STATE TREASURER	11,892	6,856	6,856	—
5950057	IAT-CAP POL-BLD SEC	211,129	211,087	211,087	—

Interagency Transfers *(continued)*

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5950058	IAT-TECH SVCS	68,072	73,495	73,495	—
5950059	IAT ST PROCUREMENT	26,904	32,477	32,477	—
Total Interagency Transfers:		\$1,391,399	\$1,493,165	\$1,507,567	\$14,402

Acquisitions

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5700000	TOTAL ACQUISITIONS	—	—	647,692	647,692
5710221	ACQ-COMP HARDWARE	376,525	646,840	—	(646,840)
5710224	ACQ-OFFICE FURN&EQP	41,268	—	—	—
5710236	ACQ-OTHER	(532)	—	—	—
5710921	COMPUTER/EQUIP-MA	187,509	—	—	—
5710924	OFFICE & EQUIP-MA	15,583	—	—	—
Total Acquisitions:		\$620,353	\$646,840	\$647,692	\$852
Total Expenditures for Program 1651		\$12,212,796	\$13,095,952	\$13,831,504	\$735,552

1652 - Market Compliance

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	17,829,222	20,421,824	22,572,393	2,150,569	10.53%
STATUTORY DEDICATIONS	827,786	906,271	—	(906,271)	(100.00)%
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$18,657,007	\$21,328,095	\$22,572,393	\$1,244,298	5.83%

Fees and Self-Generated

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Fees & Self-Generated	16,874,065	19,200,405	20,432,269	1,231,864	6.42%
Administrative Fund - DOI	955,157	1,221,419	1,221,419	—	—
Insurance Fraud Investigation Fund	—	—	691,705	691,705	—
Auto. Theft and Insurance Fraud Prev.	—	—	227,000	227,000	—
Total:	\$17,829,222	\$20,421,824	\$22,572,393	\$2,150,569	10.53%

Statutory Dedications

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Insurance Fraud Investigation Fund	653,011	679,271	—	(679,271)	(100.00)%
Auto. Theft and Insurance Fraud Prev.	174,775	227,000	—	(227,000)	(100.00)%
Total:	\$827,786	\$906,271	—	\$(906,271)	(100.00)%

Program Expenditures

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Percent Change
Salaries	10,481,379	10,889,889	11,580,789	690,900	6.34%
Other Compensation	2,306	80,355	172,572	92,217	114.76%
Related Benefits	5,898,025	6,205,601	6,666,782	461,181	7.43%
TOTAL PERSONAL SERVICES	\$16,381,711	\$17,175,845	\$18,420,143	\$1,244,298	7.24%
Travel	18,165	180,121	180,121	—	—
Operating Services	191,063	260,549	260,549	—	—
Supplies	22,512	30,716	30,716	—	—
TOTAL OPERATING EXPENSES	\$231,739	\$471,386	\$471,386	—	—
PROFESSIONAL SERVICES	\$1,696,570	\$3,196,949	\$3,196,949	—	—
Other Charges	174,775	227,000	227,000	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	172,213	256,915	256,915	—	—
TOTAL OTHER CHARGES	\$346,987	\$483,915	\$483,915	—	—
Acquisitions	—	—	—	—	—
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—
TOTAL EXPENDITURES	\$18,657,007	\$21,328,095	\$22,572,393	\$1,244,298	5.83%

Program Positions

Classified	139	139	139	—	—
Unclassified	18	18	18	—	—
TOTAL AUTHORIZED T.O. POSITIONS	157	157	157	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	3	3	—	—
TOTAL POSITIONS	160	160	160	—	—

Cost Detail

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
Fees & Self-Generated	16,874,065	19,200,405	20,432,269	1,231,864
Administrative Fund - DOI	955,157	1,221,419	1,221,419	—
Insurance Fraud Investigation Fund	—	—	691,705	691,705
Auto. Theft and Insurance Fraud Prev.	—	—	227,000	227,000
Insurance Fraud Investigation Fund	653,011	679,271	—	(679,271)
Auto. Theft and Insurance Fraud Prev.	174,775	227,000	—	(227,000)
Total:	\$18,657,008	\$21,328,095	\$22,572,393	\$1,244,298

Salaries

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5110000	TOTAL SALARIES	—	—	690,900	690,900
5110010	SAL-CLASS-TO-REG	8,093,684	8,513,350	8,513,350	—
5110020	SAL-CLASS-TO-TERM	27,744	—	—	—
5110025	SAL-UNCLASS-TO-REG	2,311,454	2,376,539	2,376,539	—
5110030	SAL-UNCLASS-TO-OT	1,219	—	—	—
5110035	SAL-UNCLASS-TO-TERM	47,279	—	—	—
Total Salaries:		\$10,481,379	\$10,889,889	\$11,580,789	\$690,900

Other Compensation

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5120000	TOTAL OTHER COMP	—	—	92,217	92,217
5120010	COMPENSATION/WAGES	2,306	80,355	80,355	—
Total Other Compensation:		\$2,306	\$80,355	\$172,572	\$92,217

Related Benefits

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5130000	TOTAL RELATED BENF	—	—	461,181	461,181
5130010	RET CONTR-STATE EMP	3,839,653	4,079,719	4,079,719	—
5130020	RET CONTR-TEACHERS	8,978	—	—	—
5130050	POSTRET BENEFITS	799,450	937,035	937,035	—
5130055	FICA TAX (OASDI)	—	4,982	4,982	—
5130060	MEDICARE TAX	140,816	158,786	158,786	—
5130070	GRP INS CONTRIBUTION	1,099,128	1,025,079	1,025,079	—
5130090	TAXABLE FRINGE BEN	10,000	—	—	—
Total Related Benefits:		\$5,898,025	\$6,205,601	\$6,666,782	\$461,181

Travel

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5210010	IN-STATE TRAVEL-ADM	222	361	361	—
5210015	IN-STATE TRAVEL-CONF	17,718	55,303	55,303	—
5210020	IN-STATE TRAV-FIELD	225	37,382	37,382	—
5210025	IN-STATE TRV-BD MEM	—	129	129	—
5210050	OUT-OF-STATE TRV-ADM	—	2,245	2,245	—
5210055	OUT-OF-STTRV-CONF	—	80,277	80,277	—
5210060	OUT-OF-STTRV-FIELD	—	4,424	4,424	—
Total Travel:		\$18,165	\$180,121	\$180,121	—

Operating Services

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5310001	SERV-ADVERTISING	67	46	46	—
5310005	SERV-PRINTING	524	1,238	1,238	—
5310010	SERV-DUES & OTHER	43,026	114,383	114,383	—
5310011	SERV-SUBSCRIPTIONS	70,938	435	435	—

Operating Services *(continued)*

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5310042	SERV-BAR DUES	7,395	6,960	6,960	—
5310400	SERV-MISC	28	12,886	12,886	—
5330026	MAINT-SOFTWRE MTCE	13,350	—	—	—
5340010	RENT-REAL ESTATE	30,291	28,904	28,904	—
5340020	RENT-EQUIPMENT	995	—	—	—
5340025	RENT-AUTOMOBILES	224	25,836	25,836	—
5340078	RENT-DATA-LIC SOFT	6,000	45,850	45,850	—
5350004	UTIL-TELEPHONE SERV	—	1,676	1,676	—
5350006	UTIL-MAIL/DEL/POST	17,987	22,109	22,109	—
5350008	UTIL-DEL UPS/FED EXP	10	—	—	—
5350020	UTIL-MAIL/DEL/POST	226	226	226	—
Total Operating Services:		\$191,063	\$260,549	\$260,549	—

Supplies

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5410001	SUP-OFFICE SUPPLIES	1,014	228	228	—
5410006	SUP-COMPUTER	7,273	11,895	11,895	—
5410013	SUP-FOOD & BEVERAGE	—	58	58	—
5410400	SUP-OTHER	14,225	18,535	18,535	—
Total Supplies:		\$22,512	\$30,716	\$30,716	—

Professional Services

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5510001	PROF SERV-ACCT/AUDIT	1,266,251	2,710,000	2,710,000	—
5510005	PROF SERV-LEGAL	347,132	165,000	165,000	—
5510014	PROF SERV-IT CONSLT	—	50,000	50,000	—

Professional Services *(continued)*

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5510038	PROF SERV-TRAVEL	168	—	—	—
5510400	PROF SERV-OTHER	83,019	271,949	271,949	—
Total Professional Services:		\$1,696,570	\$3,196,949	\$3,196,949	—

Other Charges

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5620112	MISC-OTH PUB SAF FRD	174,775	227,000	227,000	—
Total Other Charges:		\$174,775	\$227,000	\$227,000	—

Interagency Transfers

Commitment Item	Name	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB
5950001	IAT-COMMODITY/SERV	—	80,000	80,000	—
5950006	IAT-ADVERTISING	16,081	24,601	24,601	—
5950007	IAT-PRINTING	672	—	—	—
5950008	IAT-POSTAGE	534	2,141	2,141	—
5950014	IAT-TELEPHONE	5,793	13,516	13,516	—
5950055	IAT-ADMIN LAW JUDGE	149,132	136,657	136,657	—
Total Interagency Transfers:		\$172,213	\$256,915	\$256,915	—
Total Expenditures for Program 1652		\$18,657,007	\$21,328,095	\$22,572,393	\$1,244,298
Total Agency Expenditures:		\$30,869,804	\$34,424,047	\$36,403,897	\$1,979,850

SOURCE OF FUNDING SUMMARY

Agency Overview

Fees & Self-Generated

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Form ID
INSURANCE PREMIUM TAXES	90,000	90,000	90,000	—	6401
ADMIN FUND-HIPAA ASSMNT	955,157	1,221,419	1,221,419	—	6406
REGULATORY FEES	28,600,572	31,458,882	33,339,060	1,880,178	6435
I09-INSURANCE FRAUD	—	—	30,000	30,000	6451
I09-INSURANCE FRAUD	—	—	691,705	691,705	6461
I12 LATIFPA FUND	—	—	227,000	227,000	6466
Total Fees & Self-Generated	\$29,645,729	\$32,770,301	\$35,599,184	\$2,828,883	

Statutory Dedications

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Form ID
I09-INSURANCE FRAUD	653,011	679,271	—	(679,271)	6459
I09-INSURANCE FRAUD	30,000	30,000	—	(30,000)	6462
I12 LATIFPA FUND	174,775	227,000	—	(227,000)	6464
Total Statutory Dedications	\$857,786	\$936,271	—	\$(936,271)	

Federal Funds

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Total Request	Over/Under EOB	Form ID
SHIIP GRANT	366,289	717,475	804,713	87,238	6417
Total Federal Funds	\$366,289	\$717,475	\$804,713	\$87,238	
Total Sources of Funding:	\$30,869,804	\$34,424,047	\$36,403,897	\$1,979,850	

SOURCE OF FUNDING DETAIL

Fees & Self-Generated

Form 6401 — 165-Annual Premium Tax Fee

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	63,582	—	—	62,959	—	—	62,959	—	—
Other Compensation	—	—	—	—	—	—	—	—	—
Related Benefits	26,418	—	—	27,041	—	—	27,041	—	—
TOTAL PERSONAL SERVICES	\$90,000	—	—	\$90,000	—	—	\$90,000	—	—
Travel	—	—	—	—	—	—	—	—	—
Operating Services	—	—	—	—	—	—	—	—	—
Supplies	—	—	—	—	—	—	—	—	—
TOTAL OPERATING EXPENSES	—	—	—	—	—	—	—	—	—
PROFESSIONAL SERVICES	—	—	—	—	—	—	—	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	—	—	—	—	—	—	—	—	—
TOTAL OTHER CHARGES	—	—	—	—	—	—	—	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$90,000	—	—	\$90,000	—	—	\$90,000	—	—

Form 6401 — 165-Annual Premium Tax Fee

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 22:794 Authorizes the Commissioner of Insurance to withhold from the taxes collected, the sum not to exceed \$90,000 per annum to defray the expense of collecting taxes imposed and for the operation of the insurance department.
Agency discretion or Federal requirement?	Agency discretion
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	July 1, 2022 to June 30, 2023
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	N/A
Additional information or comments.	N/A

Form 6406 — 165-Administrative Fund Dedicated Fund Account

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	716,191	—	—	716,191	—	—	—	716,191	—
Other Compensation	—	—	—	—	—	—	—	—	—
Related Benefits	366,105	—	—	366,105	—	—	—	366,105	—
TOTAL PERSONAL SERVICES	\$1,082,296	—	—	\$1,082,296	—	—	—	\$1,082,296	—
Travel	10,117	—	—	10,117	—	—	—	10,117	—
Operating Services	19,298	—	—	19,298	—	—	—	19,298	—
Supplies	1,152	—	—	1,152	—	—	—	1,152	—
TOTAL OPERATING EXPENSES	\$30,567	—	—	\$30,567	—	—	—	\$30,567	—
PROFESSIONAL SERVICES	\$108,540	—	—	\$108,540	—	—	—	\$108,540	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	16	—	—	16	—	—	—	16	—
TOTAL OTHER CHARGES	\$16	—	—	\$16	—	—	—	\$16	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$1,221,419	—	—	\$1,221,419	—	—	—	\$1,221,419	—

Form 6406 — 165-Administrative Fund Dedicated Fund Account

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 22:1701 - Provides for the Department of Insurance to collect penalties and an assessment not to exceed five one-hundredths of one percent (.0005) of the amount of certain premiums received in this state by health insurers during the preceding year ending December 31. The Commissioner shall establish the annual assessment percentage based on the cost of administering and enforcing the provisions of this Part and shall deduct any amounts collected from penalties imposed which are available and appropriated for use. An amount equal to that deposit shall be credited to a special fund known as the Administrative Fund of the Department of Insurance. All unexpected and unencumbered monies in this fund at the end of the fiscal year shall remain in such fund. Interest earned on investments of these monies by the State Treasurer shall be credited to this fund. The monies in the Administrative Fund of the Department of Insurance shall be used solely for the expenses in connection with the administration and enforcement of the provisions of LA R.S. 22:1071.
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	July 1, 2022 to June 30, 2023
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	Objectives I.3, I.5, 1.10 LaPAS PI Code (Level): 12290 (K), 22857 (K), 986 (G), 10212 (G), 985 (G), 25408 (G), 25987 (G), 25988 (G), 25990 (G), 25991 (G), 25847 (K), 25962 (G), 25963 (G), 987 (K), 22856 (K), 6424 (G), 6425 (G), 989 (G)
Additional information or comments.	N/A

Form 6435 — 165-Self Generated Regulatory Fees

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	14,024,842	—	—	15,069,146	—	—	15,195,906	—	—
Other Compensation	118,364	—	—	272,059	—	—	208,998	—	—
Related Benefits	8,106,830	—	—	8,777,355	—	—	8,833,919	—	—
TOTAL PERSONAL SERVICES	\$22,250,036	—	—	\$24,118,560	—	—	\$24,238,823	—	—
Travel	222,750	—	—	222,750	—	—	228,535	—	—
Operating Services	2,587,506	—	—	2,587,506	—	—	2,650,527	—	—
Supplies	139,177	—	—	139,177	—	—	142,590	—	—
TOTAL OPERATING EXPENSES	\$2,949,433	—	—	\$2,949,433	—	—	\$3,021,652	—	—
PROFESSIONAL SERVICES	\$3,880,506	—	—	\$3,880,506	—	—	\$3,985,058	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	1,732,067	—	—	1,742,869	—	—	1,742,869	—	—
TOTAL OTHER CHARGES	\$1,732,067	—	—	\$1,742,869	—	—	\$1,742,869	—	—
Acquisitions	646,840	—	—	647,692	—	—	647,692	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$646,840	—	—	\$647,692	—	—	\$647,692	—	—
TOTAL EXPENDITURES	\$31,458,882	—	—	\$33,339,060	—	—	\$33,636,094	—	—

Form 6435 — 165-Self Generated Regulatory Fees

Question	Narrative Response
State the purpose, source and legal citation.	Collected per LA R.S. 22 (Insurance Code) for fees and operating expenses associated with the regulation of insurance.
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	July 1, 2022 to June 30, 2023
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	These fees fund numerous divisions throughout the department and affect numerous objectives and indicators throughout the Operational Plan.
Additional information or comments.	N/A

Form 6451 — 165-Insurance Fraud Investigation Fund Administrative Fee SG

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	—	—	—	21,104	—	—	20,986	—	—
Other Compensation	—	—	—	—	—	—	—	—	—
Related Benefits	—	—	—	8,896	—	—	9,014	—	—
TOTAL PERSONAL SERVICES	—	—	—	\$30,000	—	—	\$30,000	—	—
Travel	—	—	—	—	—	—	—	—	—
Operating Services	—	—	—	—	—	—	—	—	—
Supplies	—	—	—	—	—	—	—	—	—
TOTAL OPERATING EXPENSES	—	—	—	—	—	—	—	—	—
PROFESSIONAL SERVICES	—	—	—	—	—	—	—	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	—	—	—	—	—	—	—	—	—
TOTAL OTHER CHARGES	—	—	—	—	—	—	—	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	—	—	—	\$30,000	—	—	\$30,000	—	—

Form 6451 — 165-Insurance Fraud Investigation Fund Administrative Fee SG

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 40:1428 - Provides for the Department of Insurance to assess a special fee on the direct premiums collected not to exceed .000375 multiplied times the amount of direct premium dollars received that are subject to the fee. The Commissioner shall establish the annual assessment percentage based on the cost of investigation, enforcement and prosecution of insurance fraud. An amount equal to that deposit shall be credited to a special fund known as the Insurance Fraud Investigation Fund. The monies in the Insurance Fraud Investigation Fund shall be used solely for the expenses in connection with the investigation, enforcement and prosecution of insurance fraud. The Commissioner of Insurance may retain thirty thousand (\$30,000) per year from the fees collected to defray the operating expenses of the Department of Insurance and may retain one hundred eighty-seven (\$187,000) to fund the Louisiana Automobile Theft and Fraud Prevention Authority pursuant to 22:2134.
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	Yes, the law allows the LDI to retain \$30,000 per year to defray the operating expenses of collecting the assessment.
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	Objectives: II.6, II.7 LaPAS PI Codes (Level): 890 (S), 898 (G), 899 (G), 893 (G), 894 (G), 6397 (G), 25813 (G), 896 (G), 23501 (G), 24327 (G), 24328 (G), 901 (S), 891 (G), 25404 (G)
Additional information or comments.	N/A

Form 6461 — 165-Insurance Fraud Investigation Fund-Operating

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	—	—	—	465,368	—	—	420,906	—	—
Other Compensation	—	—	—	67,147	—	—	130,208	—	—
Related Benefits	—	—	—	153,625	—	—	134,894	—	—
TOTAL PERSONAL SERVICES	—	—	—	\$686,140	—	—	\$686,008	—	—
Travel	—	—	—	1,347	—	—	1,379	—	—
Operating Services	—	—	—	2,925	—	—	2,995	—	—
Supplies	—	—	—	1,266	—	—	1,296	—	—
TOTAL OPERATING EXPENSES	—	—	—	\$5,538	—	—	\$5,670	—	—
PROFESSIONAL SERVICES	—	—	—	—	—	—	—	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	—	—	—	27	—	—	27	—	—
TOTAL OTHER CHARGES	—	—	—	\$27	—	—	\$27	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	—	—	—	\$691,705	—	—	\$691,705	—	—

Form 6461 — 165-Insurance Fraud Investigation Fund-Operating

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 40:1428 - Provides for the Department of Insurance to assess a special fee on the direct premiums collected not to exceed .000375 multiplied times the amount of direct premium dollars received that are subject to the fee. The Commissioner shall establish the annual assessment percentage based on the cost of investigation, enforcement and prosecution of insurance fraud. An amount equal to that deposit shall be credited to a special fund known as the Insurance Fraud Investigation Fund. The monies in the Insurance Fraud Investigation Fund shall be used solely for the expenses in connection with the investigation, enforcement and prosecution of insurance fraud. The Commissioner of Insurance may retain thirty thousand (\$30,000) per year from the fees collected to defray the operating expenses of the Department of Insurance and may retain one hundred eighty-seven (\$187,000) to fund the Louisiana Automobile Theft and Fraud Prevention Authority pursuant to 22:2134.
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	July 1, 2022 to June 30, 2023
Additional information or comments.	Act 1013 of the 2010 Regular Legislative Session. LA R.S. 40:1428.C. All unexpended and unencumbered monies in this fund at the end of the fiscal year shall be refunded to each insurer licensed by the Department of Insurance to conduct business in this state assessed a fee pursuant to this Section on a pro-rata basis based on each insurer's proportionate share of the total fees collected pursuant to this Section.
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	Objective: I.9 LaPAS PI Codes: 12276 (K), 12278 (K), 12282 (G), 959 (G), 962 (G), 12279 (G), 12281 (G), 24332 (G), 24333 (G), 23502 (G), 24334 (G), 25410 (G)
Additional information or comments.	N/A

Form 6466 — 165-LA Auto Theft and Insurance Fraud Prevention Authority

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	—	—	—	—	—	—	—	—	—
Other Compensation	—	—	—	—	—	—	—	—	—
Related Benefits	—	—	—	—	—	—	—	—	—
TOTAL PERSONAL SERVICES	—	—	—	—	—	—	—	—	—
Travel	—	—	—	—	—	—	—	—	—
Operating Services	—	—	—	—	—	—	—	—	—
Supplies	—	—	—	—	—	—	—	—	—
TOTAL OPERATING EXPENSES	—	—	—	—	—	—	—	—	—
PROFESSIONAL SERVICES	—	—	—	—	—	—	—	—	—
Other Charges	—	—	—	227,000	—	—	227,000	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	—	—	—	—	—	—	—	—	—
TOTAL OTHER CHARGES	—	—	—	\$227,000	—	—	\$227,000	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	—	—	—	\$227,000	—	—	\$227,000	—	—

Form 6466 — 165-LA Auto Theft and Insurance Fraud Prevention Authority

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 22:2133 - LA R.S. 22:2134 - The purpose of the Automobile Theft and Insurance Fraud Prevention Authority is to combat motor vehicle insurance fraud by theft and other criminal acts. The Authority will solicit and accept gifts, grants and donations, loans, and other assistance from various entities which will be deposited into the statutory dedicated fund created by the Act and will be used solely for the purpose of establishing programs in conjunction with other state agencies, local governing authorities, and law enforcement agencies for motor vehicle theft and insurance fraud prevention, detection and enforcement.
Agency discretion or Federal requirement?	Agency Discretion with board approval.
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	July 1, 2022 to June 30, 2023
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	N/A
Additional information or comments.	N/A

Statutory Dedications

Form 6459 — 165-Insurance Fraud Investigation Fund-Operating

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	452,934	—	—	—	—	—	—	—	—
Other Compensation	67,147	—	—	—	—	—	—	—	—
Related Benefits	153,625	—	—	—	—	—	—	—	—
TOTAL PERSONAL SERVICES	\$673,706	—	—	—	—	—	—	—	—
Travel	1,347	—	—	—	—	—	—	—	—
Operating Services	2,925	—	—	—	—	—	—	—	—
Supplies	1,266	—	—	—	—	—	—	—	—
TOTAL OPERATING EXPENSES	\$5,538	—	—	—	—	—	—	—	—
PROFESSIONAL SERVICES	—	—	—	—	—	—	—	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	27	—	—	—	—	—	—	—	—
TOTAL OTHER CHARGES	\$27	—	—	—	—	—	—	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$679,271	—	—	—	—	—	—	—	—

Form 6459 — 165-Insurance Fraud Investigation Fund-Operating

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 40:1428 - Provides for the Department of Insurance to assess a special fee on the direct premiums collected not to exceed .000375 multiplied times the amount of direct premium dollars received that are subject to the fee. The Commissioner shall establish the annual assessment percentage based on the cost of investigation, enforcement and prosecution of insurance fraud. An amount equal to that deposit shall be credited to a special fund known as the Insurance Fraud Investigation Fund. The monies in the Insurance Fraud Investigation Fund shall be used solely for the expenses in connection with the investigation, enforcement and prosecution of insurance fraud. The Commissioner of Insurance may retain thirty thousand (\$30,000) per year from the fees collected to defray the operating expenses of the Department of Insurance and may retain one hundred eighty-seven (\$187,000) to fund the Louisiana Automobile Theft and Fraud Prevention Authority pursuant to 22:2134.
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	July 1, 2022 to June 30, 2023
Additional information or comments.	Act 1013 of the 2010 Regular Legislative Session. LA R.S. 40:1428.C. All unexpended and unencumbered monies in this fund at the end of the fiscal year shall be refunded to each insurer licensed by the Department of Insurance to conduct business in this state assessed a fee pursuant to this Section on a pro-rata basis based on each insurer's proportionate share of the total fees collected pursuant to this Section.
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	Objective: I.9 LaPAS PI Codes: 12276 (K), 12278 (K), 12282 (G), 959 (G), 962 (G), 12279 (G), 12281 (G), 24332 (G), 24333 (G), 23502 (G), 24334 (G), 25410 (G)
Additional information or comments.	N/A

Form 6462 — 165-Insurance Fraud Investigation Fund Administrative Fee

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	21,104	—	—	—	—	—	—	—	—
Other Compensation	—	—	—	—	—	—	—	—	—
Related Benefits	8,896	—	—	—	—	—	—	—	—
TOTAL PERSONAL SERVICES	\$30,000	—	—	—	—	—	—	—	—
Travel	—	—	—	—	—	—	—	—	—
Operating Services	—	—	—	—	—	—	—	—	—
Supplies	—	—	—	—	—	—	—	—	—
TOTAL OPERATING EXPENSES	—	—	—	—	—	—	—	—	—
PROFESSIONAL SERVICES	—	—	—	—	—	—	—	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	—	—	—	—	—	—	—	—	—
TOTAL OTHER CHARGES	—	—	—	—	—	—	—	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$30,000	—	—	—	—	—	—	—	—

Form 6462 — 165-Insurance Fraud Investigation Fund Administrative Fee

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 40:1428 - Provides for the Department of Insurance to assess a special fee on the direct premiums collected not to exceed .000375 multiplied times the amount of direct premium dollars received that are subject to the fee. The Commissioner shall establish the annual assessment percentage based on the cost of investigation, enforcement and prosecution of insurance fraud. An amount equal to that deposit shall be credited to a special fund known as the Insurance Fraud Investigation Fund. The monies in the Insurance Fraud Investigation Fund shall be used solely for the expenses in connection with the investigation, enforcement and prosecution of insurance fraud. The Commissioner of Insurance may retain thirty thousand (\$30,000) per year from the fees collected to defray the operating expenses of the Department of Insurance and may retain one hundred eighty-seven (\$187,000) to fund the Louisiana Automobile Theft and Fraud Prevention Authority pursuant to 22:2134.
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	Yes, the law allows the LDI to retain \$30,000 per year to defray the operating expenses of collecting the assessment.
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	Objectives: II.6, II.7, II.8 LaPAS PI Codes (Level): 890 (S), 898 (G), 899 (G), 893 (G), 894 (G), 895 (G), 6397 (G), 25813 (G), 896 (G), 23501 (G), 24327 (G), 24328 (G), 22835 (S), 22836 (S), 901 (S), 891 (G), 25404 (G)
Additional information or comments.	N/A

Form 6464 — 165-LA Auto Theft and Insurance Fraud Prevention Auth

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	—	—	—	—	—	—	—	—	—
Other Compensation	—	—	—	—	—	—	—	—	—
Related Benefits	—	—	—	—	—	—	—	—	—
TOTAL PERSONAL SERVICES	—	—	—	—	—	—	—	—	—
Travel	—	—	—	—	—	—	—	—	—
Operating Services	—	—	—	—	—	—	—	—	—
Supplies	—	—	—	—	—	—	—	—	—
TOTAL OPERATING EXPENSES	—	—	—	—	—	—	—	—	—
PROFESSIONAL SERVICES	—	—	—	—	—	—	—	—	—
Other Charges	227,000	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	—	—	—	—	—	—	—	—	—
TOTAL OTHER CHARGES	\$227,000	—	—	—	—	—	—	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$227,000	—	—	—	—	—	—	—	—

Form 6464 — 165-LA Auto Theft and Insurance Fraud Prevention Auth

Question	Narrative Response
State the purpose, source and legal citation.	LA R.S. 22:2133 - LA R.S. 22:2134 - The purpose of the Automobile Theft and Insurance Fraud Prevention Authority is to combat motor vehicle insurance fraud by theft and other criminal acts. The Authority will solicit and accept gifts, grants and donations, loans, and other assistance from various entities which will be deposited into the statutory dedicated fund created by the Act and will be used solely for the purpose of establishing programs in conjunction with other state agencies, local governing authorities, and law enforcement agencies for motor vehicle theft and insurance fraud prevention, detection and enforcement.
Agency discretion or Federal requirement?	Agency Discretion with board approval.
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	July 1, 2022 to June 30, 2023
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	N/A
Additional information or comments.	N/A

Federal Funds

Form 6417 — 165-SHIIP Grant

Expenditures	Existing Operating Budget as of 10/01/2021			FY2022-2023 Total Request			FY2023-2024 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	190,688	—	—	252,485	—	—	252,485	—	—
Other Compensation	29,431	—	—	88,454	—	—	88,454	—	—
Related Benefits	83,001	—	—	141,175	—	—	141,175	—	—
TOTAL PERSONAL SERVICES	\$303,120	—	—	\$482,114	—	—	\$482,114	—	—
Travel	8,099	—	—	8,099	—	—	8,099	—	—
Operating Services	19,116	—	—	15,516	—	—	15,516	—	—
Supplies	1,829	—	—	1,829	—	—	1,829	—	—
TOTAL OPERATING EXPENSES	\$29,044	—	—	\$25,444	—	—	\$25,444	—	—
PROFESSIONAL SERVICES	\$367,341	—	—	\$275,585	—	—	\$275,585	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	17,970	—	—	21,570	—	—	21,570	—	—
TOTAL OTHER CHARGES	\$17,970	—	—	\$21,570	—	—	\$21,570	—	—
Acquisitions	—	—	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$717,475	—	—	\$804,713	—	—	\$804,713	—	—

Form 6417 — 165-SHIIP Grant

Question	Narrative Response
State the purpose, source and legal citation.	Federal Grant - CFDA 93.324 Department of Health and Human Services Administration for Community Living CDAP-State Health Insurance Assistance Program. The grant funds the activities of the Senior Health Insurance Information Program (SHIP) for the agency.
Agency discretion or Federal requirement?	Federal Requirements
Describe any budgetary peculiarities.	N/A
Is the Total Request amount for multiple years?	Based on annual award submitted/awarded; however funds not expended at the end of the existing budget year may be carried forward, upon approval, until the total grant is expended.
Additional information or comments.	N/A
Provide the amount of any indirect costs.	N/A
Any indirect costs funded with other MOF?	N/A
Objectives and indicators in the Operational Plan.	Objective: II.5 LaPAS PI Code (Level): 999 (K), 996 (K), 25346 (K), 25344 (S), 25345 (S), 995 (G), 25401 (G), 25403 (G)
Additional information or comments.	N/A

EXPENDITURES BY MEANS OF FINANCING

Existing Operating Budget

Expenditures	Used as a Cash Match	Total Means of Financing By Expenditure	Total State General Fund	Fees & Self-Generated Form ID 6401 INSURANCE PREMIUM TAXES	Fees & Self-Generated Form ID 6406 ADMIN FUND-HIPAA ASSMNT	Fees & Self-Generated Form ID 6435 REGULATORY FEES
Salaries	—	15,469,341	—	63,582	716,191	14,024,842
Other Compensation	—	214,942	—	—	—	118,364
Related Benefits	—	8,744,875	—	26,418	366,105	8,106,830
TOTAL PERSONAL SERVICES	—	\$24,429,158	—	\$90,000	\$1,082,296	\$22,250,036
Travel	—	242,313	—	—	10,117	222,750
Operating Services	—	2,628,845	—	—	19,298	2,587,506
Supplies	—	143,424	—	—	1,152	139,177
TOTAL OPERATING EXPENSES	—	\$3,014,582	—	—	\$30,567	\$2,949,433
PROFESSIONAL SERVICES	—	\$4,356,387	—	—	\$108,540	\$3,880,506
Other Charges	—	227,000	—	—	—	—
Debt Service	—	—	—	—	—	—
Interagency Transfers	—	1,750,080	—	—	16	1,732,067
TOTAL OTHER CHARGES	—	\$1,977,080	—	—	\$16	\$1,732,067
Acquisitions	—	646,840	—	—	—	646,840
Major Repairs	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$646,840	—	—	—	\$646,840
TOTAL EXPENDITURES	—	\$34,424,047	—	\$90,000	\$1,221,419	\$31,458,882

Expenditures by Means of Financing

Existing Operating Budget

Expenditures	Statutory Dedications Form ID 6459 I09-INSURANCE FRAUD	Statutory Dedications Form ID 6462 I09-INSURANCE FRAUD	Statutory Dedications Form ID 6464 I12 LATIFPA FUND	Federal Funds Form ID 6417 SHIIP GRANT
Salaries	452,934	21,104	—	190,688
Other Compensation	67,147	—	—	29,431
Related Benefits	153,625	8,896	—	83,001
TOTAL PERSONAL SERVICES	\$673,706	\$30,000	—	\$303,120
Travel	1,347	—	—	8,099
Operating Services	2,925	—	—	19,116
Supplies	1,266	—	—	1,829
TOTAL OPERATING EXPENSES	\$5,538	—	—	\$29,044
PROFESSIONAL SERVICES	—	—	—	\$367,341
Other Charges	—	—	227,000	—
Debt Service	—	—	—	—
Interagency Transfers	27	—	—	17,970
TOTAL OTHER CHARGES	\$27	—	\$227,000	\$17,970
Acquisitions	—	—	—	—
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—
TOTAL EXPENDITURES	\$679,271	\$30,000	\$227,000	\$717,475

Total Request

Expenditures	Used as a Cash Match	Total Means of Financing By Expenditure	Total State General Fund	Fees & Self-Generated Form ID 6401 INSURANCE PREMIUM TAXES	Fees & Self-Generated Form ID 6406 ADMIN FUND-HIPAA ASSMNT	Fees & Self-Generated Form ID 6435 REGULATORY FEES
Salaries	—	16,587,253	—	62,959	716,191	15,069,146
Other Compensation	—	427,660	—	—	—	272,059
Related Benefits	—	9,474,197	—	27,041	366,105	8,777,355
TOTAL PERSONAL SERVICES	—	\$26,489,110	—	\$90,000	\$1,082,296	\$24,118,560
Travel	—	242,313	—	—	10,117	222,750
Operating Services	—	2,625,245	—	—	19,298	2,587,506
Supplies	—	143,424	—	—	1,152	139,177
TOTAL OPERATING EXPENSES	—	\$3,010,982	—	—	\$30,567	\$2,949,433
PROFESSIONAL SERVICES	—	\$4,264,631	—	—	\$108,540	\$3,880,506
Other Charges	—	227,000	—	—	—	—
Debt Service	—	—	—	—	—	—
Interagency Transfers	—	1,764,482	—	—	16	1,742,869
TOTAL OTHER CHARGES	—	\$1,991,482	—	—	\$16	\$1,742,869
Acquisitions	—	647,692	—	—	—	647,692
Major Repairs	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$647,692	—	—	—	\$647,692
TOTAL EXPENDITURES	—	\$36,403,897	—	\$90,000	\$1,221,419	\$33,339,060

Expenditures by Means of Financing

Total Request

Expenditures	Fees & Self-Generated Form ID 6451 I09-INSURANCE FRAUD	Fees & Self-Generated Form ID 6461 I09-INSURANCE FRAUD	Fees & Self-Generated Form ID 6466 I12 LATIFPA FUND	Federal Funds Form ID 6417 SHIIP GRANT
Salaries	21,104	465,368	—	252,485
Other Compensation	—	67,147	—	88,454
Related Benefits	8,896	153,625	—	141,175
TOTAL PERSONAL SERVICES	\$30,000	\$686,140	—	\$482,114
Travel	—	1,347	—	8,099
Operating Services	—	2,925	—	15,516
Supplies	—	1,266	—	1,829
TOTAL OPERATING EXPENSES	—	\$5,538	—	\$25,444
PROFESSIONAL SERVICES	—	—	—	\$275,585
Other Charges	—	—	227,000	—
Debt Service	—	—	—	—
Interagency Transfers	—	27	—	21,570
TOTAL OTHER CHARGES	—	\$27	\$227,000	\$21,570
Acquisitions	—	—	—	—
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—
TOTAL EXPENDITURES	\$30,000	\$691,705	\$227,000	\$804,713

REVENUE COLLECTIONS/INCOME

Fees & Self-Generated

002 - Fees & Self-Generated

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
SOURCE						
REGULATORY FEES	4550291	FEES-CERT OF AUTH	62,775	80,775	80,775	—
REGULATORY FEES	4550292	FEES-INITIAL EXAM	—	1,000	1,000	—
REGULATORY FEES	4550293	FEES-REG SECURITIES	255	200	200	—
REGULATORY FEES	4550294	FEES-FILING CHARTER	7,375	7,623	7,623	—
REGULATORY FEES	4550297	FEES-APPRV FOREIGN	221,550	201,450	201,450	—
REGULATORY FEES	4550298	FEES-LIC-3RD PARTY	21,600	15,000	15,000	—
REGULATORY FEES	4550299	FEES-ANNUAL REPORT	125,100	116,400	116,400	—
REGULATORY FEES	4550300	FEES-STATEMENT FEE	5,000	5,000	5,000	—
REGULATORY FEES	4550301	FEES-REGISTRATION	1,700	2,700	2,700	—
REGULATORY FEES	4550302	FEES-ANNUAL RENEWAL	11,750	12,800	12,800	—
REGULATORY FEES	4550303	FEES-1ST TIME APP	—	200	200	—
REGULATORY FEES	4550304	FEES-ANNUAL RENEWAL	850	1,850	1,850	—
REGULATORY FEES	4550307	FEES-1ST TIME APP	1,100	2,000	2,000	—
REGULATORY FEES	4550309	FEES-1ST APP / RENEW	22,500	39,000	39,000	—
REGULATORY FEES	4550311	FEES-RENEWAL LICENSE	1,500	1,500	1,500	—
REGULATORY FEES	4550312	FEES-INITIAL LICENSE	—	250	250	—
REGULATORY FEES	4550314	FEES-1ST TIME APP	3,998,955	3,363,514	3,646,666	283,152
REGULATORY FEES	4550315	FEES-INITIAL CO APPT	29,934,265	31,954,310	31,236,997	(717,313)
REGULATORY FEES	4550316	FEES-RENEWAL	3,467,115	3,589,200	3,501,870	(87,330)
REGULATORY FEES	4550317	FEES-1ST TIME APP	105,250	104,250	104,250	—
REGULATORY FEES	4550318	FEES-RENEWAL	539,865	512,400	529,627	17,227
REGULATORY FEES	4550319	FEES-FAILURE FILE	332,920	289,327	300,668	11,341
REGULATORY FEES	4550320	FEES-CONT ED PROVIDR	52,405	51,656	49,586	(2,070)
REGULATORY FEES	4550321	FEES-INVALID ADDRESS	35,550	36,300	37,306	1,006

002 - Fees & Self-Generated (continued)

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
REGULATORY FEES	4550322	FEES-REG DEALER	60	60	60	—
REGULATORY FEES	4550324	FEES-INITIAL REGIS	1,800	1,200	1,800	600
REGULATORY FEES	4550325	FEES-ANNUAL REGIS	14,100	12,300	12,300	—
REGULATORY FEES	4550326	FEES-INS INITIAL APT	5,100	1,500	1,800	300
REGULATORY FEES	4550327	FEES-INS ANNUAL APPT	18,000	16,500	16,500	—
REGULATORY FEES	4550328	FEES-CO FILING-LIFE	205,350	221,850	217,116	(4,734)
REGULATORY FEES	4550329	FEES-CO FILING-PROP	200,679	252,558	265,185	12,627
REGULATORY FEES	4550332	FEES-OTH-IMPOUNDED	730	—	—	—
REGULATORY FEES	4550334	FEES-CO FILING-SELF	56,025	55,550	55,550	—
REGULATORY FEES	4550336	FEES-CO FILING-MED	16,200	16,900	16,900	—
REGULATORY FEES	4550337	FEES-CO FILING-MED	21,200	20,150	21,200	1,050
REGULATORY FEES	4550338	FEES-OTH-CONFERENCE	—	52,000	52,000	—
REGULATORY FEES	4550340	FEES-ANNUAL FIN(HMO)	1,431,672	1,432,611	1,443,000	10,389
REGULATORY FEES	4550341	FEES-OTHER-FINES	308,894	331,920	308,895	(23,025)
REGULATORY FEES	4550342	FEES-OTHER-PREM TAX	90,000	90,000	90,000	—
REGULATORY FEES	4550343	FEES-PROF EMP ORG	21,500	13,700	14,700	1,000
REGULATORY FEES	4550344	FEES-PROF EMP ORG	50,900	50,000	50,900	900
REGULATORY FEES	4550345	FEES-LTD LIC MOTOR	350	750	750	—
REGULATORY FEES	4550346	FEES-LTD LIC MOTOR	2,100	1,500	1,500	—
REGULATORY FEES	4550347	FEES-OTHER-SERVICE	6,150	4,200	4,200	—
REGULATORY FEES	4550348	FEES-CLAIMS ADJ	2,310	3,389	2,908	(481)
REGULATORY FEES	4550349	FEES-CLAIMS ADJ	8,000	5,800	5,800	—
REGULATORY FEES	4550350	FEES-CLAIMS ADJ	1,093,245	1,249,698	1,092,618	(157,080)
REGULATORY FEES	4550351	FEES-CLAIMS ADJ	2,105,755	2,072,035	2,091,750	19,715
REGULATORY FEES	4550352	FEES-CLAIMS ADJ	179,575	99,275	6,000	(93,275)
REGULATORY FEES	4550353	FEES-PUBLIC ADJ	2,275	880	275	(605)
REGULATORY FEES	4550354	FEES-PUBLIC ADJ	450	1,480	550	(930)
REGULATORY FEES	4550355	FEES-PUBLIC ADJ	16,775	14,965	3,080	(11,885)

002 - Fees & Self-Generated (continued)

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
REGULATORY FEES	4550356	FEES-PUBLIC ADJ	4,800	4,333	4,513	180
REGULATORY FEES	4550357	FEES-RISK RETENTION	9,000	10,000	10,000	—
REGULATORY FEES	4550358	FEES-CERT OF COMP	2,160	2,120	2,120	—
REGULATORY FEES	4550359	FEES-OTH-APPLICATION	3,500	4,250	4,250	—
REGULATORY FEES	4550360	FEES-FILING-VEH MECH	200	525	525	—
REGULATORY FEES	4550362	FEES-PROVIDER APP	5,425	4,825	4,967	142
REGULATORY FEES	4550364	FEES-PGRM OR COURSE	1,535	1,655	1,655	—
REGULATORY FEES	4550365	FEES-1ST TIME APP	22,155	4,493	4,493	—
REGULATORY FEES	4550366	FEES-RENEWAL	1,530	1,100	1,165	65
REGULATORY FEES	4550369	FEES-APPLICATION	10,500	18,000	18,000	—
REGULATORY FEES	4550370	FEES-APPLICATION	1,000	3,000	3,000	—
REGULATORY FEES	4550371	FEES-1ST TIME APP	11,455	7,382	9,029	1,647
REGULATORY FEES	4550372	FEES-RENEWAL	705	705	705	—
REGULATORY FEES	4550373	FEES-FAILURE FILE	250	250	250	—
REGULATORY FEES	4550374	FEES-FAILURE FILE	150,700	133,689	165,062	31,373
REGULATORY FEES	4550375	FEES-ANNUAL RENEWAL	7,000	4,000	4,000	—
REGULATORY FEES	4550689	FEES-PSAO LICENSING	—	600	600	—
REGULATORY FEES	4550690	FEES-PSAO ANNUAL	—	300	300	—
REGULATORY FEES	4710071	MR-COPIES	1,435	940	940	—
Total Collections/Income			\$45,041,925	\$46,613,643	\$45,907,629	\$(706,014)
TYPE						
Expenditures Source of Funding Form (BR-6)			28,690,572	31,548,882	33,429,060	1,880,178
Reversion			16,351,353	15,064,761	12,478,569	(2,586,192)
Total Expenditures, Transfers and Carry Forwards to Next FY			\$45,041,925	\$46,613,643	\$45,907,629	\$(706,014)
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

I08 - Administrative Fund - DOI

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
SOURCE						
ADMIN FUND-HIPAA ASSMNT	4550376	FEES-HIPAA ASSESSMNT	909,072	1,221,419	1,221,419	—
ADMIN FUND-HIPAA ASSMNT	4550485	FEES-OTHER-INTEREST	37	37	37	—
ADMIN FUND-HIPAA ASSMNT	4830016	PY CASH CARRYOVER	209,372	163,324	163,361	37
Total Collections/Income			\$1,118,481	\$1,384,780	\$1,384,817	\$37
TYPE						
Expenditures Source of Funding Form (BR-6)			955,157	1,221,419	1,221,419	—
Carryover			163,324	163,361	163,398	37
Total Expenditures, Transfers and Carry Forwards to Next FY			\$1,118,481	\$1,384,780	\$1,384,817	\$37
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

I09 - Insurance Fraud Investigation Fund

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
SOURCE						
INS FRAUD ASSMNT-OPER	4550378	FEES-FRAUD ASSESSMNT	—	—	721,705	721,705
Total Collections/Income			—	—	\$721,705	\$721,705
TYPE						
Expenditures Source of Funding Form (BR-6)			—	—	721,705	721,705
Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	\$721,705	\$721,705
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

I12 - Auto. Theft and Insurance Fraud Prev.

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
SOURCE						
I12 LATIFPA FUND	4550378	FEES-FRAUD ASSESSMNT	—	—	187,000	187,000
I12 LATIFPA FUND	4550485	FEES-OTHER-INTEREST	—	—	79	79
I12 LATIFPA FUND	4830016	PY CASH CARRYOVER	—	—	166,475	166,475
Total Collections/Income			—	—	\$353,554	\$353,554
TYPE						
Expenditures Source of Funding Form (BR-6)			—	—	227,000	227,000
Carryover			—	—	126,554	126,554
Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	\$353,554	\$353,554
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

Statutory Dedications

I09 - Insurance Fraud Investigation Fund

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
SOURCE						
INS FRAUD ASSMNT-OPER	4550378	FEES-FRAUD ASSESSMNT	683,007	707,716	—	(707,716)
INS FRAUD ASSMNT-OPER	4830016	PY CASH CARRYOVER	5	1	—	(1)
Total Collections/Income			\$683,012	\$707,717	—	\$(707,717)
TYPE						
Expenditures Source of Funding Form (BR-6)			683,011	709,271	—	(709,271)
Carryover			1	(1,554)	—	1,554
Total Expenditures, Transfers and Carry Forwards to Next FY			\$683,012	\$707,717	—	\$(707,717)
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

I12 - Auto. Theft and Insurance Fraud Prev.

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
SOURCE						
I12 LATIFPA FUND	4550378	FEES-FRAUD ASSESSMNT	187,000	187,000	—	(187,000)
I12 LATIFPA FUND	4550485	FEES-OTHER-INTEREST	97	79	—	(79)
I12 LATIFPA FUND	4830016	PY CASH CARRYOVER	194,074	206,396	—	(206,396)
Total Collections/Income			\$381,171	\$393,475	—	\$(393,475)
TYPE						
Expenditures Source of Funding Form (BR-6)			174,775	227,000	—	(227,000)
Carryover			206,396	166,475	—	(166,475)
Total Expenditures, Transfers and Carry Forwards to Next FY			\$381,171	\$393,475	—	\$(393,475)
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

Federal Funds

006 - Federal Funds

Source	Commitment Item	Commitment Item Name	FY2020-2021 Actuals	FY-2022 Estimate	FY2022-2023 Projected	Over/Under Current Year Estimate
SOURCE						
SHIIP GRANT	4030010	FR-HLTH/HOS/WLF	366,289	717,475	804,713	87,238
Total Collections/Income			\$366,289	\$717,475	\$804,713	\$87,238
TYPE						
Expenditures Source of Funding Form (BR-6)			366,289	717,475	804,713	87,238
Total Expenditures, Transfers and Carry Forwards to Next FY			\$366,289	\$717,475	\$804,713	\$87,238
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			—	—	—	—

Justification of Differences

Form 6531 — 165-Federal Revenue Collections

Question	Narrative Response
Explain any transfers to other appropriations.	N/A
Break out INA by Source of Funding.	N/A
Additional information or comments.	N/A

Form 6629 — 165-Regulatory Fees Revenue Collections

Question	Narrative Response
Explain any transfers to other appropriations.	The reversion amounts are the result of surplus collections in excess of budget authority and revert to the State General Fund at the end of the fiscal year.
Break out INA by Source of Funding.	N/A
Additional information or comments.	N/A

Form 6971 — 165-I08 Administrative Fund Collections

Question	Narrative Response
Explain any transfers to other appropriations.	All unexpended and unencumbered monies in the fund at the end of the fiscal year shall remain in the fund. Interest earned on the investments of these monies by the State Treasurer shall be credited to this fund. The monies in the Administrative Fund of the Department of Insurance shall be used solely for the expenses in connection with the administration and enforcement of the provisions of LA R.S. 22:1071.
Break out INA by Source of Funding.	N/A
Additional information or comments.	N/A

Form 6986 — 165-109-Insurance Fraud Investigation Fund Collections

Question	Narrative Response
Explain any transfers to other appropriations.	In accordance with Act 1013 of the 2010 Regular Legislative Session and LA R.S. 40:1428.C....All unexpended and encumbered monies in this fund at the end of the fiscal year shall be refunded to each insurer licensed by the Department of Insurance to conduct business in this state assessed a fee pursuant to this Section on a pro-rata basis based on each insurer's proportionate share of the total fees collected pursuant to this Section.
Break out INA by Source of Funding.	N/A
Additional information or comments.	N/A

Form 6990 — 165-109-Insurance Fraud Investigation Fund Collections SG

Question	Narrative Response
Explain any transfers to other appropriations.	In accordance with Act 1013 of the 2010 Regular Legislative Session and LA R.S. 40:1428.C....All unexpended and unencumbered monies in this fund at the end of the fiscal year shall be refunded to each insurer licensed by the Department of Insurance to conduct business in this state assessed a fee pursuant to this Section on a pro-rata basis based on each insurer's proportionate share of the total fees collected pursuant to this Section.
Break out INA by Source of Funding.	N/A
Additional information or comments.	N/A

Form 6996 — 165-112-Auto Theft and Ins Fraud Prevention Auth Collections

Question	Narrative Response
Explain any transfers to other appropriations.	All unexpended and unencumbered monies in the fund at the end of the fiscal year shall remain in the fund and shall be used solely for the purpose of establishing programs in conjunction with other state agencies, local governing authorities, and law enforcement agencies for motor vehicle theft and insurance fraud prevention, detection, and enforcement.
Break out INA by Source of Funding.	N/A
Additional information or comments.	N/A

Form 7003 — 165-112 Auto Theft & Ins Fraud Prev Auth Collections SG

Question	Narrative Response
Explain any transfers to other appropriations.	All unexpended and unencumbered monies in this fund at the end of the year will remain in the fund and will be used solely for the purpose of establishing programs in conjunction with other state agencies, local governing authorities, and law enforcement agencies for motor vehicle theft and insurance fraud prevention, detection and enforcement.
Break out INA by Source of Funding.	N/A
Additional information or comments.	N/A

SCHEDULE OF REQUESTED EXPENDITURES

1651 - Administrative/Fiscal

Travel

FY2022-2023 Request	Description
3,918	In-State Administrative Travel for the Commissioner and Deputy Commissioners to attend meetings and speaking engagements regarding insurance regulatory issues.
5,700	In-State Conferences and Conventions for staff to attend various conferences and seminars on insurance regulatory issues, training sessions to earn professional designations and continuing professional education credits.
8,582	In-State Field Travel for staff to conduct trainings and outreach programs and serve as guest speakers on insurance regulatory issues.
18,165	Out-of-State Administrative Travel for the Commissioner and Deputy Commissioners to attend speaking engagements regarding insurance regulatory issues.
24,713	Out-of-State Conference and Conventions for staff to attend various conferences and seminars on insurance regulatory issues, training sessions to earn professional designations and continuing professional education credits.
1,114	Out-of-State Field Travel for staff to conduct trainings and serve as guest speakers on insurance regulatory issues.
\$62,192	Total Travel

Operating Services

FY2022-2023 Request	Description
6,434	Advertisements placed in professional newspapers and/or magazines for recruitment purposes, on pharmacy bags for the State Health Insurance Program (SHIIP), public notices of regulatory actions and Requests For Proposals (RFP).
55,319	Copier rental and mail and postage equipment rental.
610	Drug testing for new employees.
9,862	Electronic check reports
38,579	Internet service purchased through the IT Department and utilized by various employees.
381,090	Licensing software used for the operations of the department.
1,500,868	Maintenance of Data Processing Application Software and use of contractors.
11,647	Membership dues for staff to various professional organizations.
9,518	Operating services including, but not limited to copying of files, press clippings, Legislative cable service, media monitoring, filing fees, etc.

Operating Services *(continued)*

FY2022-2023 Request	Description
14,467	Postage for mailing letters, contracts, reports, brochures to meet the needs of the public and to respond to questions regarding insurance.
1,821	Printing of business cards, printed notepads.
13,121	Rental office space located at 1611 Arnold Drive, Alexandria, LA, rental storage space at 1965 Main Street, Baton Rouge, LA.
389	Routine and miscellaneous automotive maintenance on LDI fleet vehicles.
11,414	Service contracts and/or maintenance on binding machines, color copier, black and white copier, signature machine, and mobile filing system.
85,388	Service contracts and/or maintenance on servers, routers, printers, scanners, iPads, etc.
219,014	Subscriptions to online services and newspapers and/or magazines utilized by various divisions within the department.
5,155	Vehicle rentals used for administrative and field travel.
\$2,364,696	Total Operating Services

Supplies

FY2022-2023 Request	Description
174	Bottled water and nutritional food items for Catapult health visits.
80,252	Computer supplies including but not limited to recordable disks, cables, keyboards, surge protectors, laser labels, toner cartridges, drum kits and waste toner cartridges purchased through the IT department and utilized by all employees of the Department for day to day operations.
9	First Aid Kits for safety wardens.
4,812	General cleaning supplies utilized by the inmates to clean the Poydras Building, household supplies including, but not limited to toilet tissue, paper towels, and disinfectant.
15,742	General office supplies utilized by various divisions within the department.
11,719	Other supplies utilized by various divisions within the department including, but not limited to batteries, ink stampers, binders, bulletin boards, reference materials and employee recognition items in accordance with LDI Policy Memorandum No. 50. Automotive supplies including, but not limited to gasoline, batteries, tires and oil for the Department's vehicles; gasoline for rental vehicles.
\$112,708	Total Supplies

Professional Services

FY2022-2023 Request	Means of Financing	Description
25,000	Fees & Self-Generated	
\$25,000		Legal professional services are used to assist the Department of Insurance in employee appeals brought before the Civil Service Commission and assist in the review of disciplinary actions and other employment matters, including development of policies for compliance with State, Federal and local laws.
275,585	Federal Funds	
\$275,585		Other professional services are used to assist the Department of Insurance in on site training, and public awareness for the federally funded SHIIP program.
161,540	Fees & Self-Generated	
\$161,540		Other professional services are used to assist the Department of Insurance in on site training, public awareness, and/or any other contracts deemed necessary to carry out the goals and objectives of the Department.
605,557	Fees & Self-Generated	
\$605,557		Professional information technology consulting services provided by sources outside of State Government.
\$1,067,682	Total Professional Services	

Interagency Transfers

FY2022-2023 Request	Means of Financing	Receiving Agency	Description
89,556	Fees & Self-Generated		
\$89,556		STATE CIVIL SERVICE	Act 639 of the 1988 Regular Legislative Session and Act 289 of the 1989 Regular Session required each agency to pay a pro-rata share of the cost of operations of Louisiana Department of State Civil Service and the Comprehensive Public Training Program.
12,945	Fees & Self-Generated		
\$12,945		DIVISION OF ADMINISTRATION	Annual charge for Uniform Payroll Services.
207,122	Fees & Self-Generated		
\$207,122		OFFICE OF RISK MANAGEMENT	Annual Risk Management Insurance Premiums.

Interagency Transfers (continued)

FY2022-2023 Request	Means of Financing	Receiving Agency	Description
211,087	Fees & Self-Generated		
\$211,087		PUB SAFETY OFF OF MGMT & FIN	Capital Complex Security
1,556	Fees & Self-Generated		
\$1,556		ADMINISTRATIVE SERVICES	Fees assessed by the Office of State Mail for postage and mail handling/distribution.
200	Federal Funds		
\$200		ADMINISTRATIVE SERVICES	Fees assessed by the Office of State Mail for postage and mail handling/distribution for the federally funded SHIIP program.
102,674	Fees & Self-Generated		
\$102,674		LEGISLATIVE AUDITOR	Fees assessed by the Office of the Legislative Auditor for audit services rendered.
6,856	Fees & Self-Generated		
\$6,856		ST TREASURER OPERATING	Fees assessed by the State Treasurer for Central Depository Banking Service Costs, report generation, etc.
73,495	Fees & Self-Generated		
\$73,495		DOA-OFFICE OF TECHNOLOGY SVCS	Fees assessed for raised floor and electrical distribution at DPS/Independence Blvd, LaGov HCM with Payroll services, LaGov SRM services.
97,513	Fees & Self-Generated		
\$97,513		OFF. TELECOMMUNICATIONS MGMT	Fees assessed for Telephone Services.
3,600	Federal Funds		
\$3,600		DOA-OFFICE OF TECHNOLOGY SVCS	Fees assessed for the rental of (3) laptops and enterprise device support for the State Health Insurance Program (SHIIP).
636,873	Fees & Self-Generated		
\$636,873		DIVISION OF ADMINISTRATION	General Maintenance of office space at the Poydras Building including utilities, repairs, operations, etc.
322	Fees & Self-Generated		
\$322		ADMINISTRATIVE SERVICES	Office of Forms Management - Office Supplies
5,319	Fees & Self-Generated		
\$5,319		ADMINISTRATIVE SERVICES	Office of State Printing services

Interagency Transfers *(continued)*

FY2022-2023 Request	Means of Financing	Receiving Agency	Description
17,770	Federal Funds		
\$17,770		ADMINISTRATIVE SERVICES	Office of State Printing services for the federally funded SHIP program.
32,477	Fees & Self-Generated		
\$32,477		DOA-OFFICE OF ST PROCUREMENT	Office of State Procurement
268	Fees & Self-Generated		
\$268		ADMINISTRATIVE SERVICES	Replacement Badges
7,934	Fees & Self-Generated		
\$7,934		LWC-WORKFORCE SUPPORT/TRAINING	Unemployment Compensation
\$1,507,567	Total Interagency Transfers		

1652 - Market Compliance

Travel

FY2022-2023 Request	Description
361	In-State Administrative Travel for Deputy Commissioners to attend meetings and speaking engagements regarding insurance regulatory issues.
129	In-State Board Members to attend board meetings for the LA Automobile Theft & Insurance Fraud Prevention Authority.
55,303	In-State Conferences and Conventions for staff to attend various conferences and seminars on insurance regulatory issues, training sessions to earn professional designations and continuing professional education credits.
37,382	In-State Field Travel for staff to conduct trainings and outreach programs, conduct statutorily mandated field examinations of regulated entities.
2,245	Out-of-State Administrative Travel for Deputy Commissioner's to attend speaking engagements and meetings regarding insurance regulatory issues.
80,277	Out-of-State Conference and Conventions for staff to attend various conferences and seminars on insurance regulatory issues, training sessions to earn professional designations and continuing professional education credits.
4,424	Out-of-State Field Travel for staff to conduct statutorily mandated field examinations of regulated entities.
\$180,121	Total Travel

Operating Services

FY2022-2023 Request	Description
46	Advertisements placed in professional newspapers and/or magazines for recruitment purposes, public notices of regulatory actions and Requests For Proposals (RFP).
1,676	Cellular phone service.
45,850	Licensing software used for the operations of the department.
51,957	Membership dues for staff to various professional organizations.
12,886	Operating services including, but not limited to copying, Legislative cable service, filing fees, litigation copies, etc.
22,335	Postage for mailing letters, contracts, reports, legal documents, financial examinations to meet the needs of the public and to respond to questions regarding insurance.
1,238	Printing of business cards, blank annual and quarterly financial statements.
28,904	Storage space at 1965 Main Street, Baton Rouge, LA.

Operating Services *(continued)*

FY2022-2023 Request	Description
69,821	Subscriptions to online services utilized by various divisions within the department.
25,836	Vehicle rentals used for administrative and field travel.
\$260,549	Total Operating Services

Supplies

FY2022-2023 Request	Description
18,535	Automotive supplies including but not limited to gasoline, batteries, tires and oil for the Department's vehicles, gasoline for rental vehicles. Other supplies utilized by various divisions of the department including but not limited to ink stampers, binders, bulletin boards, reference materials, etc.
11,895	Computer supplies including but not limited to laser labels and toner cartridges.
228	General office supplies utilized by various divisions within the department.
58	Peppermints for the Louisiana Conference.
\$30,716	Total Supplies

Professional Services

FY2022-2023 Request	Means of Financing	Description
2,710,000	Fees & Self-Generated	
\$2,710,000		Accounting and auditing professional services contracts are used to assist the Department of Insurance in fulfilling legislatively mandated examinations of insurers, market conduct examinations, actuarial reviews of rate submissions, etc. and/or any other contracts deemed necessary to carry out the goals and objectives of the Department.
165,000	Fees & Self-Generated	
\$165,000		Legal professional services are used to assist the Department of Insurance by providing legal analysis, opinions, legal consultations and reviews of provider contracts filed with the Department of Insurance for review and approval; serve as counsel in insurance and departmental matters; and/or any other contracts deemed necessary to carry out the goals and objectives of the Department.

Professional Services *(continued)*

FY2022-2023 Request	Means of Financing	Description
163,949	Fees & Self-Generated	
\$163,949		Other professional services are used to assist the Department of Insurance in information technology projects, on site training, and/or any other contracts deemed necessary to carry out the goals and objectives of the Department.
108,000	Administrative Fund - DOI	
\$108,000		Other professional services used to assist the Department of Insurance in actuarial rate review of health insurance premium rates.
50,000	Fees & Self-Generated	
\$50,000		Professional information technology consulting services provided by sources outside of State Government.
\$3,196,949	Total Professional Services	

Other Charges

FY2022-2023 Request	Means of Financing	Description
227,000	Auto. Theft and Insurance Fraud Prev.	
\$227,000		Automobile Theft & Prevention Fraud Prevention Authority Fund - LA R.S. 22:2131 - 22:2135, LA R.S. 40:1428 - Establishes the Louisiana Automobile Theft and Insurance Fraud Prevention Authority and the Automobile Theft and Insurance Fraud Prevention Authority Fund within the Authority. This fund is the mechanism used to collect and disburse funds for the purpose of reducing motor vehicle theft and insurance fraud. The Authority may: solicit and accept gifts, grants, donations, loans and other assistance from any person or entity, private or public; establish programs in conjunction with other state agencies, local governing authorities and law enforcement agencies for motor vehicle theft and insurance fraud prevention, detection and enforcement; make grants to state agencies, local governing authorities and law enforcement agencies for motor vehicle theft and insurance fraud prevention, detection and enforcement; enter into cooperative endeavors with any public or private association, corporation or individual with similar obligations and purpose as long as all expenditures are for a public purpose and create a public benefit proportionate to the cost.
\$227,000	Total Other Charges	

Interagency Transfers

FY2022-2023 Request	Means of Financing	Receiving Agency	Description
136,657	Fees & Self-Generated		
\$136,657		CS-DIV OF ADMINISTRATIVE LAW	Fees assessed by the Louisiana Division of Administrative Law for judicial and clerical services provided at hearings between the Department of Insurance and individual agents and/or companies who are found to be in violation of the Louisiana Insurance Code, Title 22.
2,102	Fees & Self-Generated		
\$2,102		ADMINISTRATIVE SERVICES	Fees assessed by the Office of State Mail for postage and mail handling/distribution.
12	Administrative Fund - DOI		
\$12		ADMINISTRATIVE SERVICES	Fees assessed by the Office of State Mail for postage and mail handling/distribution for the administrative fund HIPAA.
27	Insurance Fraud Investigation Fund		
\$27		ADMINISTRATIVE SERVICES	Fees assessed by the Office of State Mail for postage and mail handling/distribution for the insurance fraud investigation fund.
44,601	Fees & Self-Generated		
\$44,601		DIVISION OF ADMINISTRATION	Fees assessed for advertising emergency rules and regulations in the State Register.
13,516	Fees & Self-Generated		
\$13,516		OFF. TELECOMMUNICATIONS MGMT	Fees assessed for Telephone Services.
60,000	Fees & Self-Generated		
\$60,000		OFFICE OF THE ATTORNEY GENERAL	Legal liaison between the Department of Insurance and the Attorney General. Salaries and Related Benefits for one Assistant Attorney General.
\$256,915	Total Interagency Transfers		



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Continuation Budget Adjustments

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEEES & SELF-GENERATED	32,770,301	(646,840)	—	1,703,069	—	1,772,654	35,599,184
STATUTORY DEDICATIONS	936,271	—	—	—	—	(936,271)	—
FEDERAL FUNDS	717,475	—	—	—	—	87,238	804,713
TOTAL MEANS OF FINANCING	\$34,424,047	\$(646,840)	—	\$1,703,069	—	\$923,621	\$36,403,897

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Administrative Fund - DOI	1,221,419	—	—	—	—	—	1,221,419
Auto. Theft and Insurance Fraud Prev.	—	—	—	—	—	227,000	227,000
Fees & Self-Generated	31,548,882	(646,840)	—	1,703,069	—	823,949	33,429,060
Insurance Fraud Investigation Fund	—	—	—	—	—	721,705	721,705
Total:	\$32,770,301	\$(646,840)	—	\$1,703,069	—	\$1,772,654	\$35,599,184

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Auto. Theft and Insurance Fraud Prev.	227,000	—	—	—	—	(227,000)	—
Insurance Fraud Investigation Fund	709,271	—	—	—	—	(709,271)	—
Total:	\$936,271	—	—	—	—	\$(936,271)	—

Expenditures and Positions

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Salaries	15,469,341	—	—	1,043,681	—	12,434	16,525,456
Other Compensation	214,942	—	—	—	—	207,015	421,957
Related Benefits	8,744,875	—	—	659,388	—	45,678	9,449,941
TOTAL PERSONAL SERVICES	\$24,429,158	—	—	\$1,703,069	—	\$265,127	\$26,397,354
Travel	242,313	—	—	—	—	—	242,313
Operating Services	2,628,845	—	—	—	—	—	2,628,845
Supplies	143,424	—	—	—	—	—	143,424
TOTAL OPERATING EXPENSES	\$3,014,582	—	—	—	—	—	\$3,014,582
PROFESSIONAL SERVICES	\$4,356,387	—	—	—	—	—	\$4,356,387
Other Charges	227,000	—	—	—	—	—	227,000
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,750,080	—	—	—	—	10,802	1,760,882
TOTAL OTHER CHARGES	\$1,977,080	—	—	—	—	\$10,802	\$1,987,882
Acquisitions	646,840	(646,840)	—	—	—	647,692	647,692
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$646,840	\$(646,840)	—	—	—	\$647,692	\$647,692
TOTAL EXPENDITURES	\$34,424,047	\$(646,840)	—	\$1,703,069	—	\$923,621	\$36,403,897
Classified	195	—	—	—	—	—	195
Unclassified	27	—	—	—	—	—	27
TOTAL AUTHORIZED T.O. POSITIONS	222	—	—	—	—	—	222
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	—	—	—	—	—	3

CONTINUATION BUDGET ADJUSTMENTS - SUMMARIZED

Form 5960 — Non-recur FY22 Acquisitions and Major Repairs

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(646,840)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(646,840)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	(646,840)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$(646,840)
TOTAL EXPENDITURES	\$(646,840)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 5961 — Inflation
Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	167,257
STATUTORY DEDICATIONS	132
FEDERAL FUNDS	9,514
TOTAL MEANS OF FINANCING	\$176,903

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	5,817
Operating Services	63,091
Supplies	3,443
TOTAL OPERATING EXPENSES	\$72,351
PROFESSIONAL SERVICES	\$104,552
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$176,903

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Continuation Budget Adjustments - Summarized

Total Agency
Request Type: INFLATION

Form 6391 — 165 - Inflation Reversal

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(167,257)
STATUTORY DEDICATIONS	(132)
FEDERAL FUNDS	(9,514)
TOTAL MEANS OF FINANCING	\$(176,903)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	(5,817)
Operating Services	(63,091)
Supplies	(3,443)
TOTAL OPERATING EXPENSES	\$(72,351)
PROFESSIONAL SERVICES	\$(104,552)
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(176,903)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6397 — 165 Personnel Services Base Adjustment

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	783,436
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$783,436

Expenditures

	Amount
Salaries	530,621
Other Compensation	—
Related Benefits	252,815
TOTAL PERSONAL SERVICES	\$783,436
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$783,436

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6660 — 165 Retirement Adjustments for Employees Ending DROP

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	199,945
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$199,945

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	199,945
TOTAL PERSONAL SERVICES	\$199,945
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$199,945

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6661 — 165 Unclassified Vacancies Adjustments

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	772,931
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$772,931

Expenditures

	Amount
Salaries	548,372
Other Compensation	—
Related Benefits	224,559
TOTAL PERSONAL SERVICES	\$772,931
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$772,931

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Continuation Budget Adjustments - Summarized

Total Agency
Request Type: COMPULSORY

Form 6663 — 165 Promotion/Vacancy filled after PEP

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(36,180)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(36,180)

Expenditures

	Amount
Salaries	(22,009)
Other Compensation	—
Related Benefits	(14,171)
TOTAL PERSONAL SERVICES	\$(36,180)
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(36,180)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6693 — 165 Ineligible Unclassified Market Adjustments Adjustment
Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(17,063)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(17,063)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	(13,303)
Other Compensation	—
Related Benefits	(3,760)
TOTAL PERSONAL SERVICES	\$(17,063)
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(17,063)

Form 6732 — 165 Replacement Acquisitions

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	647,692
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$647,692

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	647,692
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$647,692
TOTAL EXPENDITURES	\$647,692

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6734 — 165 Other Compensation Increase

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	165,455
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$165,455

Expenditures

	Amount
Salaries	—
Other Compensation	153,695
Related Benefits	11,760
TOTAL PERSONAL SERVICES	\$165,455
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$165,455

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6789 — 165 Legislative Auditor Increase

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	10,802
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$10,802

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	10,802
TOTAL OTHER CHARGES	\$10,802
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$10,802

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6795 — 165 SHIP Budget Authority Increase

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	—
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	87,238
TOTAL MEANS OF FINANCING	\$87,238

Expenditures

	Amount
Salaries	—
Other Compensation	53,320
Related Benefits	33,918
TOTAL PERSONAL SERVICES	\$87,238
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$87,238

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 6846 — 165 Ins Fraud Investigation Fund Budget Authority Increase

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	12,434
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$12,434

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Expenditures

	Amount
Salaries	12,434
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	\$12,434
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$12,434

Continuation Budget Adjustments - Summarized

Total Agency
Request Type: OTHER

Form 6966 — 165 - I09 and I12 Fund Account MOF Swap
Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	936,271
STATUTORY DEDICATIONS	(936,271)
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	—

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	—

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

PROGRAM SUMMARY STATEMENT

1651 - Administrative/Fiscal

Means of Financing

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEEES & SELF-GENERATED	12,348,477	(646,840)	—	570,478	—	754,676	13,026,791
STATUTORY DEDICATIONS	30,000	—	—	—	—	(30,000)	—
FEDERAL FUNDS	717,475	—	—	—	—	87,238	804,713
TOTAL MEANS OF FINANCING	\$13,095,952	\$(646,840)	—	\$570,478	—	\$811,914	\$13,831,504

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Fees & Self-Generated	12,348,477	(646,840)	—	570,478	—	724,676	12,996,791
Insurance Fraud Investigation Fund	—	—	—	—	—	30,000	30,000
Total:	\$12,348,477	\$(646,840)	—	\$570,478	—	\$754,676	\$13,026,791

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Insurance Fraud Investigation Fund	30,000	—	—	—	—	(30,000)	—
Total:	\$30,000	—	—	—	—	\$(30,000)	—

Expenditures and Positions

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Salaries	4,579,452	—	—	365,215	—	—	4,944,667
Other Compensation	134,587	—	—	—	—	114,798	249,385
Related Benefits	2,539,274	—	—	205,263	—	38,622	2,783,159
TOTAL PERSONAL SERVICES	\$7,253,313	—	—	\$570,478	—	\$153,420	\$7,977,211
Travel	62,192	—	—	—	—	—	62,192
Operating Services	2,368,296	—	—	—	—	—	2,368,296
Supplies	112,708	—	—	—	—	—	112,708
TOTAL OPERATING EXPENSES	\$2,543,196	—	—	—	—	—	\$2,543,196
PROFESSIONAL SERVICES	\$1,159,438	—	—	—	—	—	\$1,159,438
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,493,165	—	—	—	—	10,802	1,503,967
TOTAL OTHER CHARGES	\$1,493,165	—	—	—	—	\$10,802	\$1,503,967
Acquisitions	646,840	(646,840)	—	—	—	647,692	647,692
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$646,840	\$(646,840)	—	—	—	\$647,692	\$647,692
TOTAL EXPENDITURES	\$13,095,952	\$(646,840)	—	\$570,478	—	\$811,914	\$13,831,504
Classified	56	—	—	—	—	—	56
Unclassified	9	—	—	—	—	—	9
TOTAL AUTHORIZED T.O. POSITIONS	65	—	—	—	—	—	65
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—	—	—

1652 - Market Compliance

Means of Financing

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEEES & SELF-GENERATED	20,421,824	—	—	1,132,591	—	1,017,978	22,572,393
STATUTORY DEDICATIONS	906,271	—	—	—	—	(906,271)	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$21,328,095	—	—	\$1,132,591	—	\$111,707	\$22,572,393

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Administrative Fund - DOI	1,221,419	—	—	—	—	—	1,221,419
Auto. Theft and Insurance Fraud Prev.	—	—	—	—	—	227,000	227,000
Fees & Self-Generated	19,200,405	—	—	1,132,591	—	99,273	20,432,269
Insurance Fraud Investigation Fund	—	—	—	—	—	691,705	691,705
Total:	\$20,421,824	—	—	\$1,132,591	—	\$1,017,978	\$22,572,393

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Auto. Theft and Insurance Fraud Prev.	227,000	—	—	—	—	(227,000)	—
Insurance Fraud Investigation Fund	679,271	—	—	—	—	(679,271)	—
Total:	\$906,271	—	—	—	—	\$(906,271)	—

Expenditures and Positions

Description	Existing Operating Budget as of 10/01/2021	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2022-2023 Requested Continuation Level
Salaries	10,889,889	—	—	678,466	—	12,434	11,580,789
Other Compensation	80,355	—	—	—	—	92,217	172,572
Related Benefits	6,205,601	—	—	454,125	—	7,056	6,666,782
TOTAL PERSONAL SERVICES	\$17,175,845	—	—	\$1,132,591	—	\$111,707	\$18,420,143
Travel	180,121	—	—	—	—	—	180,121
Operating Services	260,549	—	—	—	—	—	260,549
Supplies	30,716	—	—	—	—	—	30,716
TOTAL OPERATING EXPENSES	\$471,386	—	—	—	—	—	\$471,386
PROFESSIONAL SERVICES	\$3,196,949	—	—	—	—	—	\$3,196,949
Other Charges	227,000	—	—	—	—	—	227,000
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	256,915	—	—	—	—	—	256,915
TOTAL OTHER CHARGES	\$483,915	—	—	—	—	—	\$483,915
Acquisitions	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$21,328,095	—	—	\$1,132,591	—	\$111,707	\$22,572,393
Classified	139	—	—	—	—	—	139
Unclassified	18	—	—	—	—	—	18
TOTAL AUTHORIZED T.O. POSITIONS	157	—	—	—	—	—	157
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	—	—	—	—	—	3

CONTINUATION BUDGET ADJUSTMENTS - BY PROGRAM

Form 5960 — Non-recur FY22 Acquisitions and Major Repairs

1651 - Administrative/Fiscal

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(646,840)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(646,840)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	(646,840)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$(646,840)
TOTAL EXPENDITURES	\$(646,840)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(646,840)
Total:	\$(646,840)

Statutory Dedications

	Amount
Total:	—

Supporting Detail
Means of Financing

Description	Amount
Fees & Self-Generated	(646,840)
Total:	\$(646,840)

Acquisitions

Commitment item	Name	Amount
5710221	ACQ-COMP HARDWARE	(646,840)
Total:		\$(646,840)

Form 5961 — Inflation

1651 - Administrative/Fiscal

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	79,349
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	9,514
TOTAL MEANS OF FINANCING	\$88,863

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	1,493
Operating Services	56,838
Supplies	2,706
TOTAL OPERATING EXPENSES	\$61,037
PROFESSIONAL SERVICES	\$27,826
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$88,863

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	79,349
Total:	\$79,349

Statutory Dedications

	Amount
Total:	—

Supporting Detail

Means of Financing

Description	Amount
Federal Funds	9,514
Fees & Self-Generated	79,349
Total:	\$88,863

Travel

Commitment item	Name	Amount
5210010	IN-STATE TRAVEL-ADM	95
5210015	IN-STATE TRAVEL-CONF	136
5210020	IN-STATE TRAV-FIELD	207
5210050	OUT-OF-STATE TRV-ADM	436
5210055	OUT-OF-STTRV-CONF	592
5210060	OUT-OF-STTRV-FIELD	27
Total:		\$1,493

Operating Services

Commitment item	Name	Amount
5310001	SERV-ADVERTISING	154
5310004	SERV-BANK FEES	237
5310005	SERV-PRINTING	43
5310010	SERV-DUES & OTHER	4,715
5310011	SERV-SUBSCRIPTIONS	800
5310014	SERV-DRUG TESTING	15
5310042	SERV-BAR DUES	20
5310400	SERV-MISC	229
5330008	MAINT-EQUIPMENT	273
5330016	MAINT-DATA PROC EQP	2,049
5330018	MAINT-AUTO REPAIRS	9
5330026	MAINT-SOFTWRE MTCE	36,021
5340010	RENT-REAL ESTATE	315
5340020	RENT-EQUIPMENT	1,328
5340025	RENT-AUTOMOBILES	125

Operating Services (continued)

Commitment item	Name	Amount
5340078	RENT-DATA-LIC SOFT	9,233
5350001	UTIL-INTERNET PROVID	926
5350006	UTIL-MAIL/DEL/POST	346
Total:		\$56,838

Supplies

Commitment item	Name	Amount
5410001	SUP-OFFICE SUPPLIES	379
5410006	SUP-COMPUTER	1,926
5410013	SUP-FOOD & BEVERAGE	5
5410017	SUP-JANITORIAL	115
5410400	SUP-OTHER	281
Total:		\$2,706

Professional Services

Commitment item	Name	Amount
5510005	PROF SERV-LEGAL	600
5510014	PROF SERV-IT CONSLT	14,533
5510400	PROF SERV-OTHER	12,693
Total:		\$27,826

1652 - Market Compliance

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	87,908
STATUTORY DEDICATIONS	132
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$88,040

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	4,324
Operating Services	6,253
Supplies	737
TOTAL OPERATING EXPENSES	\$11,314
PROFESSIONAL SERVICES	\$76,726
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$88,040

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Administrative Fund - DOI	3,337
Fees & Self-Generated	84,571
Total:	\$87,908

Statutory Dedications

	Amount
Insurance Fraud Investigation Fund	132
Total:	\$132

Supporting Detail

Means of Financing

Description	Amount
Administrative Fund - DOI	3,337
Fees & Self-Generated	84,571
Insurance Fraud Investigation Fund	132
Total:	\$88,040

Travel

Commitment item	Name	Amount
5210010	IN-STATE TRAVEL-ADM	8
5210015	IN-STATE TRAVEL-CONF	1,328
5210020	IN-STATE TRAV-FIELD	897
5210025	IN-STATE TRV-BD MEM	3
5210050	OUT-OF-STATE TRV-ADM	54
5210055	OUT-OF-STTRV-CONF	1,928
5210060	OUT-OF-STTRV-FIELD	106
Total:		\$4,324

Operating Services

Commitment item	Name	Amount
5310001	SERV-ADVERTISING	1
5310005	SERV-PRINTING	31
5310010	SERV-DUES & OTHER	2,745
5310011	SERV-SUBSCRIPTIONS	10
5310042	SERV-BAR DUES	166
5310400	SERV-MISC	309
5340010	RENT-REAL ESTATE	694
5340025	RENT-AUTOMOBILES	621
5340078	RENT-DATA-LIC SOFT	1,101
5350004	UTIL-TELEPHONE SERV	40
5350006	UTIL-MAIL/DEL/POST	530
5350020	UTIL-MAIL/DEL/POST	5
Total:		\$6,253

Supplies

Commitment item	Name	Amount
5410001	SUP-OFFICE SUPPLIES	5
5410006	SUP-COMPUTER	286
5410013	SUP-FOOD & BEVERAGE	1
5410400	SUP-OTHER	445
Total:		\$737

Professional Services

Commitment item	Name	Amount
5510001	PROF SERV-ACCT/AUDIT	65,040
5510005	PROF SERV-LEGAL	3,960
5510014	PROF SERV-IT CONSLT	1,200
5510400	PROF SERV-OTHER	6,526
Total:		\$76,726

Form 6391 — 165 - Inflation Reversal

1651 - Administrative/Fiscal

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(79,349)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	(9,514)
TOTAL MEANS OF FINANCING	\$(88,863)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	(1,493)
Operating Services	(56,838)
Supplies	(2,706)
TOTAL OPERATING EXPENSES	\$(61,037)
PROFESSIONAL SERVICES	\$(27,826)
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(88,863)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(79,349)
Total:	\$(79,349)

Statutory Dedications

	Amount
Total:	—

Supporting Detail

Means of Financing

Description	Amount
Federal Funds	(9,514)
Fees & Self-Generated	(79,349)
Total:	\$(88,863)

Travel

Commitment item	Name	Amount
5210010	IN-STATE TRAVEL-ADM	(95)
5210015	IN-STATE TRAVEL-CONF	(136)
5210020	IN-STATE TRAV-FIELD	(207)
5210050	OUT-OF-STATE TRV-ADM	(436)
5210055	OUT-OF-STTRV-CONF	(592)
5210060	OUT-OF-STTRV-FIELD	(27)
Total:		\$(1,493)

Operating Services

Commitment item	Name	Amount
5310001	SERV-ADVERTISING	(154)
5310004	SERV-BANK FEES	(237)
5310005	SERV-PRINTING	(43)
5310010	SERV-DUES & OTHER	(4,715)
5310011	SERV-SUBSCRIPTIONS	(800)
5310014	SERV-DRUG TESTING	(15)
5310042	SERV-BAR DUES	(20)
5310400	SERV-MISC	(229)
5330008	MAINT-EQUIPMENT	(273)
5330016	MAINT-DATA PROC EQP	(2,049)
5330018	MAINT-AUTO REPAIRS	(9)
5330026	MAINT-SOFTWRE MTCE	(36,021)
5340010	RENT-REAL ESTATE	(315)
5340020	RENT-EQUIPMENT	(1,328)
5340025	RENT-AUTOMOBILES	(125)

Operating Services (continued)

Commitment item	Name	Amount
5340078	RENT-DATA-LIC SOFT	(9,233)
5350001	UTIL-INTERNET PROVID	(926)
5350006	UTIL-MAIL/DEL/POST	(346)
Total:		\$(56,838)

Supplies

Commitment item	Name	Amount
5410001	SUP-OFFICE SUPPLIES	(379)
5410006	SUP-COMPUTER	(1,926)
5410013	SUP-FOOD & BEVERAGE	(5)
5410017	SUP-JANITORIAL	(115)
5410400	SUP-OTHER	(281)
Total:		\$(2,706)

Professional Services

Commitment item	Name	Amount
5510005	PROF SERV-LEGAL	(600)
5510014	PROF SERV-IT CONSLT	(14,533)
5510400	PROF SERV-OTHER	(12,693)
Total:		\$(27,826)

1652 - Market Compliance

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(87,908)
STATUTORY DEDICATIONS	(132)
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(88,040)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	(4,324)
Operating Services	(6,253)
Supplies	(737)
TOTAL OPERATING EXPENSES	\$(11,314)
PROFESSIONAL SERVICES	\$(76,726)
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(88,040)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Administrative Fund - DOI	(3,337)
Fees & Self-Generated	(84,571)
Total:	\$(87,908)

Statutory Dedications

	Amount
Insurance Fraud Investigation Fund	(132)
Total:	\$(132)

Supporting Detail

Means of Financing

Description	Amount
Administrative Fund - DOI	(3,337)
Fees & Self-Generated	(84,571)
Insurance Fraud Investigation Fund	(132)
Total:	\$(88,040)

Travel

Commitment item	Name	Amount
5210010	IN-STATE TRAVEL-ADM	(8)
5210015	IN-STATE TRAVEL-CONF	(1,328)
5210020	IN-STATE TRAV-FIELD	(897)
5210025	IN-STATE TRV-BD MEM	(3)
5210050	OUT-OF-STATE TRV-ADM	(54)
5210055	OUT-OF-STTRV-CONF	(1,928)
5210060	OUT-OF-STTRV-FIELD	(106)
Total:		\$(4,324)

Operating Services

Commitment item	Name	Amount
5310001	SERV-ADVERTISING	(1)
5310005	SERV-PRINTING	(31)
5310010	SERV-DUES & OTHER	(2,745)
5310011	SERV-SUBSCRIPTIONS	(10)
5310042	SERV-BAR DUES	(166)
5310400	SERV-MISC	(309)
5340010	RENT-REAL ESTATE	(694)
5340025	RENT-AUTOMOBILES	(621)
5340078	RENT-DATA-LIC SOFT	(1,101)
5350004	UTIL-TELEPHONE SERV	(40)
5350006	UTIL-MAIL/DEL/POST	(530)
5350020	UTIL-MAIL/DEL/POST	(5)
Total:		\$(6,253)

Supplies

Commitment item	Name	Amount
5410001	SUP-OFFICE SUPPLIES	(5)
5410006	SUP-COMPUTER	(286)
5410013	SUP-FOOD & BEVERAGE	(1)
5410400	SUP-OTHER	(445)
Total:		\$(737)

Professional Services

Commitment item	Name	Amount
5510001	PROF SERV-ACCT/AUDIT	(65,040)
5510005	PROF SERV-LEGAL	(3,960)
5510014	PROF SERV-IT CONSLT	(1,200)
5510400	PROF SERV-OTHER	(6,526)
Total:		\$(76,726)

Form 6397 — 165 Personnel Services Base Adjustment

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	434,498
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$434,498

EXPENDITURES

	Amount
Salaries	310,276
Other Compensation	—
Related Benefits	124,222
TOTAL PERSONAL SERVICES	\$434,498
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$434,498

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	434,498
Total:	\$434,498

Statutory Dedications

	Amount
Total:	—

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	348,938
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$348,938

EXPENDITURES

	Amount
Salaries	220,345
Other Compensation	—
Related Benefits	128,593
TOTAL PERSONAL SERVICES	\$348,938
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$348,938

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	348,938
Total:	\$348,938

Statutory Dedications

	Amount
Insurance Fraud Investigation Fund	—
Total:	—

Question	Narrative Response
Explain the need for this request.	This request is needed to adjust current year EOB to match funding required per LDI's PEP report.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	If this adjustment is not funded, it will force the salaries and related benefits category into a deficit.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6660 — 165 Retirement Adjustments for Employees Ending DROP

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	56,855
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$56,855

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	56,855
TOTAL PERSONAL SERVICES	\$56,855
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$56,855

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	56,855
Total:	\$56,855

Statutory Dedications

	Amount
Total:	—

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	143,090
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$143,090

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	143,090
TOTAL PERSONAL SERVICES	\$143,090
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$143,090

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	143,090
Total:	\$143,090

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This adjustment is to request funding required to cover retirement expenses that will be incurred due to employees remaining employed upon the completion of DROP during Fiscal Year 2023.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	If this adjustment is not funded, it will force the related benefits category into a deficit.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6661 — 165 Unclassified Vacancies Adjustments

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	82,033
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$82,033

EXPENDITURES

	Amount
Salaries	58,200
Other Compensation	—
Related Benefits	23,833
TOTAL PERSONAL SERVICES	\$82,033
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$82,033

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	82,033
Total:	\$82,033

Statutory Dedications

	Amount
Total:	—

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	690,898
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$690,898

EXPENDITURES

	Amount
Salaries	490,172
Other Compensation	—
Related Benefits	200,726
TOTAL PERSONAL SERVICES	\$690,898
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$690,898

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	690,898
Total:	\$690,898

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	Unclassified salaries and related benefits projections for unclassified vacancies that were not included in LDI's PEP report. The positions were vacated shortly before the PEP report was compiled and will be filled in the current year which will result in funding needed for Fiscal Year 2022-2023. The unclassified positions include an Assistant to the Commissioner, Chief Actuary, and Actuary.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	If this adjustment is not funded, it will force the salaries and related benefits category into a deficit.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6663 — 165 Promotion/Vacancy filled after PEP

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	8,348
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$8,348

EXPENDITURES

	Amount
Salaries	5,923
Other Compensation	—
Related Benefits	2,425
TOTAL PERSONAL SERVICES	\$8,348
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$8,348

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	8,348
Total:	\$8,348

Statutory Dedications

	Amount
Total:	—

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(44,528)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(44,528)

EXPENDITURES

	Amount
Salaries	(27,932)
Other Compensation	—
Related Benefits	(16,596)
TOTAL PERSONAL SERVICES	\$(44,528)
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(44,528)

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(44,528)
Total:	\$(44,528)

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	Vacancies were filled after the PEP report was compiled. This adjustment is to request funding needed to cover the difference between the projected vacancies salary and related benefits amount per the PEP report versus the actual salary and related benefits expenditures that will be incurred for the filled positions.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	If this adjustment is not funded, it will force the salaries and related benefits category into a deficit.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6693 — 165 Ineligible Unclassified Market Adjustments Adjustment

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(11,256)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(11,256)

EXPENDITURES

	Amount
Salaries	(9,184)
Other Compensation	—
Related Benefits	(2,072)
TOTAL PERSONAL SERVICES	\$(11,256)
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(11,256)

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(11,256)
Total:	\$(11,256)

Statutory Dedications

	Amount
Total:	—

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(5,807)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(5,807)

EXPENDITURES

	Amount
Salaries	(4,119)
Other Compensation	—
Related Benefits	(1,688)
TOTAL PERSONAL SERVICES	\$(5,807)
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(5,807)

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(5,807)
Total:	\$(5,807)

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This adjustment is needed to reduce the market adjustment projected for specific unclassified positions that are not eligible for market adjustments based on limitations written in statute or due to the civil service approved pay scale.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	No impact.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6732 — 165 Replacement Acquisitions

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	647,692
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$647,692

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	647,692
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$647,692
TOTAL EXPENDITURES	\$647,692

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	647,692
Total:	\$647,692

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	Personal computers, notebook computers, servers, and network printers are needed to maintain the operating efficiency and effectiveness of the Louisiana Department of Insurance (LDI) information network infrastructure. Currently, the Department conforms to the Office of Technology standards and replaces personal computers every five years. The changing face of applications and platforms required to run increasingly demanding software should be the determinant factor in server infrastructure replacement. Desktop and network infrastructure should be replaced proactively and on a four to five year replacement cycle. It has been shown to lower the total cost of ownership.
Cite performance indicators for the adjustment.	1. The time it takes to process online transactions will increase. 2. The time it takes to back up databases will increase; eventually to the point it will not be possible to back up daily. 3. The time it takes to recover lost files will increase. 4. The time to recover from a disaster (hardware failure, fire, flood, etc.) will increase. 5. It will not be possible to upgrade certain software or obtain support for some software. 6. Eventually, the department will be unable to add information to databases.
What would the impact be if this is not funded?	IT hardware becomes obsolete within a period of time after purchase. Warranty on this equipment becomes increasingly expensive as systems reach the end of their service lifetimes and the warranty falls to third party vendors. The cost to operate obsolete equipment is increasing the total operating cost due to the equipment requiring more financial and staff resources to keep the equipment operational.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditures of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6734 — 165 Other Compensation Increase

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	66,182
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$66,182

EXPENDITURES

	Amount
Salaries	—
Other Compensation	61,478
Related Benefits	4,704
TOTAL PERSONAL SERVICES	\$66,182
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$66,182

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	66,182
Total:	\$66,182

Statutory Dedications

	Amount
Total:	—

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	99,273
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$99,273

EXPENDITURES

	Amount
Salaries	—
Other Compensation	92,217
Related Benefits	7,056
TOTAL PERSONAL SERVICES	\$99,273
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$99,273

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	99,273
Total:	\$99,273

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	The requested amount is to increase Other Compensation funding for two (2) WAE Insurance Specialist 2 positions in the Administration Fiscal Program to assist consumers through the Consumer Advocacy Division and three (3) WAE Insurance Specialist 2 positions in the Market Compliance Program to assist consumers through the Consumer Services Division. The Consumer Advocacy division receives consumer inquiries and complaints and provides insurance information on a multitude of insurance related topics at community outreach events. The Consumer Services division receives consumer inquiries and complaints on the claims handling practices of insurance issuers and producers conducting business in this state. The LDI is experiencing a sustained increase in call volume, assistance need, and complaints volume as a result of the past two (2) years of extremely heavy hurricane/storm seasons.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	Inability to hire the additional positions to handle the increased call volume, assistance need, and complaints volume generated from the heavy hurricane/storm seasons. Insufficient funding will impair the quality of services currently being provided.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6789 — 165 Legislative Auditor Increase

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	10,802
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$10,802

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	10,802
TOTAL OTHER CHARGES	\$10,802
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$10,802

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	10,802
Total:	\$10,802

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This adjustment reflects the increase for the Office of Legislative Auditor for Fiscal Year 2022-2023. Fiscal Year 2022-2023 Allocation \$102,674 Fiscal Year 2021-2022 Budget \$91,872 Total Legislative Auditor Adjustment \$10,802
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	If this adjustment is not funded, there would not be adequate budget authority for the increase in fees as allocated by the Louisiana Legislative Auditor per Act 78 of the 2017 Regular Session.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are self-generated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is non-restricted and can be based on recommended level of expenditures.
Additional information or comments.	N/A

Form 6795 — 165 SHIIP Budget Authority Increase

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	—
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	87,238
TOTAL MEANS OF FINANCING	\$87,238

EXPENDITURES

	Amount
Salaries	—
Other Compensation	53,320
Related Benefits	33,918
TOTAL PERSONAL SERVICES	\$87,238
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$87,238

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Question	Narrative Response
Explain the need for this request.	The requested amount is to increase budget authority to access available federal grant funds. The LDI's State Health Insurance Program (SHIP) receives federal funding through a grant awarded to states by the Department of Health and Human Services Administration for Community Living CDAP-State Health Insurance Assistance Program (CFDA No. 93.324). Additional funds have been made available through the grant that exceed the LDI's federal budget authority. Additionally, the SHIP program has been tasked with additional reporting requirements that will warrant the additional temporary staffing during the open enrollment period.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	The SHIP Program would not have access to the additional federal grant funds available for use. Additionally, the SHIP Program would not have adequate staffing and funding to handle the additional reporting requirements.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are federal grant funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is restricted to the expenditures of the SHIP Program as specified in the grant award.
Additional information or comments.	N/A

Form 6846 — 165 Ins Fraud Investigation Fund Budget Authority Increase

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	12,434
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$12,434

EXPENDITURES

	Amount
Salaries	12,434
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	\$12,434
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$12,434

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Insurance Fraud Investigation Fund	12,434
Total:	\$12,434

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This request is to increase statutory dedicated budget authority for the Insurance Fraud Investigation Fund to match estimated revenue collections for Fiscal year 2022-2023. FY 2021-2022 Existing Budget \$679,271 FY 2022-2023 Requested Budget \$691,705 Difference \$12,434 Note: The numbers are related to the Market Compliance Program only and do not include \$30,000 of Insurance Fraud Investigation Fund (Statutory Dedicated Funds) in the Administration/Fiscal Program. Total projected collections for FY 2022-2023 are expected to be \$721,705 with \$30,000 allotted to the Administration/Fiscal Program.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	If the adjustment is not funded, there would not be adequate budget authority to utilize the projected collections in the Insurance Fraud Investigation Fund.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are statutory dedicated funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is restricted to the Insurance Fraud Program and can be based on the recommended level of expenditures.
Additional information or comments.	N/A

Form 6966 — 165 - I09 and I12 Fund Account MOF Swap

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	30,000
STATUTORY DEDICATIONS	(30,000)
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	—

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	—

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Insurance Fraud Investigation Fund	30,000
Total:	\$30,000

Statutory Dedications

	Amount
Insurance Fraud Investigation Fund	(30,000)
Total:	\$(30,000)

1652 - Market Compliance

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	906,271
STATUTORY DEDICATIONS	(906,271)
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	—

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	—

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Auto. Theft and Insurance Fraud Prev.	227,000
Insurance Fraud Investigation Fund	679,271
Total:	\$906,271

Statutory Dedications

	Amount
Auto. Theft and Insurance Fraud Prev.	(227,000)
Insurance Fraud Investigation Fund	(679,271)
Total:	\$(906,271)

Question	Narrative Response
Explain the need for this request.	This adjustment is to comply with Act 114 of the 2021 Regular Legislative Session that amended dedicated funds to statutorily dedicated fund accounts. The funds are reclassified as fees and self-generated revenues and shall be categorized as such for reporting purposes in accordance with the new laws. This adjustment is reclassifying the I09-Insurance Fraud Investigation Fund and the I12-Automobile Theft and Insurance Fraud Prevention Authority fund from dedicated funds to statutorily dedicated fund accounts.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	If this adjustment is not funded then the statutorily dedicated fund accounts would be misclassified and not in compliance with Act 114 of the 2021 Regular Legislative Session.
Is revenue a fixed amount or can it be adjusted?	Revenue is a fixed amount for both the I09 and I12 accounts.
Is the expenditure of these revenues restricted?	Yes, the expenditures for these revenues is restricted to the respective funds and for the purposes identified in statute.
Additional information or comments.	N/A



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Technical and Other Adjustments

AGENCY SUMMARY STATEMENT

Total Agency

	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in this Adjustment Package	FY2022-2023 Requested Realignment
Means of Financing				
STATE GENERAL FUND (Direct)	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—
FEES & SELF-GENERATED	32,770,301	2,828,883	—	35,599,184
STATUTORY DEDICATIONS	936,271	(936,271)	—	—
FEDERAL FUNDS	717,475	87,238	—	804,713
TOTAL MEANS OF FINANCING	\$34,424,047	\$1,979,850	—	\$36,403,897
Salaries	15,469,341	1,056,115	61,797	16,587,253
Other Compensation	214,942	207,015	5,703	427,660
Related Benefits	8,744,875	705,066	24,256	9,474,197
TOTAL PERSONAL SERVICES	\$24,429,158	\$1,968,196	\$91,756	\$26,489,110
Travel	242,313	—	—	242,313
Operating Services	2,628,845	—	(3,600)	2,625,245
Supplies	143,424	—	—	143,424
TOTAL OPERATING EXPENSES	\$3,014,582	—	\$(3,600)	\$3,010,982
PROFESSIONAL SERVICES	\$4,356,387	—	\$(91,756)	\$4,264,631
Other Charges	227,000	—	—	227,000
Debt Service	—	—	—	—
Interagency Transfers	1,750,080	10,802	3,600	1,764,482
TOTAL OTHER CHARGES	\$1,977,080	\$10,802	\$3,600	\$1,991,482
Acquisitions	646,840	852	—	647,692
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$646,840	\$852	—	\$647,692
TOTAL EXPENDITURES	\$34,424,047	\$1,979,850	—	\$36,403,897
Classified	195	—	—	195
Unclassified	27	—	—	27
TOTAL AUTHORIZED T.O. POSITIONS	222	—	—	222
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	—	—	3

PROGRAM BREAKOUT

Means of Financing	Requested in this Adjustment Package	1651 Administrative/Fiscal	1652 Market Compliance
STATE GENERAL FUND (Direct)	—	—	—
STATE GENERAL FUND BY:	—	—	—
INTERAGENCY TRANSFERS	—	—	—
FEES & SELF-GENERATED	—	—	—
STATUTORY DEDICATIONS	—	—	—
FEDERAL FUNDS	—	—	—
TOTAL MEANS OF FINANCING	—	—	—
Salaries	61,797	61,797	—
Other Compensation	5,703	5,703	—
Related Benefits	24,256	24,256	—
TOTAL SALARIES	\$91,756	\$91,756	—
Travel	—	—	—
Operating Services	(3,600)	(3,600)	—
Supplies	—	—	—
TOTAL OPERATING EXPENSES	\$(3,600)	\$(3,600)	—
PROFESSIONAL SERVICES	\$(91,756)	\$(91,756)	—
Other Charges	—	—	—
Debt Service	—	—	—
Interagency Transfers	3,600	3,600	—
TOTAL OTHER CHARGES	\$3,600	\$3,600	—
Acquisitions	—	—	—
Major Repairs	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—
TOTAL EXPENDITURES & REQUEST	—	—	—
Classified	—	—	—
Unclassified	—	—	—
TOTAL AUTHORIZED T.O. POSITIONS	—	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—

PROGRAM SUMMARY STATEMENT

1651 - Administrative/Fiscal

Means of Financing	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in this Adjustment Package	FY2022-2023 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—
FEE & SELF-GENERATED	12,348,477	678,314	—	13,026,791
STATUTORY DEDICATIONS	30,000	(30,000)	—	—
FEDERAL FUNDS	717,475	87,238	—	804,713
TOTAL MEANS OF FINANCING	\$13,095,952	\$735,552	—	\$13,831,504
Salaries	4,579,452	365,215	61,797	5,006,464
Other Compensation	134,587	114,798	5,703	255,088
Related Benefits	2,539,274	243,885	24,256	2,807,415
TOTAL PERSONAL SERVICES	\$7,253,313	\$723,898	\$91,756	\$8,068,967
Travel	62,192	—	—	62,192
Operating Services	2,368,296	—	(3,600)	2,364,696
Supplies	112,708	—	—	112,708
TOTAL OPERATING EXPENSES	\$2,543,196	—	\$(3,600)	\$2,539,596
PROFESSIONAL SERVICES	\$1,159,438	—	\$(91,756)	\$1,067,682
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	1,493,165	10,802	3,600	1,507,567
TOTAL OTHER CHARGES	\$1,493,165	\$10,802	\$3,600	\$1,507,567
Acquisitions	646,840	852	—	647,692
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$646,840	\$852	—	\$647,692
TOTAL EXPENDITURES	\$13,095,952	\$735,552	—	\$13,831,504
Classified	56	—	—	56
Unclassified	9	—	—	9
TOTAL AUTHORIZED T.O. POSITIONS	65	—	—	65
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—

1652 - Market Compliance

Means of Financing	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in this Adjustment Package	FY2022-2023 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—
FEEs & SELF-GENERATED	20,421,824	2,150,569	—	22,572,393
STATUTORY DEDICATIONS	906,271	(906,271)	—	—
FEDERAL FUNDS	—	—	—	—
TOTAL MEANS OF FINANCING	\$21,328,095	\$1,244,298	—	\$22,572,393
Salaries	10,889,889	690,900	—	11,580,789
Other Compensation	80,355	92,217	—	172,572
Related Benefits	6,205,601	461,181	—	6,666,782
TOTAL PERSONAL SERVICES	\$17,175,845	\$1,244,298	—	\$18,420,143
Travel	180,121	—	—	180,121
Operating Services	260,549	—	—	260,549
Supplies	30,716	—	—	30,716
TOTAL OPERATING EXPENSES	\$471,386	—	—	\$471,386
PROFESSIONAL SERVICES	\$3,196,949	—	—	\$3,196,949
Other Charges	227,000	—	—	227,000
Debt Service	—	—	—	—
Interagency Transfers	256,915	—	—	256,915
TOTAL OTHER CHARGES	\$483,915	—	—	\$483,915
Acquisitions	—	—	—	—
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—
TOTAL EXPENDITURES	\$21,328,095	\$1,244,298	—	\$22,572,393
Classified	139	—	—	139
Unclassified	18	—	—	18
TOTAL AUTHORIZED T.O. POSITIONS	157	—	—	157
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	—	—	3

TECHNICAL AND OTHER ADJUSTMENTS

Form 6927 — 165 Realignment of SHIP Budget

1651 - Administrative/Fiscal

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	—
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	—

EXPENDITURES

	Amount
Salaries	61,797
Other Compensation	5,703
Related Benefits	24,256
TOTAL PERSONAL SERVICES	\$91,756
Travel	—
Operating Services	(3,600)
Supplies	—
TOTAL OPERATING EXPENSES	\$(3,600)
PROFESSIONAL SERVICES	\$(91,756)
Other Charges	—
Debt Service	—
Interagency Transfers	3,600
TOTAL OTHER CHARGES	\$3,600
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	—

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This Technical Adjustment Package realigns existing federal budget authority across multiple expenditure categories to provide the proper realignment of budget authority for the July 1, 2022 to June 30, 2023 fiscal period. The LDI plans to access increased federal grant funds made available through the Department of Health and Human Services Administration for Community Living CDAP-State Health Insurance Assistance Program (CFDA No. 93.324). The LDI's Senior Health Insurance Program (SHIP) provides health-insurance related information to senior citizens and others eligible for Medicare. SHIP's duties, under its federal grant expansion, support federal efforts to increase awareness of certain provisions of the Patient Protection and Affordable Care Act of 2010. This program relies on area councils on aging across the state in addition to LDI staff to provide outreach assistance to seniors. The SHIP program also utilizes temporary staffing to assist with these efforts in addition to handling a large volume of telephone calls during the open enrollment period. An increase in reporting requirements at the federal level has prompted the need for additional staffing for the program. This request is simply realigning the state budget authority to better reflect the planned spending through the federal grant.
Cite performance indicators for the adjustment.	N/A
What would the impact be if this is not funded?	The SHIIP Program would not have access to the additional federal grant funds available for use. Additionally, the SHIIP Program would not have adequate staffing and funding to handle the additional reporting requirements.
Is revenue a fixed amount or can it be adjusted?	The requested revenues are federal grant funds.
Is the expenditure of these revenues restricted?	The expenditure of these revenues is restricted to the expenditures of the SHIIP Program as specified in the grant award.
Additional information or comments.	N/A



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New or Expanded Requests

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing and Expenditures	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	32,770,301	2,828,883	—	—	35,599,184
STATUTORY DEDICATIONS	936,271	(936,271)	—	—	—
FEDERAL FUNDS	717,475	178,994	—	—	896,469
TOTAL MEANS OF FINANCING	\$34,424,047	\$2,071,606	—	—	\$36,495,653
Salaries	15,469,341	1,117,912	61,797	—	16,649,050
Other Compensation	214,942	212,718	5,703	—	421,957
Related Benefits	8,744,875	729,322	24,256	—	9,498,453
TOTAL PERSONAL SERVICES	\$24,429,158	\$2,059,952	\$91,756	—	\$26,580,866
Travel	242,313	—	—	—	242,313
Operating Services	2,628,845	—	(3,600)	—	2,625,245
Supplies	143,424	—	—	—	143,424
TOTAL OPERATING EXPENSES	\$3,014,582	—	\$(3,600)	—	\$3,010,982
PROFESSIONAL SERVICES	\$4,356,387	—	\$(91,756)	—	\$4,264,631
Other Charges	227,000	—	—	—	227,000
Debt Service	—	—	—	—	—
Interagency Transfers	1,750,080	10,802	3,600	—	1,764,482
TOTAL OTHER CHARGES	\$1,977,080	\$10,802	\$3,600	—	\$1,991,482
Acquisitions	646,840	852	—	—	647,692
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$646,840	\$852	—	—	\$647,692
TOTAL EXPENDITURES	\$34,424,047	\$2,071,606	—	—	\$36,495,653
Classified	195	—	—	—	195
Unclassified	27	—	—	—	27
TOTAL AUTHORIZED T.O. POSITIONS	222	—	—	—	222
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	—	—	—	3

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
Administrative Fund - DOI	1,221,419	—	—	—	1,221,419
Auto. Theft and Insurance Fraud Prev.	—	227,000	—	—	227,000
Fees & Self-Generated	31,548,882	1,880,178	—	—	33,429,060
Insurance Fraud Investigation Fund	—	721,705	—	—	721,705
Total:	\$32,770,301	\$2,828,883	—	—	\$35,599,184

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
Auto. Theft and Insurance Fraud Prev.	227,000	(227,000)	—	—	—
Insurance Fraud Investigation Fund	709,271	(709,271)	—	—	—
Total:	\$936,271	\$(936,271)	—	—	—

PROGRAM SUMMARY STATEMENT

1651 - Administrative/Fiscal

Means of Financing and Expenditures	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	12,348,477	678,314	—	—	13,026,791
STATUTORY DEDICATIONS	30,000	(30,000)	—	—	—
FEDERAL FUNDS	717,475	178,994	—	—	896,469
TOTAL MEANS OF FINANCING	\$13,095,952	\$827,308	—	—	\$13,923,260
Salaries	4,579,452	427,012	61,797	—	4,944,667
Other Compensation	134,587	120,501	5,703	—	249,385
Related Benefits	2,539,274	268,141	24,256	—	2,831,671
TOTAL PERSONAL SERVICES	\$7,253,313	\$815,654	\$91,756	—	\$8,160,723
Travel	62,192	—	—	—	62,192
Operating Services	2,368,296	—	(3,600)	—	2,364,696
Supplies	112,708	—	—	—	112,708
TOTAL OPERATING EXPENSES	\$2,543,196	—	\$(3,600)	—	\$2,539,596
PROFESSIONAL SERVICES	\$1,159,438	—	\$(91,756)	—	\$1,067,682
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,493,165	10,802	3,600	—	1,507,567
TOTAL OTHER CHARGES	\$1,493,165	\$10,802	\$3,600	—	\$1,507,567
Acquisitions	646,840	852	—	—	647,692
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$646,840	\$852	—	—	\$647,692
TOTAL EXPENDITURES	\$13,095,952	\$827,308	—	—	\$13,923,260
Classified	56	—	—	—	56
Unclassified	9	—	—	—	9
TOTAL AUTHORIZED T.O. POSITIONS	65	—	—	—	65
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
Fees & Self-Generated	12,348,477	648,314	—	—	12,996,791
Insurance Fraud Investigation Fund	—	30,000	—	—	30,000
Total:	\$12,348,477	\$678,314	—	—	\$13,026,791

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
Insurance Fraud Investigation Fund	30,000	(30,000)	—	—	—
Total:	\$30,000	\$(30,000)	—	—	—

1652 - Market Compliance

Means of Financing and Expenditures	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	20,421,824	2,150,569	—	—	22,572,393
STATUTORY DEDICATIONS	906,271	(906,271)	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$21,328,095	\$1,244,298	—	—	\$22,572,393
Salaries	10,889,889	690,900	—	—	11,580,789
Other Compensation	80,355	92,217	—	—	172,572
Related Benefits	6,205,601	461,181	—	—	6,666,782
TOTAL PERSONAL SERVICES	\$17,175,845	\$1,244,298	—	—	\$18,420,143
Travel	180,121	—	—	—	180,121
Operating Services	260,549	—	—	—	260,549
Supplies	30,716	—	—	—	30,716
TOTAL OPERATING EXPENSES	\$471,386	—	—	—	\$471,386
PROFESSIONAL SERVICES	\$3,196,949	—	—	—	\$3,196,949
Other Charges	227,000	—	—	—	227,000
Debt Service	—	—	—	—	—
Interagency Transfers	256,915	—	—	—	256,915
TOTAL OTHER CHARGES	\$483,915	—	—	—	\$483,915
Acquisitions	—	—	—	—	—
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—
TOTAL EXPENDITURES	\$21,328,095	\$1,244,298	—	—	\$22,572,393
Classified	139	—	—	—	139
Unclassified	18	—	—	—	18
TOTAL AUTHORIZED T.O. POSITIONS	157	—	—	—	157
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	—	—	—	3

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
Administrative Fund - DOI	1,221,419	—	—	—	1,221,419
Auto. Theft and Insurance Fraud Prev.	—	227,000	—	—	227,000
Fees & Self-Generated	19,200,405	1,231,864	—	—	20,432,269
Insurance Fraud Investigation Fund	—	691,705	—	—	691,705
Total:	\$20,421,824	\$2,150,569	—	—	\$22,572,393

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustment	FY2022-2023 Requested in Technical/Other Package	FY2022-2023 Requested New/Expanded	FY2022-2023 Requested Realignment
Auto. Theft and Insurance Fraud Prev.	227,000	(227,000)	—	—	—
Insurance Fraud Investigation Fund	679,271	(679,271)	—	—	—
Total:	\$906,271	\$(906,271)	—	—	—



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Total Request Summary

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	29,645,729	32,770,301	2,828,883	—	—	35,599,184	2,828,883
STATUTORY DEDICATIONS	857,786	936,271	(936,271)	—	—	—	(936,271)
FEDERAL FUNDS	366,289	717,475	87,238	—	—	804,713	87,238
TOTAL MEANS OF FINANCING	\$30,869,804	\$34,424,047	\$1,979,850	—	—	\$36,403,897	\$1,979,850

Fees and Self-Generated

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Administrative Fund - DOI	955,157	1,221,419	—	—	—	1,221,419	—
Auto. Theft and Insurance Fraud Prev.	—	—	227,000	—	—	227,000	227,000
Fees & Self-Generated	28,690,572	31,548,882	1,880,178	—	—	33,429,060	1,880,178
Insurance Fraud Investigation Fund	—	—	721,705	—	—	721,705	721,705
Total:	\$29,645,729	\$32,770,301	\$2,828,883	—	—	\$35,599,184	\$2,828,883

Statutory Dedications

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Auto. Theft and Insurance Fraud Prev.	174,775	227,000	(227,000)	—	—	—	(227,000)
Insurance Fraud Investigation Fund	683,011	709,271	(709,271)	—	—	—	(709,271)
Total:	\$857,786	\$936,271	\$(936,271)	—	—	—	\$(936,271)

Expenditures and Positions

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Salaries	14,980,740	15,469,341	1,056,115	61,797	—	16,587,253	1,117,912
Other Compensation	133,225	214,942	207,015	5,703	—	427,660	212,718
Related Benefits	8,377,785	8,744,875	705,066	24,256	—	9,474,197	729,322
TOTAL PERSONAL SERVICES	\$23,491,751	\$24,429,158	\$1,968,196	\$91,756	—	\$26,489,110	\$2,059,952
Travel	26,262	242,313	—	—	—	242,313	—
Operating Services	2,442,739	2,628,845	—	(3,600)	—	2,625,245	(3,600)
Supplies	102,044	143,424	—	—	—	143,424	—
TOTAL OPERATING EXPENSES	\$2,571,045	\$3,014,582	—	\$(3,600)	—	\$3,010,982	\$(3,600)
PROFESSIONAL SERVICES	\$2,448,269	\$4,356,387	—	\$(91,756)	—	\$4,264,631	\$(91,756)
Other Charges	174,775	227,000	—	—	—	227,000	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,563,611	1,750,080	10,802	3,600	—	1,764,482	14,402
TOTAL OTHER CHARGES	\$1,738,386	\$1,977,080	\$10,802	\$3,600	—	\$1,991,482	\$14,402
Acquisitions	620,353	646,840	852	—	—	647,692	852
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$620,353	\$646,840	\$852	—	—	\$647,692	\$852
TOTAL EXPENDITURES	\$30,869,804	\$34,424,047	\$1,979,850	—	—	\$36,403,897	\$1,979,850
Classified	195	195	—	—	—	195	—
Unclassified	27	27	—	—	—	27	—
TOTAL AUTHORIZED T.O. POSITIONS	222	222	—	—	—	222	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	3	—	—	—	3	—

PROGRAM SUMMARY STATEMENT

1651 - Administrative/Fiscal

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	11,816,507	12,348,477	678,314	—	—	13,026,791	678,314
STATUTORY DEDICATIONS	30,000	30,000	(30,000)	—	—	—	(30,000)
FEDERAL FUNDS	366,289	717,475	87,238	—	—	804,713	87,238
TOTAL MEANS OF FINANCING	\$12,212,796	\$13,095,952	\$735,552	—	—	\$13,831,504	\$735,552

Fees and Self-Generated

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Fees & Self-Generated	11,816,507	12,348,477	648,314	—	—	12,996,791	648,314
Insurance Fraud Investigation Fund	—	—	30,000	—	—	30,000	30,000
Total:	\$11,816,507	\$12,348,477	\$678,314	—	—	\$13,026,791	\$678,314

Expenditures and Positions

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Salaries	4,499,361	4,579,452	365,215	61,797	—	5,006,464	427,012
Other Compensation	130,919	134,587	114,798	5,703	—	255,088	120,501
Related Benefits	2,479,760	2,539,274	243,885	24,256	—	2,807,415	268,141
TOTAL PERSONAL SERVICES	\$7,110,040	\$7,253,313	\$723,898	\$91,756	—	\$8,068,967	\$815,654
Travel	8,097	62,192	—	—	—	62,192	—
Operating Services	2,251,677	2,368,296	—	(3,600)	—	2,364,696	(3,600)
Supplies	79,532	112,708	—	—	—	112,708	—
TOTAL OPERATING EXPENSES	\$2,339,306	\$2,543,196	—	\$(3,600)	—	\$2,539,596	\$(3,600)
PROFESSIONAL SERVICES	\$751,699	\$1,159,438	—	\$(91,756)	—	\$1,067,682	\$(91,756)
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,391,399	1,493,165	10,802	3,600	—	1,507,567	14,402
TOTAL OTHER CHARGES	\$1,391,399	\$1,493,165	\$10,802	\$3,600	—	\$1,507,567	\$14,402
Acquisitions	620,353	646,840	852	—	—	647,692	852
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$620,353	\$646,840	\$852	—	—	\$647,692	\$852
TOTAL EXPENDITURES	\$12,212,796	\$13,095,952	\$735,552	—	—	\$13,831,504	\$735,552
Classified	56	56	—	—	—	56	—
Unclassified	9	9	—	—	—	9	—
TOTAL AUTHORIZED T.O. POSITIONS	65	65	—	—	—	65	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—	—	—

1652 - Market Compliance

Means of Financing

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	17,829,222	20,421,824	2,150,569	—	—	22,572,393	2,150,569
STATUTORY DEDICATIONS	827,786	906,271	(906,271)	—	—	—	(906,271)
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$18,657,007	\$21,328,095	\$1,244,298	—	—	\$22,572,393	\$1,244,298

Fees and Self-Generated

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Administrative Fund - DOI	955,157	1,221,419	—	—	—	1,221,419	—
Auto. Theft and Insurance Fraud Prev.	—	—	227,000	—	—	227,000	227,000
Fees & Self-Generated	16,874,065	19,200,405	1,231,864	—	—	20,432,269	1,231,864
Insurance Fraud Investigation Fund	—	—	691,705	—	—	691,705	691,705
Total:	\$17,829,222	\$20,421,824	\$2,150,569	—	—	\$22,572,393	\$2,150,569

Statutory Dedications

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Auto. Theft and Insurance Fraud Prev.	174,775	227,000	(227,000)	—	—	—	(227,000)
Insurance Fraud Investigation Fund	653,011	679,271	(679,271)	—	—	—	(679,271)
Total:	\$827,786	\$906,271	\$(906,271)	—	—	—	\$(906,271)

Expenditures and Positions

Description	FY2020-2021 Actuals	Existing Operating Budget as of 10/01/2021	FY2022-2023 Requested Continuation Adjustments	FY2022-2023 Requested in Technical/Other Adjustments	FY2022-2023 Requested New or Expanded Adjustments	FY2022-2023 Total Request	Over/Under EOB
Salaries	10,481,379	10,889,889	690,900	—	—	11,580,789	690,900
Other Compensation	2,306	80,355	92,217	—	—	172,572	92,217
Related Benefits	5,898,025	6,205,601	461,181	—	—	6,666,782	461,181
TOTAL PERSONAL SERVICES	\$16,381,711	\$17,175,845	\$1,244,298	—	—	\$18,420,143	\$1,244,298
Travel	18,165	180,121	—	—	—	180,121	—
Operating Services	191,063	260,549	—	—	—	260,549	—
Supplies	22,512	30,716	—	—	—	30,716	—
TOTAL OPERATING EXPENSES	\$231,739	\$471,386	—	—	—	\$471,386	—
PROFESSIONAL SERVICES	\$1,696,570	\$3,196,949	—	—	—	\$3,196,949	—
Other Charges	174,775	227,000	—	—	—	227,000	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	172,213	256,915	—	—	—	256,915	—
TOTAL OTHER CHARGES	\$346,987	\$483,915	—	—	—	\$483,915	—
Acquisitions	—	—	—	—	—	—	—
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—	—	—	—	—	—
TOTAL EXPENDITURES	\$18,657,007	\$21,328,095	\$1,244,298	—	—	\$22,572,393	\$1,244,298
Classified	139	139	—	—	—	139	—
Unclassified	18	18	—	—	—	18	—
TOTAL AUTHORIZED T.O. POSITIONS	157	157	—	—	—	157	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	3	3	—	—	—	3	—

Addenda

INTERAGENCY TRANSFERS



LOUISIANA LEGISLATIVE AUDITOR
MICHAEL J. "MIKE" WAGUESPACK, CPA

October 6, 2021

Mr. James J. Donelon, Commissioner
Louisiana Department of Insurance
Post Office Box 94214
Baton Rouge, Louisiana 70804-9214

Dear Commissioner Donelon,

Act 117 of the 2021 Regular Legislative Session authorized the Legislative Auditor to allocate and collect from each auditee included in the state's Comprehensive Annual Financial Report such amounts as may be reasonably necessary to compensate the Legislative Auditor for services rendered.

I understand your agency is currently preparing its budget for the 2022-2023 fiscal year. I ask that you include \$102,674 for the 2022-2023 regular allocation of audit costs in your budget.

Your agency's audit allocation is based on the cost of audit services provided to you. If additional audit services are requested or required, those services may result in additional audit costs charged to your agency. Those costs would be discussed with you prior to any additional allocation.

Inquiries concerning this allocation should be directed to Mr. Ernest F. Summerville, Jr., CPA, First Assistant Legislative Auditor, at (225) 339-3995. I appreciate the many courtesies extended my staff over the years and look forward to working with you in the future.

Sincerely,

A handwritten signature in blue ink, appearing to read "Mike Waguespack".

Michael J. Waguespack, CPA
Louisiana Legislative Auditor

MJW:EFS:lm

Allocation Letter 2023-ID 3454

SUNSET REVIEW

LEGISLATIVELY AUTHORIZED ACTIVITIES CURRENTLY UNFUNDED

SRBRA

Agency: DEPARTMENT OF INSURANCE

Program:

(8/20)

ACTIVITY	LEGAL CITATION AND YEAR	IF FUNDED IN PAST, WHEN AND WHY WAS FUNDING ELIMINATED	FUNDING REQUESTED IN PRIOR YEARS?	ESTIMATED COST BY MEANS OF FINANCE	FIRST YEAR COST	SECOND YEAR COST
NOT APPLICABLE				General Fund (Direct)		
				General Fund By		
				Interagency Transfer		
				Fees & Self-Generated		
				Statutory Dedication		
				Federal		
				TOTAL	\$ -	\$ -

ACTIVITY	LEGAL CITATION AND YEAR	IF FUNDED IN PAST, WHEN AND WHY WAS FUNDING ELIMINATED	FUNDING REQUESTED IN PRIOR YEARS?	ESTIMATED COST BY MEANS OF FINANCE	FIRST YEAR COST	SECOND YEAR COST
NOT APPLICABLE				General Fund (Direct)		
				General Fund By		
				Interagency Transfer		
				Fees & Self-Generated		
				Statutory Dedication		
				Federal		
				TOTAL	\$ -	\$ -

ACTIVITY	LEGAL CITATION AND YEAR	IF FUNDED IN PAST, WHEN AND WHY WAS FUNDING ELIMINATED	FUNDING REQUESTED IN PRIOR YEARS?	ESTIMATED COST BY MEANS OF FINANCE	FIRST YEAR COST	SECOND YEAR COST
NOT APPLICABLE				General Fund (Direct)		
				General Fund By		
				Interagency Transfer		
				Fees & Self-Generated		
				Statutory Dedication		
				Federal		
				TOTAL	\$ -	\$ -

INFORMATION TECHNOLOGY

**OFFICE OF INFORMATION TECHNOLOGY
DEPARTMENTAL SUMMARY OF FUNDING/EXPENDITURES
FISCAL YEAR 2021-2022**

DEPARTMENT		PRIOR YEAR ACTUAL 2020-2021	OPERATING BUDGET 2021-2022			
04-165 - Commissioner of Insurance						
MEANS OF FINANCING						
FEES & SELF-GENERATED REVENUES		\$ 4,181,796	\$ 4,485,546			
STATUTORY DEDICATIONS		\$ 2,386				
FEDERAL FUNDS		\$ 2,580	\$ 5,400			
TOTAL MEANS OF FINANCING		\$ 4,186,762	\$ 4,490,946			
EXPENDITURES AND REQUESTS						
<i>PERSONAL SERVICES</i>						
Salaries		\$ 562,019	\$ 599,952			
Other Compensation		\$ -	\$ -			
Related Benefits		\$ 276,703	\$ 230,379			
TOTAL PERSONAL SERVICES		\$ 838,722	\$ 830,331			
<i>OPERATING EXPENSES</i>						
Software Licensing		\$ 354,582	\$ 430,540			
Software Maintenance		\$ 1,439,075	\$ 1,500,868			
Hardware Rentals, Leases, or Financing		\$ -	\$ -			
Hardware Maintenance		\$ 83,555	\$ 85,388			
Data Lines and Circuits		\$ -	\$ -			
Contract Services		\$ -	\$ -			
Travel		\$ -	\$ -			
Supplies		\$ 51,916	\$ 92,863			
Other (Internet Svcs, IATs for Telephones & OTS Costs)		\$ 281,294	\$ 248,559			
TOTAL OPERATING EXPENSES		\$ 2,210,422	\$ 2,358,218			
TOTAL PROFESSIONAL SERVICES		\$ 558,001	\$ 655,557			
<i>ACQUISITIONS AND MAJOR REPAIRS</i>						
Hardware Acquisitions		\$ 579,617	\$ 646,840			
Major Repairs		\$ -	\$ -			
TOTAL ACQUISITIONS & MAJOR REPAIRS		\$579,617	\$646,840			
TOTAL EXPENDITURES AND REQUESTS		\$4,186,762	\$4,490,946			
TOTAL IT FULL-TIME EQUIVALENTS						
Job Function	Worker Type			Worker Type		
	<i>Perm IT T.O.</i>	<i>Other</i>	<i>Contract</i>	<i>Perm IT T.O.</i>	<i>Other</i>	<i>Contract</i>
Infrastructure	3.0		6.0	2.0		7.0
Application Development	2.0		12.0	2.0		13.0
Management/Administration	2.0			2.0		
Vacant	1.0			2.0		
TOTAL FTEs by Worker Type	8.0		18.0	8.0		20.0
TOTAL FTEs by Year	26.0			28.0		



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