

Agency Budget Request

FISCAL YEAR 2023–2024



Executive Department
255 — Office of Financial Institutions



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Signature Page

BUDGET REQUEST

Fiscal Year Ending June 30,2024

NAME OF DEPARTMENT / AGENCY: EXECUTIVE PHYSICAL ADDRESS: 8660 UNITED PLAZA BLVD, 2ND FLOOR
BUDGET UNIT: OFFICE OF FINANCIAL INSTITUTIONS BATON ROUGE, LA
SCHEDULE NUMBER: 01-255 ZIP CODE: 70809-7024
TELEPHONE NUMBER: (225) 925-4660 WEB ADDRESS: HTTP://WWW.OFI.LA.GOV

WE HEREBY CERTIFY THAT THE STATEMENTS AND FIGURES ON THE ACCOMPANYING FORMS ARE TRUE AND CORRECT TO THE BEST OF OUR KNOWLEDGE.

HEAD OF DEPARTMENT: _____ PRINTED NAME/TITLE: _____ DATE: _____ EMAIL ADDRESS: _____	HEAD OF BUDGET UNIT:  PRINTED NAME/TITLE: <u>STANLEY M. DAMERON</u> DATE: <u>10/20/2022</u> EMAIL ADDRESS: <u>SDAMERON@OFI.LA.GOV</u>
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PROGRAM CONTACT PERSON: <u>CHRISTINE O. KIRKLAND</u> TITLE: <u>DEPUTY UNDERSECRETARY</u> TELEPHONE NUMBER: <u>(225) 922-2627</u> EMAIL ADDRESS: <u>CKIRKLAND@OFI.LA.GOV</u>	FINANCIAL CONTACT PERSON: <u>DAWN IUELI</u> TITLE: <u>ACCOUNTANT ADMINISTRATOR 1</u> TELEPHONE NUMBER: <u>(225) 925-3671</u> EMAIL ADDRESS: <u>DIUELI@OFI.LA.GOV</u>
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Operational Plan

**OPERATIONAL PLAN FORM
DEPARTMENT DESCRIPTION**

DEPARTMENT NUMBER AND NAME: 01-255 EXECUTIVE DEPARTMENT/OFFICE OF FINANCIAL INSTITUTIONS

DEPARTMENT MISSION:

The Office of Financial Institutions licenses and supervises entities under its jurisdiction in order to enhance confidence in the financial services industry.

DEPARTMENT GOAL(S):

To provide effective, efficient, proactive supervision to all entities under the Office of Financial Institutions' jurisdiction.

**OPERATIONAL PLAN FORM
AGENCY (01-255) DESCRIPTION**

AGENCY NUMBER AND NAME: 01-255 OFFICE OF FINANCIAL INSTITUTIONS

AGENCY MISSION:

The Office of Financial Institutions (OFI) licenses and supervises entities under its jurisdiction in order to enhance confidence in the financial services industry.

AGENCY GOAL(S):

1. Provide effective, efficient, proactive supervision to all entities under the Office of Financial Institutions' jurisdiction.

STATEMENT OF AGENCY STRATEGIES FOR DEVELOPMENT AND IMPLEMENTATION OF HUMAN RESOURCE POLICIES THAT ARE HELPFUL AND BENEFICIAL TO WOMEN AND FAMILIES:

The Office of Financial Institutions' human resource policies that are helpful and beneficial to women and families include: Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules and maternity leave); Family and Medical Leave; Sexual Harassment; and Violence in the Workplace.

**OPERATIONAL PLAN FORM
PROGRAM DESCRIPTION**

PROGRAM NAME: Office of Financial Institutions

PROGRAM AUTHORIZATION:

R.S. 6:1, et seq.; 6:571, et seq.; 6:641 et seq.; 6:965 et seq.; 6:701, et seq.; 6:970 et seq.; 6:1001 et seq.; 6:1031 et seq.; 6:1081 et seq.; 6:1111; 6:1131 et seq.; 9:2130; 9:3510 et seq.; 36:4.1(B)(1); 37:1781 et seq.; 51:701 et seq.; 51:1921 et seq.; 51:2386 et seq.; 9:3574.1 et seq.; 9:3577.1 et seq.; 9:3578.1 et seq.; 17:3023.8; 51:2313; 51:3081 et seq.

PROGRAM MISSION: The Office of Financial Institutions (OFI) licenses and supervises entities under its jurisdiction in order to enhance confidence in the financial services industry.

PROGRAM GOAL(S):

1. Provide effective, efficient, proactive supervision to all entities under the Office of Financial Institutions' jurisdiction.

PROGRAM ACTIVITY: Administrative - The Administrative Activity provides leadership, oversight, and support services to the Depository, Non-depository, and Securities activities. Overall agency management, Commissioner's Office, Information Technology, Legal Services, Human Resources, Fiscal Accounting and Budget, Internal Audit, Procurement, Mail Services, Property Control, Fleet, Safety, and other support functions reside in this activity.

PROGRAM ACTIVITY: Depository - Through the Depository Activity, the program regulates all state-chartered depository institutions including banks, trust companies, thrifts, their respective holding companies, and credit unions. Business and Industrial Development Corporations (BIDCOs), Certified Louisiana Capital Companies (CAPCOs), and the Louisiana Community Development Financial Institutions Program (LCDFI) are also regulated through the Depository Activity. OFI's banking division has been accredited by the Conference of State Bank Supervisors since 1989. OFI's credit union division has been accredited by the National Association of State Credit Union Supervisors since 1995.

PROGRAM ACTIVITY: Non-depository - Through the Non-depository Activity, the program is responsible for supervising and regulating licensed lenders; consumer loan brokers; pawn brokers; residential mortgage lenders, brokers and originators; seller of checks and money transmitters; bond for deed escrow agents; check cashers; repossession agencies and agents; and retail sales finance businesses which are required to file notification with OFI. OFI's non-depository mortgage section has been accredited by the Conference of State Bank Supervisors Mortgage Accreditation Program since 2011.

PROGRAM ACTIVITY: Securities - Through the Securities Activity, the program is responsible for regulating all securities offerings, agents, broker dealers, and investment advisors.

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Depository

1. (KEY) Through the Depository activity, to proactively regulate state-chartered depository institutions by conducting periodic examinations in accordance with OFI policy guidelines, by assigning a rating of 1 to 5 in accordance with federal interagency policy guidelines (Satisfactory = 1 or 2), and by rendering a decision on complaints within 60 days.

Children's Budget Link: Not Applicable

Human Resource Policies Beneficial to Women and Families Link: Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules and maternity leave); Family and Medical Leave; Sexual Harassment; and Violence in the Workplace

Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): Not Applicable

Explanatory Note: The Depository activity currently provides supervision to 89 banks/thrifts and 28 credit unions.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2021-2022	ACTUAL YEAREND PERFORMANCE FY 2021-2022	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023	EXISTING PERFORMANCE STANDARD FY 2022-2023	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2023-2024	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2023-2024	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2023-2024
22683	K	Percentage of examinations conducted within policy guidelines - depository	95%	94.12%	95%	95%	95%		
25832	K	Percentage of independent examination reports processed within 30 days - depository	90%	100.00% ¹	90%	90%	90%		
23377	K	Percentage of complaints for which a decision was rendered within 60 days - depository	90%	95.83% ²	90%	90%	90%		

¹ Twenty (20) out of twenty (20) independent examination reports were processed within policy guidelines.

² Twenty-three (23) out of twenty-four (24) complaints had a decision rendered within sixty (60) days.

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Depository

GENERAL PERFORMANCE INFORMATION: DEPOSITORY ACTIVITY						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2017-2018	PRIOR YEAR ACTUAL FY 2018-2019	PRIOR YEAR ACTUAL FY 2019-2020	PRIOR YEAR ACTUAL FY 2020-2021	PRIOR YEAR ACTUAL FY 2021-2022
22686	Number of examinations conducted - depository	68	68	64	55	51
22687	Number of examinations conducted within policy guidelines - depository	66	68	63	54	48
11617	Number of complaints received - depository	21	18	19	16	24
25383	Number of complaints for which a decision was rendered - depository	21	18	19	16	24
22688	Number of complaints for which a decision was rendered within 60 days - depository	21	18	19	15	23
22689	Number of independent examination reports processed within 30 days - depository	43	42	34	30	20
22690	Number of independent examination reports received - depository	44	43	36	30	20
23378	Total number of depository institutions - depository	131	126	122	120	117
23379	Total assets of depository institutions - depository (in billions)*	\$68,516	\$72,638	\$74,432	\$51,993	\$55,895
23380	Total assets of depository institutions with satisfactory exam ratings - depository (in billions)*	\$64,505	\$70,444	\$72,613	\$50,263	\$51,652
23381	Total number of depository institutions with satisfactory exam ratings - depository	115	111	109	107	106

*Assets are shown in millions, not billions as the indicator name indicates.

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Non-depository

2. (KEY) Through the Non-depository activity, to supervise non-depository financial services providers by conducting 90% of examinations within policy guidelines, by rendering a decision on 85% of consumer complaints within 60 days, and by approving or denying 100% of complete applications within 60 days from the date the applications are deemed complete.

Children's Budget Link: Not Applicable

Human Resource Policies Beneficial to Women and Families Link: Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules and maternity leave); Family and Medical Leave; Sexual Harassment; and Violence in the Workplace

Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): Not Applicable

Explanatory Note: The Non-depository activity currently provides supervision to approximately 19,862 licensees and registrants including licensed lenders; consumer loan brokers; check cashers; pawnbrokers; residential mortgage lenders, brokers and originators; seller of checks and money transmitters; bond for deed escrow agents; notification filers; and repossession agents.

LaPAS PI E CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2021-2022	ACTUAL YEAREND PERFORMANCE FY 2021-2022	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023	EXISTING PERFORMANCE STANDARD FY 2022-2023	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2023-2024	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2023-2024	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2023-2024
23382	K	Percentage of complaints for which a decision was rendered within 60 days - non-depository	85%	97.25% ¹	85%	85%	85%		
23383	K	Percentage of registrations and licenses approved or denied within 60 days of the date they were deemed complete - non-depository	100%	99.64%	100%	100%	100%		
23384	K	Percentage of examinations conducted within policy guidelines - non-depository	90%	69.74% ²	90%	90%	90%		

¹One hundred six (106) out of one hundred nine (109) complaints had a decision rendered within sixty (60) days.

²Four hundred one (401) examinations conducted out of five hundred and seventy-five (575) examinations scheduled to be conducted per policy guidelines. The delay in conducting these examinations in a timely manner is primarily due to the lack of compliance examiners. OFI is in the process of interviewing and hiring additional compliance examiners to conduct these examinations.

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Non-depository

GENERAL PERFORMANCE INFORMATION: NON-DEPOSITORY ACTIVITY						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2017-2018	PRIOR YEAR ACTUAL FY 2018-2019	PRIOR YEAR ACTUAL FY 2019-2020	PRIOR YEAR ACTUAL FY 2020-2021	PRIOR YEAR ACTUAL FY 2021-2022
1069	Number of examinations performed - non-depository	944	557	406 *	701	401
23385	Number of examinations performed with no violations or only minor violations - non-depository	772	458	261	494	130
1076	Reported number of unlicensed entities - non-depository	13	20	0	0	0
23386	Number of complaints for which a decision was rendered within 60 days - non-depository	75	83	86	68	106
22694	Number of complaints received - non-depository	80	90	82	74	108
23387	Number of violations cited in examinations - non-depository	4,216	4,009	4,685	5,635	5,233
23388	Number of complaints for which a decision was rendered - non-depository	83	85	90	76	109
23389	Number of registrations and licenses approved or denied within 60 days of the date they were deemed complete - non-depository	3,295	2,496	3,177	5,233	4,761
26365	Number of examinations scheduled to be conducted within policy guidelines - non-depository	972	466	873	876	575
23390	Number of registrations and license applications with a licensing decision rendered - non-depository	3,312	2,517	3,193	5,240	4,778
23391	Number of active licenses and registrants - non-depository	14,722	13,515	14,355	17,687	19,299
23392	Dollar amount of refunds/rebates as a result of examinations - non-depository	\$60,933	\$15,279	\$0	\$0	\$451
23393	Dollar amount of refunds/rebates as a result of complaints - non-depository	\$32,423	\$5,724	\$3,277	\$2,438	\$2,192
25978	Number of scheduled examinations conducted within policy guidelines - non-depository	916	399	406	701	401

*The reduction in exams performed in 2019/2020 as compared to 2018/2019 resulted from suspension of onsite examinations during the fourth quarter of fiscal year 2019/2020 due to the COVID-19 pandemic.

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Securities

3. (KEY) Through the Securities activity, to supervise broker dealers and investment advisers located in the State of Louisiana by conducting 95% of examinations within policy guidelines; by rendering a decision on 90% of complaints within 60 days; by approving or denying 95% of all applications for licenses for broker dealers, investment advisers, and agents within 30 days from the date the application is deemed complete; and by approving or denying 95% of requests for authorization of securities offerings within statutory guidelines.

Children's Budget Link: Not Applicable

Human Resource Policies Beneficial to Women and Families Link: Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules and maternity leave); Family and Medical Leave; Sexual Harassment; and Violence in the Workplace

Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): Not Applicable

Explanatory Note: The Securities activity supervises securities agents, broker dealers, and investment advisors; and registration of public and private offerings.

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2021-2022	ACTUAL YEAREND PERFORMANCE FY 2021-2022	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023	EXISTING PERFORMANCE STANDARD FY 2022-2023	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2023-2024	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2023-2024	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2023-2024
11633	K	Percentage of examinations conducted within policy guidelines - broker dealers/investment advisers - securities	95%	100% ¹	95%	95%	95%		
23394	K	Percentage of complaints for which a decision was rendered within 60** days - securities	90%	100% ²	90%	90%	90%		
15831	K	Percentage of applications filed by broker dealers, investment advisers, and agents approved or denied within 30 days of the date they were deemed complete - securities	95%	99.9% ³	95%	95%	95%		
23395	K	Percentage of requests for authorization of securities offerings approved or denied within statutory guidelines - securities	100%	100%	100%	100%	95%		

¹ Fifty-two (52) out of fifty-two (52) examinations were conducted within policy guidelines.

² Fifty-four (54) out of fifty-four (54) complaints had a decision rendered within thirty (30) days.

³ 188,667 applications out of 188,848 applications were approved or denied within thirty (30) days of the date they were deemed complete.

**Prior to FY 2023-2024, this performance indicator was calculated within 30 days. Effective July 1, 2023, this performance indicator is calculated within 60 days.

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Securities

GENERAL PERFORMANCE INFORMATION: SECURITIES ACTIVITY						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2017-2018	PRIOR YEAR ACTUAL FY 2018-2019	PRIOR YEAR ACTUAL FY 2019-2020	PRIOR YEAR ACTUAL FY 2020-2021	PRIOR YEAR ACTUAL FY 2021-2022
1090	Number of examinations scheduled to be conducted within policy guidelines - broker dealers/investment advisers - securities	60	47	53	56	52
22697	Number of scheduled examinations conducted within policy guidelines - broker dealers/investment advisers - securities	60	47	53	56	52
23396	Number of applications filed by broker dealers, investment advisers, and agents - securities	158,542	162,672	157,308	170,677	188,848
23397	Number of requests for authorization of securities offerings approved or denied within statutory guidelines - securities	6,717	6,932	6,480	6,357	7,216
22698	Number of complaints received - securities	40	38	50	39	54
23398	Number of complaints for which a decision was rendered within 30 days - securities**	40	38	50	39	54
23399	Number of requests for authorizations of securities offerings received - securities	6,717	6,932	6,480	6,357	7,216
23400	Number of applications for broker dealers, investment advisers, and agents approved or denied within 30 days of the date they were deemed complete - securities	158,418	162,560	157,184	170,580	188,667
23401	Number of broker dealer and investment adviser firms - securities	4,221	4,940	5,381	7,830	8,280
23402	Number of broker dealer and investment adviser agents - securities	132,314	157,620	151,927	162,847	180,568
23403	Number of security offerings - securities	6,717	6,932	6,480	6,357	7,216

**Effective July 1, 2023, this performance indicator will be calculated within 60 days.

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Administrative

4. (KEY) Through the Administrative activity, to achieve 90% of the objectives of each activity (Depository, Non-depository, and Securities) within the Office of Financial Institutions

Children's Budget Link: Not Applicable

Human Resource Policies Beneficial to Women and Families Link: Equal Employment Opportunity; Attendance and Leave (includes provisions for flex schedules and maternity leave); Family and Medical Leave; Sexual Harassment; and Violence in the Workplace

Other Links (TANF, Tobacco Settlement, Workforce Development Commission, or Other): Not Applicable

LaPAS PI CODE	L E V E L	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES						
			YEAREND PERFORMANCE STANDARD FY 2021-2022	ACTUAL YEAREND PERFORMANCE FY 2021-2022	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2022-2023	EXISTING PERFORMANCE STANDARD FY 2022-2023	PERFORMANCE AT CONTINUATION BUDGET LEVEL FY 2023-2024	PERFORMANCE AT EXECUTIVE BUDGET LEVEL FY 2023-2024	PERFORMANCE STANDARD AS INITIALLY APPROPRIATED FY 2023-2024
23404	K	Percentage of activity performance objectives achieved	90%	90%	90%	90%	90%		

DEPARTMENT ID: 01-Executive Department
 AGENCY ID: 01-255 Office of Financial Institutions
 PROGRAM ID: Program A: Office of Financial Institutions Program
 PROGRAM ACTIVITY: Administrative

GENERAL PERFORMANCE INFORMATION: ADMINISTRATIVE ACTIVITY						
LaPAS PI CODE	PERFORMANCE INDICATOR NAME	PERFORMANCE INDICATOR VALUES				
		PRIOR YEAR ACTUAL FY 2017-2018	PRIOR YEAR ACTUAL FY 2018-2019	PRIOR YEAR ACTUAL FY 2019-2020	PRIOR YEAR ACTUAL FY 2020-2021	PRIOR YEAR ACTUAL FY 2021-2022
12242	Total revenues collected	\$25,984,596	\$24,687,109	\$25,460,048	\$25,687,937	\$27,057,255
12243	Percentages of revenues expended	45.29%	47.77%	46.06%	43.49%	41.11%
12244	Total cost of operations	\$11,768,124	\$11,792,045	\$11,727,255	\$11,172,375	\$11,123,215

**OPERATIONAL PLAN FORM
OPERATIONAL PLAN ADDENDA**

ORGANIZATION AND PROGRAM STRUCTURE CHARTS CHECKLIST:

Organization Chart Attached: X

Program Structure Chart Attached: X

OTHER: List any other attachments to operational plan.

- 1
- 2
- 3

CONTACT PERSON(S):

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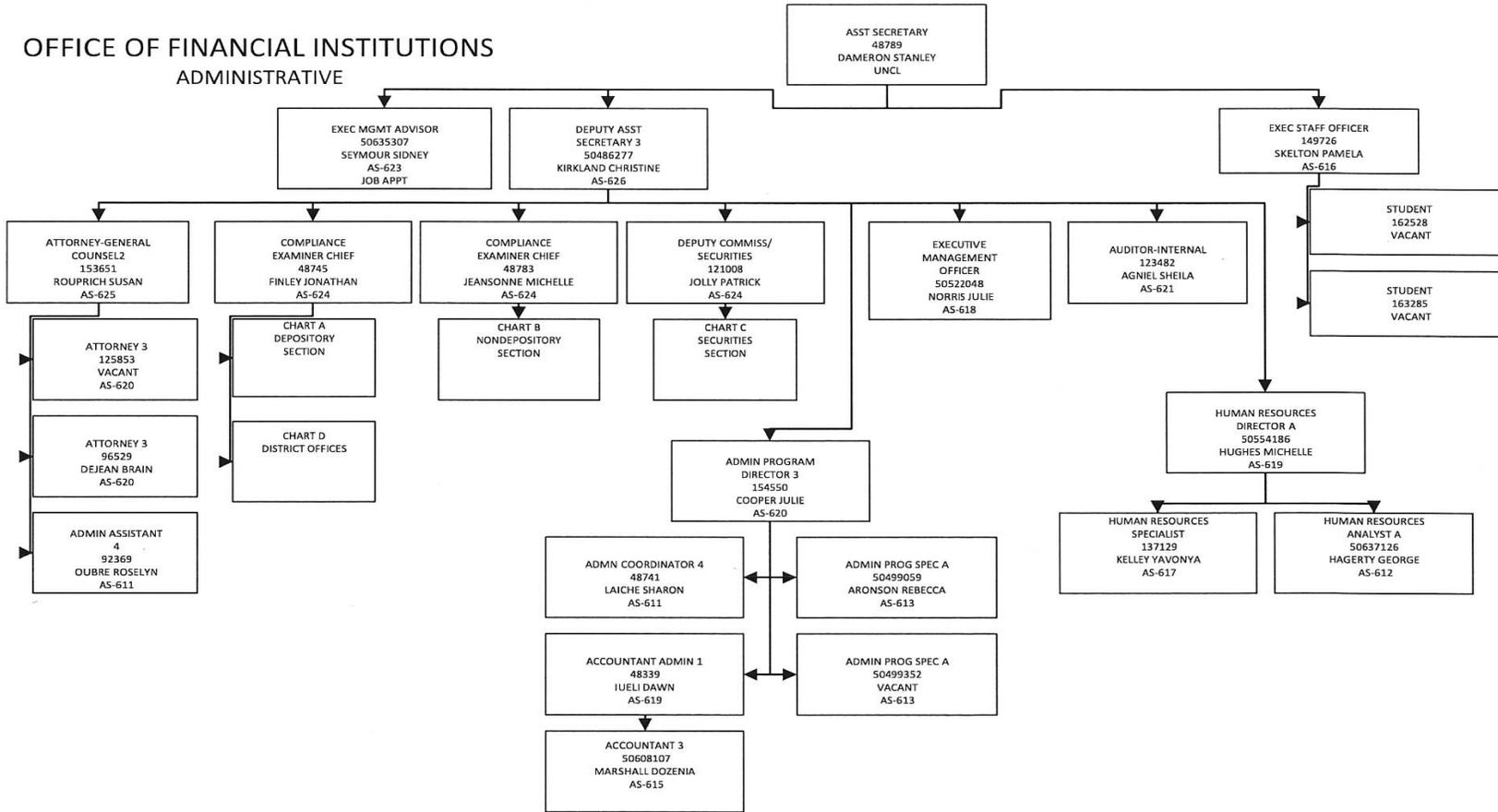
NAME: Dawn Iueli
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E-MAIL: diueli@ofi.la.gov

NAME: Christine Kirkland
TITLE: Deputy Undersecretary 3
TELEPHONE: 225-922-2627
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PROGRAM STRUCTURE CHART
EXECUTIVE DEPARTMENT
OFFICE OF FINANCIAL INSTITUTIONS

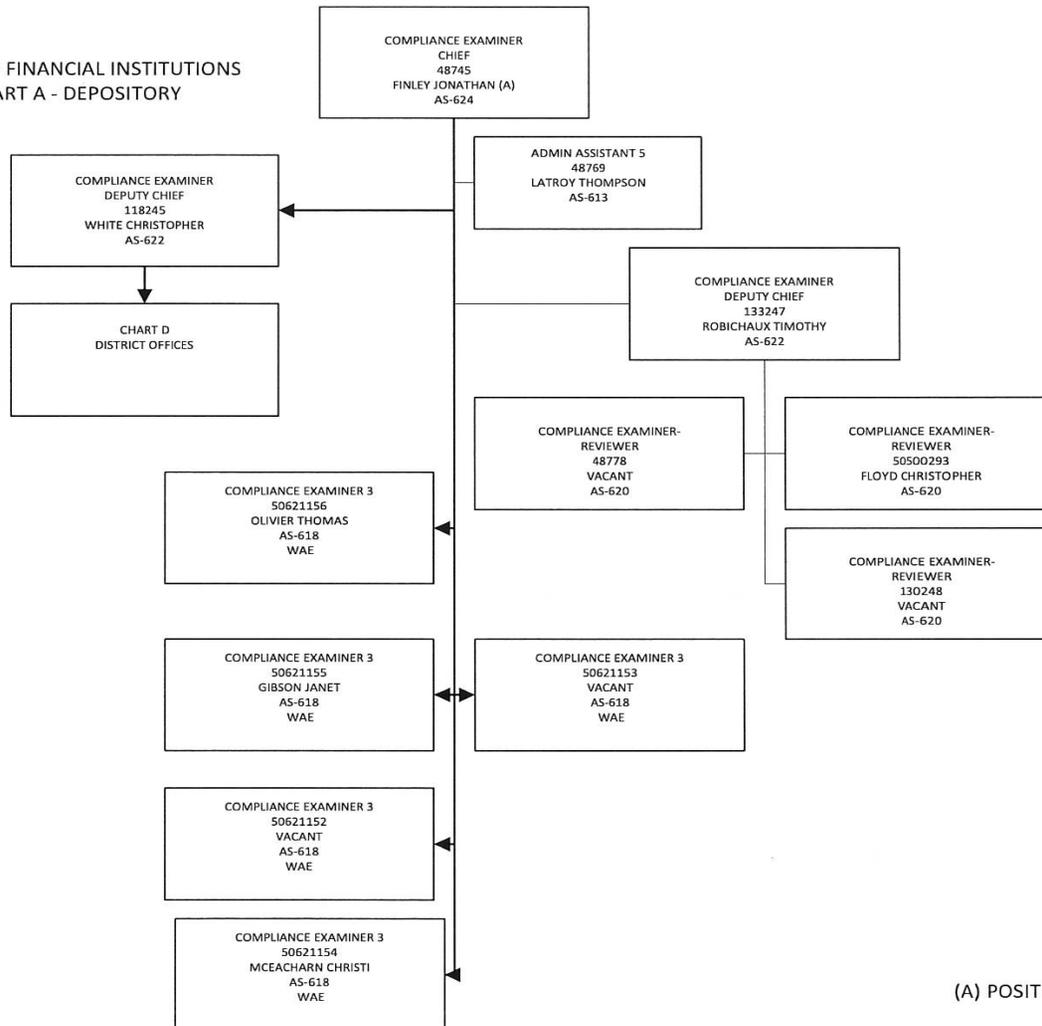
Appropriations:	Office of Financial Institutions
Program:	Office of Financial Institutions
Activities:	Administrative Activity Depository Activity Non-depository Activity Securities Activity

**OFFICE OF FINANCIAL INSTITUTIONS
ADMINISTRATIVE**



SEPTEMBER 16 2022

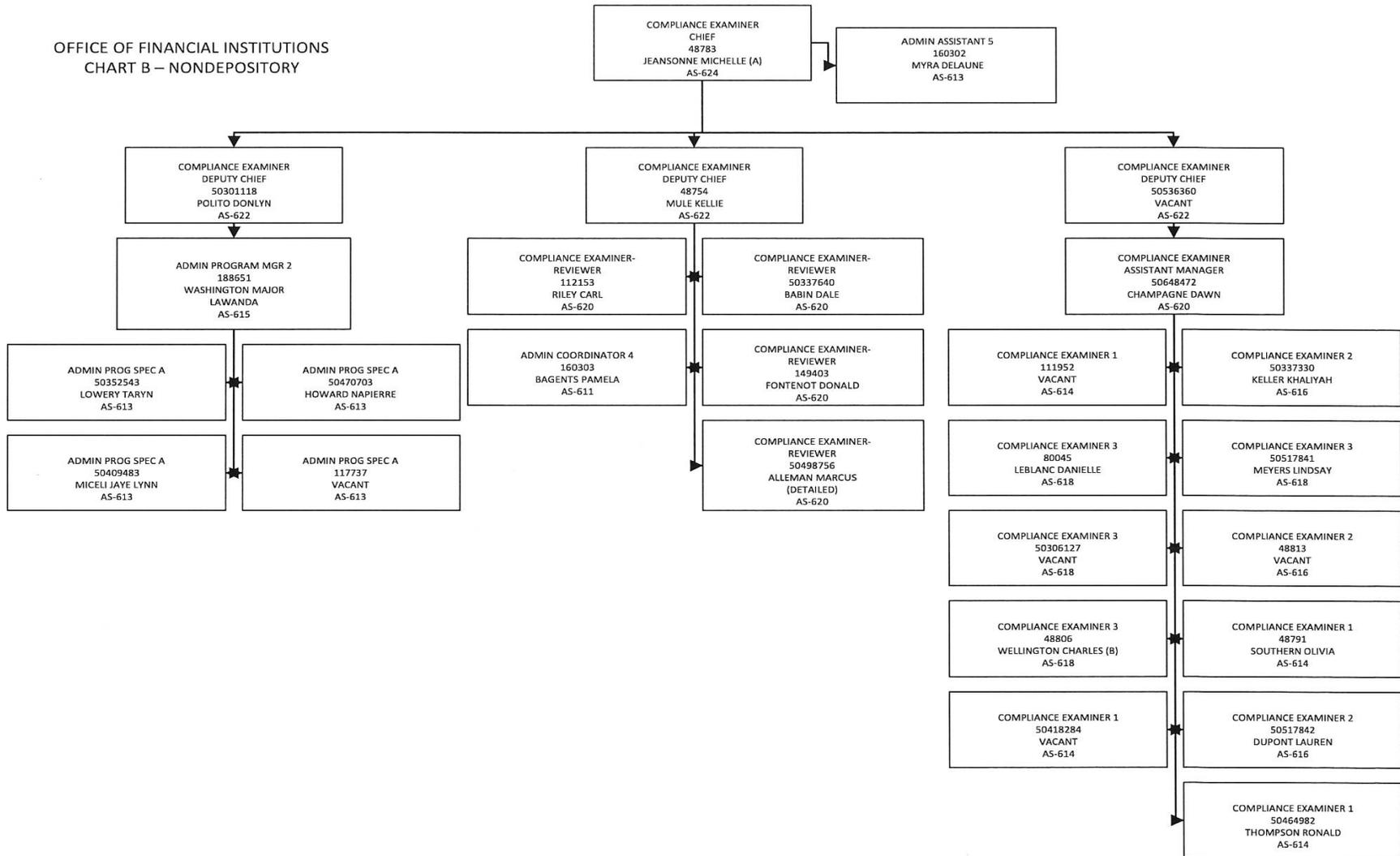
OFFICE OF FINANCIAL INSTITUTIONS
CHART A - DEPOSITORY



(A) POSITION IS ALSO SHOWN ON ADMINISTRATIVE CHART

SEPTEMBER 16 2022

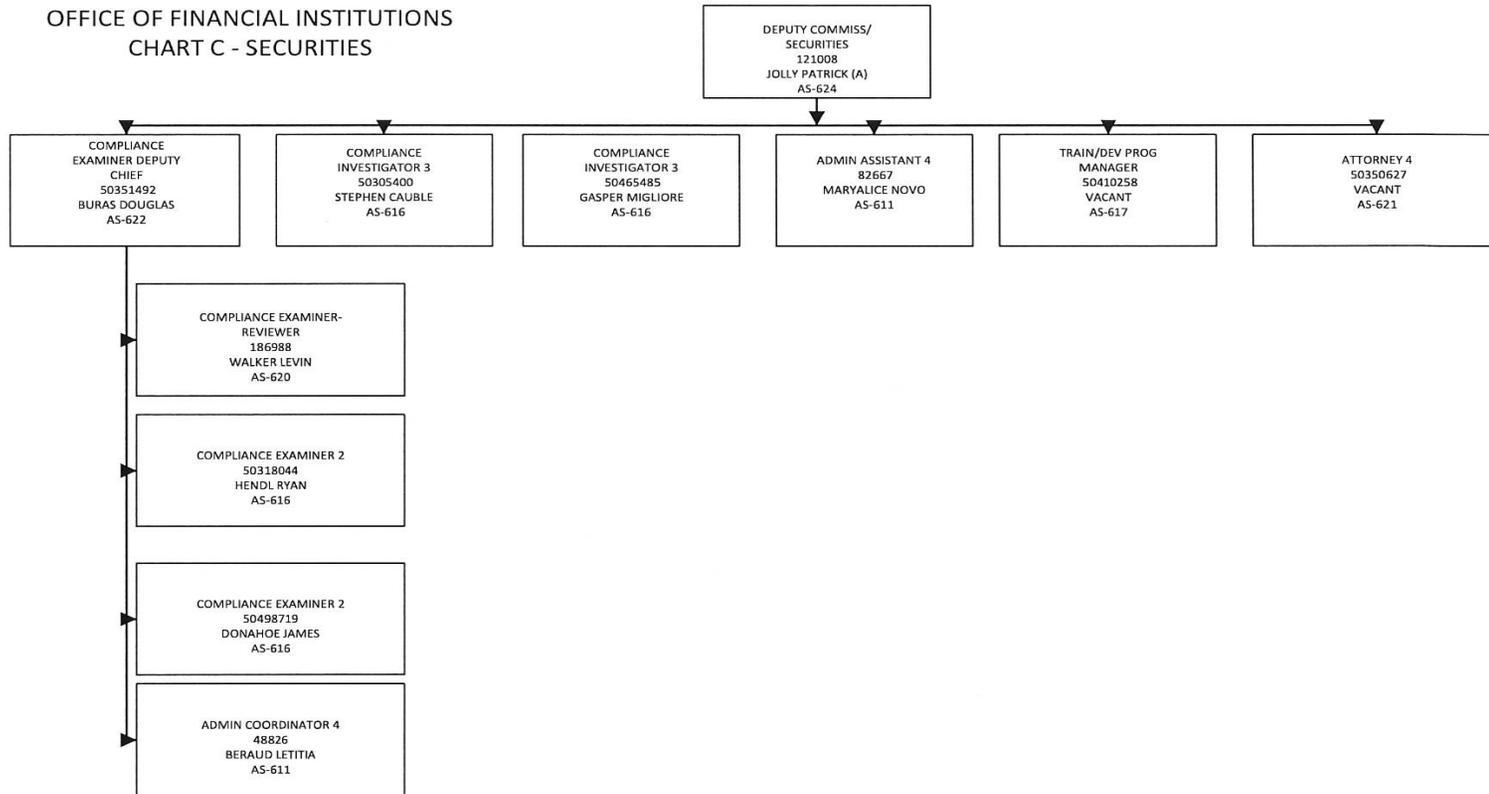
OFFICE OF FINANCIAL INSTITUTIONS
CHART B – NONDEPOSITORY



SEPTEMBER 16 2022

(A) POSITION IS ALSO SHOWN ON ADMINISTRATIVE CHART
(B) POSITION IS ALSO SHOWN ON CHART D – DISTRICT DEPOSITORY

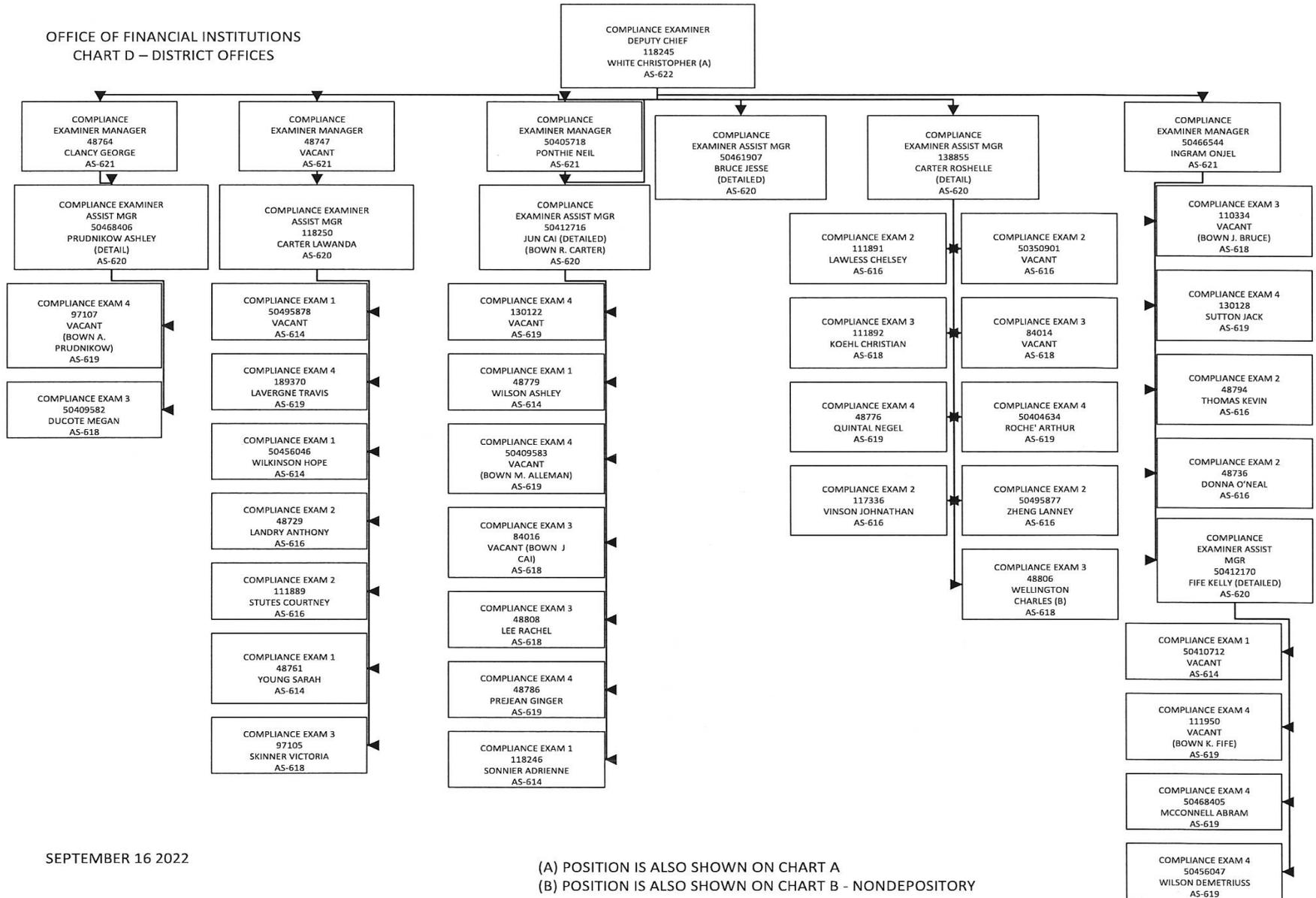
OFFICE OF FINANCIAL INSTITUTIONS
CHART C - SECURITIES



(A) POSITION IS ALSO SHOWN ON ADMINISTRATIVE CHART

SEPTEMBER 16 2022

OFFICE OF FINANCIAL INSTITUTIONS
CHART D – DISTRICT OFFICES



SEPTEMBER 16 2022

(A) POSITION IS ALSO SHOWN ON CHART A
(B) POSITION IS ALSO SHOWN ON CHART B - NONDEPOSITORY



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Budget Request Overview

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Percent Change
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	11,123,215	15,654,424	16,653,474	999,050	6.38%
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	6.38%

Fees and Self-Generated

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Percent Change
Fees & Self-Generated	11,123,215	15,654,424	16,653,474	999,050	6.38%
Total:	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	6.38%

Statutory Dedications

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Percent Change
Total:	—	—	—	—	—

Agency Expenditures

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Percent Change
Salaries	5,795,290	7,651,457	8,401,518	750,061	9.80%
Other Compensation	57,788	57,328	57,328	—	—
Related Benefits	3,388,161	4,810,473	4,943,305	132,832	2.76%
TOTAL PERSONAL SERVICES	\$9,241,239	\$12,519,258	\$13,402,151	\$882,893	7.05%
Travel	49,141	361,424	369,992	8,568	2.37%
Operating Services	745,373	777,475	795,900	18,425	2.37%
Supplies	48,140	111,560	114,204	2,644	2.37%
TOTAL OPERATING EXPENSES	\$842,655	\$1,250,459	\$1,280,096	\$29,637	2.37%
PROFESSIONAL SERVICES	\$45,443	\$55,000	\$56,304	\$1,304	2.37%
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	993,878	1,724,707	1,704,923	(19,784)	(1.15)%
TOTAL OTHER CHARGES	\$993,878	\$1,724,707	\$1,704,923	\$(19,784)	(1.15)%
Acquisitions	—	105,000	210,000	105,000	100.00%
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$105,000	\$210,000	\$105,000	100.00%
TOTAL EXPENDITURES	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	6.38%

Agency Positions

Classified	110	105	105	—	—
Unclassified	1	1	1	—	—
TOTAL AUTHORIZED T.O. POSITIONS	111	106	106	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—
TOTAL POSITIONS	111	106	106	—	—

Cost Detail

Means of Financing

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
Fees & Self-Generated	11,123,215	15,654,424	16,653,474	999,050
Total:	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050

Salaries

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5110010	SAL-CLASS-TO-REG	5,575,063	7,253,998	8,003,827	749,829
5110020	SAL-CLASS-TO-TERM	66,874	234,827	234,827	—
5110025	SAL-UNCLASS-TO-REG	153,352	162,632	162,864	232
Total Salaries:		\$5,795,290	\$7,651,457	\$8,401,518	\$750,061

Other Compensation

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5120010	COMPENSATION/WAGES	57,788	57,328	57,328	—
Total Other Compensation:		\$57,788	\$57,328	\$57,328	—

Related Benefits

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5130010	RET CONTR-STATE EMP	1,994,483	2,960,239	2,866,459	(93,780)
5130050	POSTRET BENEFITS	758,419	1,017,633	1,017,633	—
5130055	FICA TAX (OASDI)	18,647	4,299	20,935	16,636
5130060	MEDICARE TAX	79,051	107,361	118,960	11,599
5130065	UNEMPLOYMENT BENEFIT	3,211	—	—	—
5130070	GRP INS CONTRIBUTION	534,351	720,941	919,318	198,377
Total Related Benefits:		\$3,388,161	\$4,810,473	\$4,943,305	\$132,832

Travel

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5210010	IN-STATE TRAVEL-ADM	—	1,000	1,024	24
5210015	IN-STATE TRAVEL-CONF	3,897	5,100	5,221	121
5210020	IN-STATE TRAV-FIELD	34,285	156,634	160,347	3,713
5210050	OUT-OF-STATE TRV-ADM	—	16,390	16,778	388
5210055	OUT-OF-STTRV-CONF	1,309	57,300	58,659	1,359
5210060	OUT-OF-STTRV-FIELD	9,650	125,000	127,963	2,963
Total Travel:		\$49,141	\$361,424	\$369,992	\$8,568

Operating Services

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5310001	SERV-ADVERTISING	2,113	2,700	2,764	64
5310004	SERV-BANK FEES	636	1,200	1,228	28
5310005	SERV-PRINTING	51	1,000	1,024	24
5310009	SERV-MOVING SERVICES	700	—	—	—
5310010	SERV-DUES & OTHER	—	246,643	252,489	5,846
5310011	SERV-SUBSCRIPTIONS	20,508	—	—	—
5310014	SERV-DRUG TESTING	451	—	—	—
5310017	SERV-DOC DESTRUCTION	216	732	749	17
5310018	SERV-TEMP STAFFING	4,498	—	—	—
5310025	SERV-LOCKSMITH	15	—	—	—
5310042	SERV-BAR DUES	1,305	—	—	—
5310048	SERV-SUBSCRIPTIONS	41,613	—	—	—
5310049	SERV-DUES & OTHER	188,695	—	—	—
5310050	SERV-DUES & OTHER	2,025	—	—	—
5310400	SERV-MISC	1,145	3,929	4,022	93
5330008	MAINT-EQUIPMENT	—	1,000	1,024	24
5330012	MAINT-JANITORIAL	195	2,340	2,395	55

Operating Services *(continued)*

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5330017	MAINT-DATA SOFTWARE	2,599	2,800	2,866	66
5330018	MAINT-AUTO REPAIRS	—	17,447	17,860	413
5330026	MAINT-SOFTWRE MTCE	356	—	—	—
5340010	RENT-REAL ESTATE	430,962	430,691	440,899	10,208
5340020	RENT-EQUIPMENT	16,081	16,866	17,265	399
5350002	UTIL-DATA LINE/CIRCT	22	—	—	—
5350004	UTIL-TELEPHONE SERV	15,895	23,807	24,371	564
5350005	UTIL-OTHER COMM SERV	2,169	—	—	—
5350006	UTIL-MAIL/DEL/POST	13	19,090	19,543	453
5350008	UTIL-DEL UPS/FED EXP	2,316	—	—	—
5350009	UTIL-GAS	591	840	860	20
5350010	UTIL-ELECTRICITY	3,429	5,330	5,456	126
5350011	UTIL-WATER	209	300	307	7
5350018	UTIL-MAIL/DEL/POST	6,000	—	—	—
5350400	UTIL-OTHER	569	760	778	18
Total Operating Services:		\$745,373	\$777,475	\$795,900	\$18,425

Supplies

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5410001	SUP-OFFICE SUPPLIES	6,821	19,710	20,178	468
5410002	SUP-TELEPH & ACCESS	135	—	—	—
5410006	SUP-COMPUTER	30,387	29,660	30,363	703
5410008	SUP-MEDICAL	—	290	297	7
5410012	SUP-PERIODICALS	1,312	—	—	—
5410015	SUP-AUTO	—	27,700	28,356	656
5410017	SUP-JANITORIAL	94	2,300	2,354	54

Supplies (continued)

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5410020	SUP-COMMUNICATIONS	42	—	—	—
5410027	SUP-OTHER MEDICAL	44	—	—	—
5410031	SUP-REP/MNT SUP-AUTO	—	1,500	1,535	35
5410035	SUP-SOFTWARE	—	5,000	5,119	119
5410036	SUP-FUELTRAC	1,803	—	—	—
5410400	SUP-OTHER	7,504	25,400	26,002	602
Total Supplies:		\$48,140	\$111,560	\$114,204	\$2,644

Professional Services

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5510005	PROF SERV-LEGAL	45,443	50,000	51,185	1,185
5510400	PROF SERV-OTHER	—	5,000	5,119	119
Total Professional Services:		\$45,443	\$55,000	\$56,304	\$1,304

Interagency Transfers

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5950001	IAT-COMMODITY/SERV	3,150	8,970	8,970	—
5950005	IAT-DUES AND SUBSCRIP	—	90	90	—
5950006	IAT-ADVERTISING	1,379	14,500	14,500	—
5950007	IAT-PRINTING	2,153	5,000	5,000	—
5950008	IAT-POSTAGE	1,275	—	—	—
5950014	IAT-TELEPHONE	42,529	112,693	112,693	—
5950017	IAT-INSURANCE	—	161,952	161,952	—
5950026	IAT-RENTALS	94,392	97,432	97,432	—
5950034	IAT-OFFICE SUPPLIES	—	3,000	3,000	—
5950043	IAT-ACQ-EQUIP	—	5,900	5,900	—
5950049	IAT-CIVIL SERVICE	41,351	44,228	44,228	—

Interagency Transfers *(continued)*

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5950050	IAT-ORM INSURANCE	124,930	—	—	—
5950051	IAT-OSUP	5,320	5,273	5,273	—
5950052	IAT-LEG. AUDITOR	43,636	43,188	32,618	(10,570)
5950055	IAT-ADMIN LAW JUDGE	14,317	4,720	4,720	—
5950058	IAT-TECH SVCS	617,870	1,216,146	1,206,932	(9,214)
5950059	IAT-ST PROCUREMENT	1,576	1,615	1,615	—
Total Interagency Transfers:		\$993,878	\$1,724,707	\$1,704,923	\$(19,784)

Acquisitions

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5710250	ACQ-AUTOMOBILES	—	105,000	210,000	105,000
Total Acquisitions:		—	\$105,000	\$210,000	\$105,000
Total Agency Expenditures:		\$11,123,215	\$15,654,424	\$16,653,474	\$999,050

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Percent Change
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	11,123,215	15,654,424	16,653,474	999,050	6.38%
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	6.38%

Fees and Self-Generated

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Percent Change
Fees & Self-Generated	11,123,215	15,654,424	16,653,474	999,050	6.38%
Total:	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	6.38%

Program Expenditures

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Percent Change
Salaries	5,795,290	7,651,457	8,401,518	750,061	9.80%
Other Compensation	57,788	57,328	57,328	—	—
Related Benefits	3,388,161	4,810,473	4,943,305	132,832	2.76%
TOTAL PERSONAL SERVICES	\$9,241,239	\$12,519,258	\$13,402,151	\$882,893	7.05%
Travel	49,141	361,424	369,992	8,568	2.37%
Operating Services	745,373	777,475	795,900	18,425	2.37%
Supplies	48,140	111,560	114,204	2,644	2.37%
TOTAL OPERATING EXPENSES	\$842,655	\$1,250,459	\$1,280,096	\$29,637	2.37%
PROFESSIONAL SERVICES	\$45,443	\$55,000	\$56,304	\$1,304	2.37%
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	993,878	1,724,707	1,704,923	(19,784)	(1.15)%
TOTAL OTHER CHARGES	\$993,878	\$1,724,707	\$1,704,923	\$(19,784)	(1.15)%
Acquisitions	—	105,000	210,000	105,000	100.00%
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$105,000	\$210,000	\$105,000	100.00%
TOTAL EXPENDITURES	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	6.38%

Program Positions

Classified	110	105	105	—	—
Unclassified	1	1	1	—	—
TOTAL AUTHORIZED T.O. POSITIONS	111	106	106	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—
TOTAL POSITIONS	111	106	106	—	—

Cost Detail

Means of Financing

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
Fees & Self-Generated	11,123,215	15,654,424	16,653,474	999,050
Total:	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050

Salaries

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5110010	SAL-CLASS-TO-REG	5,575,063	7,253,998	8,003,827	749,829
5110020	SAL-CLASS-TO-TERM	66,874	234,827	234,827	—
5110025	SAL-UNCLASS-TO-REG	153,352	162,632	162,864	232
Total Salaries:		\$5,795,290	\$7,651,457	\$8,401,518	\$750,061

Other Compensation

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5120010	COMPENSATION/WAGES	57,788	57,328	57,328	—
Total Other Compensation:		\$57,788	\$57,328	\$57,328	—

Related Benefits

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5130010	RET CONTR-STATE EMP	1,994,483	2,960,239	2,866,459	(93,780)
5130050	POSTRET BENEFITS	758,419	1,017,633	1,017,633	—
5130055	FICA TAX (OASDI)	18,647	4,299	20,935	16,636
5130060	MEDICARE TAX	79,051	107,361	118,960	11,599
5130065	UNEMPLOYMENT BENEFIT	3,211	—	—	—
5130070	GRP INS CONTRIBUTION	534,351	720,941	919,318	198,377
Total Related Benefits:		\$3,388,161	\$4,810,473	\$4,943,305	\$132,832

Travel

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5210010	IN-STATE TRAVEL-ADM	—	1,000	1,024	24
5210015	IN-STATE TRAVEL-CONF	3,897	5,100	5,221	121
5210020	IN-STATE TRAV-FIELD	34,285	156,634	160,347	3,713
5210050	OUT-OF-STATE TRV-ADM	—	16,390	16,778	388
5210055	OUT-OF-STTRV-CONF	1,309	57,300	58,659	1,359
5210060	OUT-OF-STTRV-FIELD	9,650	125,000	127,963	2,963
Total Travel:		\$49,141	\$361,424	\$369,992	\$8,568

Operating Services

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5310001	SERV-ADVERTISING	2,113	2,700	2,764	64
5310004	SERV-BANK FEES	636	1,200	1,228	28
5310005	SERV-PRINTING	51	1,000	1,024	24
5310009	SERV-MOVING SERVICES	700	—	—	—
5310010	SERV-DUES & OTHER	—	246,643	252,489	5,846
5310011	SERV-SUBSCRIPTIONS	20,508	—	—	—
5310014	SERV-DRUG TESTING	451	—	—	—
5310017	SERV-DOC DESTRUCTION	216	732	749	17
5310018	SERV-TEMP STAFFING	4,498	—	—	—
5310025	SERV-LOCKSMITH	15	—	—	—
5310042	SERV-BAR DUES	1,305	—	—	—
5310048	SERV-SUBSCRIPTIONS	41,613	—	—	—
5310049	SERV-DUES & OTHER	188,695	—	—	—
5310050	SERV-DUES & OTHER	2,025	—	—	—
5310400	SERV-MISC	1,145	3,929	4,022	93
5330008	MAINT-EQUIPMENT	—	1,000	1,024	24
5330012	MAINT-JANITORIAL	195	2,340	2,395	55

Operating Services *(continued)*

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5330017	MAINT-DATA SOFTWARE	2,599	2,800	2,866	66
5330018	MAINT-AUTO REPAIRS	—	17,447	17,860	413
5330026	MAINT-SOFTWRE MTCE	356	—	—	—
5340010	RENT-REAL ESTATE	430,962	430,691	440,899	10,208
5340020	RENT-EQUIPMENT	16,081	16,866	17,265	399
5350002	UTIL-DATA LINE/CIRCT	22	—	—	—
5350004	UTIL-TELEPHONE SERV	15,895	23,807	24,371	564
5350005	UTIL-OTHER COMM SERV	2,169	—	—	—
5350006	UTIL-MAIL/DEL/POST	13	19,090	19,543	453
5350008	UTIL-DEL UPS/FED EXP	2,316	—	—	—
5350009	UTIL-GAS	591	840	860	20
5350010	UTIL-ELECTRICITY	3,429	5,330	5,456	126
5350011	UTIL-WATER	209	300	307	7
5350018	UTIL-MAIL/DEL/POST	6,000	—	—	—
5350400	UTIL-OTHER	569	760	778	18
Total Operating Services:		\$745,373	\$777,475	\$795,900	\$18,425

Supplies

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5410001	SUP-OFFICE SUPPLIES	6,821	19,710	20,178	468
5410002	SUP-TELEPH & ACCESS	135	—	—	—
5410006	SUP-COMPUTER	30,387	29,660	30,363	703
5410008	SUP-MEDICAL	—	290	297	7
5410012	SUP-PERIODICALS	1,312	—	—	—
5410015	SUP-AUTO	—	27,700	28,356	656
5410017	SUP-JANITORIAL	94	2,300	2,354	54

Supplies *(continued)*

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5410020	SUP-COMMUNICATIONS	42	—	—	—
5410027	SUP-OTHER MEDICAL	44	—	—	—
5410031	SUP-REP/MNT SUP-AUTO	—	1,500	1,535	35
5410035	SUP-SOFTWARE	—	5,000	5,119	119
5410036	SUP-FUELTRAC	1,803	—	—	—
5410400	SUP-OTHER	7,504	25,400	26,002	602
Total Supplies:		\$48,140	\$111,560	\$114,204	\$2,644

Professional Services

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5510005	PROF SERV-LEGAL	45,443	50,000	51,185	1,185
5510400	PROF SERV-OTHER	—	5,000	5,119	119
Total Professional Services:		\$45,443	\$55,000	\$56,304	\$1,304

Interagency Transfers

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5950001	IAT-COMMODITY/SERV	3,150	8,970	8,970	—
5950005	IAT-DUES AND SUBSCRIP	—	90	90	—
5950006	IAT-ADVERTISING	1,379	14,500	14,500	—
5950007	IAT-PRINTING	2,153	5,000	5,000	—
5950008	IAT-POSTAGE	1,275	—	—	—
5950014	IAT-TELEPHONE	42,529	112,693	112,693	—
5950017	IAT-INSURANCE	—	161,952	161,952	—
5950026	IAT-RENTALS	94,392	97,432	97,432	—
5950034	IAT-OFFICE SUPPLIES	—	3,000	3,000	—
5950043	IAT-ACQ-EQUIP	—	5,900	5,900	—
5950049	IAT-CIVIL SERVICE	41,351	44,228	44,228	—

Interagency Transfers *(continued)*

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5950050	IAT-ORM INSURANCE	124,930	—	—	—
5950051	IAT-OSUP	5,320	5,273	5,273	—
5950052	IAT-LEG. AUDITOR	43,636	43,188	32,618	(10,570)
5950055	IAT-ADMIN LAW JUDGE	14,317	4,720	4,720	—
5950058	IAT-TECH SVCS	617,870	1,216,146	1,206,932	(9,214)
5950059	IAT-ST PROCUREMENT	1,576	1,615	1,615	—
Total Interagency Transfers:		\$993,878	\$1,724,707	\$1,704,923	\$(19,784)

Acquisitions

Commitment Item	Name	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB
5710250	ACQ-AUTOMOBILES	—	105,000	210,000	105,000
Total Acquisitions:		—	\$105,000	\$210,000	\$105,000
Total Expenditures for Program 2551		\$11,123,215	\$15,654,424	\$16,653,474	\$999,050
Total Agency Expenditures:		\$11,123,215	\$15,654,424	\$16,653,474	\$999,050

SOURCE OF FUNDING SUMMARY

Agency Overview

Fees & Self-Generated

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Total Request	Over/Under EOB	Form ID
FEES & SELF GENERATED	11,123,215	15,654,424	16,653,474	999,050	13488
Total Fees & Self-Generated	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	
Total Sources of Funding:	\$11,123,215	\$15,654,424	\$16,653,474	\$999,050	

SOURCE OF FUNDING DETAIL

Fees & Self-Generated

Form 13488 — 255 - Source of Funding

Expenditures	Existing Operating Budget as of 10/01/2022			FY2023-2024 Total Request			FY2024-2025 Projected		
	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match	Means of Financing	In-Kind Match	Cash Match
Salaries	7,651,457	—	—	8,401,518	—	—	8,728,186	—	—
Other Compensation	57,328	—	—	57,328	—	—	57,328	—	—
Related Benefits	4,810,473	—	—	4,943,305	—	—	5,109,524	—	—
TOTAL PERSONAL SERVICES	\$12,519,258	—	—	\$13,402,151	—	—	\$13,895,038	—	—
Travel	361,424	—	—	369,992	—	—	369,992	—	—
Operating Services	777,475	—	—	795,900	—	—	795,900	—	—
Supplies	111,560	—	—	114,204	—	—	114,204	—	—
TOTAL OPERATING EXPENSES	\$1,250,459	—	—	\$1,280,096	—	—	\$1,280,096	—	—
PROFESSIONAL SERVICES	\$55,000	—	—	\$56,304	—	—	\$56,304	—	—
Other Charges	—	—	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—	—	—
Interagency Transfers	1,724,707	—	—	1,704,923	—	—	1,704,923	—	—
TOTAL OTHER CHARGES	\$1,724,707	—	—	\$1,704,923	—	—	\$1,704,923	—	—
Acquisitions	105,000	—	—	210,000	—	—	210,000	—	—
Major Repairs	—	—	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$105,000	—	—	\$210,000	—	—	\$210,000	—	—
TOTAL EXPENDITURES	\$15,654,424	—	—	\$16,653,474	—	—	\$17,146,361	—	—

Form 13488 — 255 - Source of Funding

Question	Narrative Response
State the purpose, source and legal citation.	<p>Fees and assessments for financial institutions are set out in LAC 10:I.303, LR 19:1546 (December, 1993), LR 21:1069 (October, 1995), LR 23:706 (June, 1997), and LR 31:2894 (November, 2005); LAC 10:I.305, LR 19:1546 (December 1993), amended LR 23:707 (June 1997); LAC 10:I.701, LR 21:1215 (November 1995). Other financial institutions fees obtaining criminal history information, La. R.S. 6:121.2; Trust company fees, LAC 10:I.1501 and 1503, LR 34:871 (May 2008). Fees and assessments for credit unions are set out in LAC 10:IX.101, LR 17:467 (May 1991), La. R.S. 6:643 and 646. Fees and assessments for credit unions are set out in LAC 10:IX.101, LR 17:476 (May 1991), La. R.S. 6:643(A) and 646(B)(2). Credit Union Service Organizations, LAC 10:IX.303, LR 20:1093 (October 1994). Additional default remedies fees, LAC 10:XV.1307, LR 30:2811 (December 2004). Licensed Lender fees are set out in La. R.S. 9:3561, 3561.1, and La. R.S. 6:121.2. Notification fees are set out in La. R.S. 9:3565 and La. R.S. 9:3554.1. Sale of Checks and Money Transmitter Act fees are set out in La. R.S. 6:1037, 1038, 1040, 1051, and 1054 and LAC 10:XV.1101, LR 18:144 (February 1992) and LR 35:1236 (July 2009). Pawn Broker/Pawn Shop fees are set by La. R.S. 37:1786, 1788 and 1807; and LAC 10:XV.905, 907, and 911, LR 20:412 (April 1994), amended LR 22:187 (March 1996). Bond for Deed Escrow Agent fees are set out in LAC 10:XV.905, 911, and 917, LR 20:412 (April 1994), LR 22:187 and 189 (March 1996) and La. R.S. 6:121.1. Residential Mortgage Lending Act fees are set out in La. R.S. 6:1088.2, 1088.3, 1089, 1090, 1091, and 1092. Louisiana Check Cashers Law fees are set out in La. R.S. 6:1004, 6:1004.1, and 1007. Louisiana Check Cashers Law fees are set out in La. R.S. 6:1004, 6:1004.1(A), 1004.2, and 1007. Loan Broker Fees are set out in La. R.S. 9:3572.3. Securities Brokers, Dealers, Salesmen, and Investment Advisers registration fees and renewal fees are set out in La. R.S. 51:703. Securities registration, notice filing, examination, and issuance fees are set out in La. R.S. 51:705 and 706. Exempt Transaction fees are set out in La. R.S. 51:709. Uniform Limited Offering Exemption fees are set out in LAC 10:XIII.703. Business and Industrial Development Corporation fees are set out in La. R.S. 51:2389(E)(1). Certified Louisiana Capital Company fees are set out in LAC 10:XV.307, LR 30:36 (January, 2004), and LAC 10:XV.323 LR 28:2349 (November 2002). The fees provided for in the Louisiana Community Development Financial Institutions Act, R.S.51:3089, LAC 10:XV.1712, LR 32:845 (May 2006). The fee assessed when applicant's check that accompanies the application or renewal thereof is returned for non-sufficient funds is set out in La. R.S. 6:121.7.</p>
Agency discretion or Federal requirement?	Agency Discretion
Describe any budgetary peculiarities.	<p>If the total bank/thrift assessments collected for the current fiscal year exceed the actual expenditures for banking/thrifts activities, then the excess revenue will be transferred to the Requested Year. The assessments to the various financial institutions for the second billing of the new fiscal year will then be adjusted for the overbilling that occurred during the previous fiscal year. However, when total fee assessments are less than actual expenditures incurred during current fiscal year (under billing), an additional fee assessment will be imposed to the institutions in the Requested Year. These revenues are then deposited in the Requested Year as Income Not Available.</p>
Is the Total Request amount for multiple years?	No
Additional information or comments.	Not Applicable
Provide the amount of any indirect costs.	\$0.00

Form 13488 — 255 - Source of Funding *(continued)*

Question	Narrative Response
Any indirect costs funded with other MOF?	No
Objectives and indicators in the Operational Plan.	Not Applicable
Additional information or comments.	Not Applicable

EXPENDITURES BY MEANS OF FINANCING

Existing Operating Budget

Expenditures	Used as a Cash Match	Total Means of Financing By Expenditure	Total State General Fund	Fees & Self-Generated Form ID 13488 FEES & SELF GENERATED
Salaries	—	7,651,457	—	7,651,457
Other Compensation	—	57,328	—	57,328
Related Benefits	—	4,810,473	—	4,810,473
TOTAL PERSONAL SERVICES	—	\$12,519,258	—	\$12,519,258
Travel	—	361,424	—	361,424
Operating Services	—	777,475	—	777,475
Supplies	—	111,560	—	111,560
TOTAL OPERATING EXPENSES	—	\$1,250,459	—	\$1,250,459
PROFESSIONAL SERVICES	—	\$55,000	—	\$55,000
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	—	1,724,707	—	1,724,707
TOTAL OTHER CHARGES	—	\$1,724,707	—	\$1,724,707
Acquisitions	—	105,000	—	105,000
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$105,000	—	\$105,000
TOTAL EXPENDITURES	—	\$15,654,424	—	\$15,654,424

Total Request

Expenditures	Used as a Cash Match	Total Means of Financing By Expenditure	Total State General Fund	Fees & Self-Generated Form ID 13488 FEES & SELF GENERATED
Salaries	—	8,401,518	—	8,401,518
Other Compensation	—	57,328	—	57,328
Related Benefits	—	4,943,305	—	4,943,305
TOTAL PERSONAL SERVICES	—	\$13,402,151	—	\$13,402,151
Travel	—	369,992	—	369,992
Operating Services	—	795,900	—	795,900
Supplies	—	114,204	—	114,204
TOTAL OPERATING EXPENSES	—	\$1,280,096	—	\$1,280,096
PROFESSIONAL SERVICES	—	\$56,304	—	\$56,304
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	—	1,704,923	—	1,704,923
TOTAL OTHER CHARGES	—	\$1,704,923	—	\$1,704,923
Acquisitions	—	210,000	—	210,000
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$210,000	—	\$210,000
TOTAL EXPENDITURES	—	\$16,653,474	—	\$16,653,474

REVENUE COLLECTIONS/INCOME

Fees & Self-Generated

002 - Fees & Self-Generated

Source	Commitment Item	Commitment Item Name	FY2021-2022 Actuals	FY-2023 Estimate	FY2023-2024 Projected	Over/Under Current Year Estimate
SOURCE						
FEES & SELF GENERATED	4550487	FEES-BANKING-OFI	10,000	—	—	—
FEES & SELF GENERATED	4550488	FEES-BANKING-OFI	3,938,812	5,772,334	6,770,858	998,524
FEES & SELF GENERATED	4550489	FEES-BANKING-OFI	2,625	5,500	4,750	(750)
FEES & SELF GENERATED	4550490	FEES-BANKING-OFI	55,700	55,900	56,950	1,050
FEES & SELF GENERATED	4550491	FEES-BANKING-OFI	20,000	11,500	11,500	—
FEES & SELF GENERATED	4550493	FEES-BANKING-OFI	1,750	1,000	1,500	500
FEES & SELF GENERATED	4550494	FEES-BANKING-OFI	3,000	3,000	3,000	—
FEES & SELF GENERATED	4550495	FEES-BANKING-OFI	1,300	4,000	4,000	—
FEES & SELF GENERATED	4550498	FEES-BANKING-OFI	5,750	4,000	4,000	—
FEES & SELF GENERATED	4550500	FEES-BANKING-OFI	1,500	—	—	—
FEES & SELF GENERATED	4550511	FEES-BANKING-OFI	79	79	79	—
FEES & SELF GENERATED	4550521	FEES-BANKING-OFI	250	—	—	—
FEES & SELF GENERATED	4550527	FEES-BANKING-OFI	4,100	3,950	4,250	300
FEES & SELF GENERATED	4550535	FEES-BANKING-OFI	5,000	5,000	5,000	—
FEES & SELF GENERATED	4550542	FEES-BANKING-OFI	—	3,750	3,750	—
FEES & SELF GENERATED	4550544	FEES-BANKING-OFI	350	—	—	—
FEES & SELF GENERATED	4550546	FEES-BANKING-OFI	574,375	574,500	596,750	22,250
FEES & SELF GENERATED	4550547	FEES-BANKING-OFI	9,975	9,500	9,500	—
FEES & SELF GENERATED	4550549	FEES-BANKING-OFI	39	39	39	—
FEES & SELF GENERATED	4550550	FEES-GEN BUS-OFI	29,600	20,200	21,800	1,600
FEES & SELF GENERATED	4550551	FEES-GEN BUS-OFI	158,050	152,200	157,000	4,800
FEES & SELF GENERATED	4550555	FEES-GEN BUS-OFI	25	—	—	—
FEES & SELF GENERATED	4550557	FEES-GEN BUS-OFI	1,600	—	—	—
FEES & SELF GENERATED	4550558	FEES-GEN BUS-OFI	39	1,800	1,200	(600)

002 - Fees & Self-Generated (continued)

Source	Commitment Item	Commitment Item Name	FY2021-2022 Actuals	FY-2023 Estimate	FY2023-2024 Projected	Over/Under Current Year Estimate
FEES & SELF GENERATED	4550560	FEES-GEN BUS-OFI	5,250	15,425	11,250	(4,175)
FEES & SELF GENERATED	4550562	FEES-GEN BUS-OFI	1,400	5,225	3,750	(1,475)
FEES & SELF GENERATED	4550563	FEES-GEN BUS-OFI	5,493	31,548	15,000	(16,548)
FEES & SELF GENERATED	4550567	FEES-GEN BUS-OFI	79	79	79	—
FEES & SELF GENERATED	4550573	FEES-GEN BUS-OFI	25	25	25	—
FEES & SELF GENERATED	4550574	FEES-GEN BUS-OFI	1,297	942	785	(157)
FEES & SELF GENERATED	4550575	FEES-GEN BUS-OFI	10,550	5,625	6,375	750
FEES & SELF GENERATED	4550576	FEES-GEN BUS-OFI	153,788	166,656	178,808	12,152
FEES & SELF GENERATED	4550577	FEES-GEN BUS-OFI	8,025	2,750	3,000	250
FEES & SELF GENERATED	4550578	FEES-GEN BUS-OFI	700	500	500	—
FEES & SELF GENERATED	4550580	FEES-GEN BUS-OFI	60,450	58,500	61,750	3,250
FEES & SELF GENERATED	4550581	FEES-GEN BUS-OFI	726,500	679,500	670,000	(9,500)
FEES & SELF GENERATED	4550582	FEES-GEN BUS-OFI	50	800	500	(300)
FEES & SELF GENERATED	4550583	FEES-GEN BUS-OFI	1,800	600	250	(350)
FEES & SELF GENERATED	4550584	FEES-GEN BUS-OFI	3,300	4,300	3,500	(800)
FEES & SELF GENERATED	4550585	FEES-GEN BUS-OFI	7,200	6,700	6,000	(700)
FEES & SELF GENERATED	4550586	FEES-GEN BUS-OFI	950	1,300	650	(650)
FEES & SELF GENERATED	4550587	FEES-GEN BUS-OFI	250	1,200	1,000	(200)
FEES & SELF GENERATED	4550592	FEES-GEN BUS-OFI	9,000	6,900	8,700	1,800
FEES & SELF GENERATED	4550594	FEES-GEN BUS-OFI	2,000	—	—	—
FEES & SELF GENERATED	4550595	FEES-GEN BUS-OFI	3,000	4,500	5,250	750
FEES & SELF GENERATED	4550596	FEES-GEN BUS-OFI	3,500	2,500	2,000	(500)
FEES & SELF GENERATED	4550597	FEES-GEN BUS-OFI	68,850	65,700	67,500	1,800
FEES & SELF GENERATED	4550598	FEES-GEN BUS-OFI	200	100	200	100
FEES & SELF GENERATED	4550600	FEES-GEN BUS-OFI	750	1,200	1,050	(150)
FEES & SELF GENERATED	4550601	FEES-GEN BUS-OFI	—	50	100	50
FEES & SELF GENERATED	4550603	FEES-GEN BUS-OFI	1,000	—	—	—
FEES & SELF GENERATED	4550604	FEES-GEN BUS-OFI	—	150	—	(150)

002 - Fees & Self-Generated (continued)

Source	Commitment Item	Commitment Item Name	FY2021-2022 Actuals	FY-2023 Estimate	FY2023-2024 Projected	Over/Under Current Year Estimate
FEES & SELF GENERATED	4550605	FEES-GEN BUS-OFI	600	900	800	(100)
FEES & SELF GENERATED	4550607	FEES-GEN BUS-OFI	—	3,600	—	(3,600)
FEES & SELF GENERATED	4550610	FEES-GEN BUS-OFI	10,150	8,750	6,650	(2,100)
FEES & SELF GENERATED	4550611	FEES-GEN BUS-OFI	71,750	60,750	61,250	500
FEES & SELF GENERATED	4550612	FEES-GEN BUS-OFI	3,300	3,500	3,600	100
FEES & SELF GENERATED	4550613	FEES-GEN BUS-OFI	1,100	1,500	1,200	(300)
FEES & SELF GENERATED	4550614	FEES-GEN BUS-OFI	450	750	600	(150)
FEES & SELF GENERATED	4550615	FEES-GEN BUS-OFI	100	1,300	750	(550)
FEES & SELF GENERATED	4550617	FEES-GEN BUS-OFI	400	400	500	100
FEES & SELF GENERATED	4550618	FEES-GEN BUS-OFI	—	100	200	100
FEES & SELF GENERATED	4550619	FEES-GEN BUS-OFI	21,600	23,050	22,750	(300)
FEES & SELF GENERATED	4550620	FEES-GEN BUS-OFI	3,500	—	—	—
FEES & SELF GENERATED	4550621	FEES-GEN BUS-OFI	300	300	500	200
FEES & SELF GENERATED	4550622	FEES-GEN BUS-OFI	1,500	1,500	1,000	(500)
FEES & SELF GENERATED	4550623	FEES-GEN BUS-OFI	200	900	700	(200)
FEES & SELF GENERATED	4550624	FEES-GEN BUS-OFI	1,000	4,500	3,500	(1,000)
FEES & SELF GENERATED	4550629	FEES-GEN BUS-OFI	85,000	70,500	80,000	9,500
FEES & SELF GENERATED	4550630	FEES-GEN BUS-OFI	842,200	827,800	640,000	(187,800)
FEES & SELF GENERATED	4550631	FEES-GEN BUS-OFI	308,400	267,600	302,000	34,400
FEES & SELF GENERATED	4550632	FEES-GEN BUS-OFI	2,388,300	1,931,600	2,300,000	368,400
FEES & SELF GENERATED	4550633	FEES-GEN BUS-OFI	3,600	2,200	2,400	200
FEES & SELF GENERATED	4550634	FEES-GEN BUS-OFI	15,600	12,450	14,500	2,050
FEES & SELF GENERATED	4550635	FEES-GEN BUS-OFI	118,500	94,200	80,000	(14,200)
FEES & SELF GENERATED	4550636	FEES-GEN BUS-OFI	92,050	73,550	75,000	1,450
FEES & SELF GENERATED	4550637	FEES-GEN BUS-OFI	80,000	63,200	72,000	8,800
FEES & SELF GENERATED	4550638	FEES-GEN BUS-OFI	1,150	—	—	—
FEES & SELF GENERATED	4550642	FEES-GEN BUS-OFI	9,600	8,100	7,500	(600)
FEES & SELF GENERATED	4550643	FEES-GEN BUS-OFI	100	600	500	(100)

Revenue Collections/Income

Fees & Self-Generated

002 - Fees & Self-Generated (continued)

Source	Commitment Item	Commitment Item Name	FY2021-2022 Actuals	FY-2023 Estimate	FY2023-2024 Projected	Over/Under Current Year Estimate
FEES & SELF GENERATED	4550644	FEES-GEN BUS-OFI	17,447	—	—	—
FEES & SELF GENERATED	4550647	FEES-GEN BUS-OFI	1,500	1,500	3,000	1,500
FEES & SELF GENERATED	4550648	FEES-GEN BUS-OFI	5,250	4,800	4,000	(800)
FEES & SELF GENERATED	4550649	FEES-GEN BUS-OFI	8,800	8,800	7,200	(1,600)
FEES & SELF GENERATED	4550650	FEES-GEN BUS-OFI	12,500	8,000	10,000	2,000
FEES & SELF GENERATED	4550651	FEES-GEN BUS-OFI	500	500	1,000	500
FEES & SELF GENERATED	4550652	FEES-GEN BUS-OFI	10,200	20,700	18,000	(2,700)
FEES & SELF GENERATED	4550653	FEES-GEN BUS-OFI	750	450	—	(450)
FEES & SELF GENERATED	4550654	FEES-GEN BUS-OFI	1,500	2,400	3,000	600
FEES & SELF GENERATED	4550660	FEES-GEN BUS-OFI	—	300	600	300
FEES & SELF GENERATED	4550661	FEES-GEN BUS-OFI	—	150	—	(150)
FEES & SELF GENERATED	4550664	FEES-GEN BUS-OFI	—	1,650	1,750	100
FEES & SELF GENERATED	4550676	FEES-OTHER-OFI	1,783,450	1,697,500	1,612,500	(85,000)
FEES & SELF GENERATED	4550677	FEES-OTHER-OFI	392,250	388,250	384,500	(3,750)
FEES & SELF GENERATED	4550678	FEES-OTHER-OFI	10,880,460	11,424,480	11,995,680	571,200
FEES & SELF GENERATED	4550679	FEES-OTHER-OFI	561,600	600,900	643,050	42,150
FEES & SELF GENERATED	4550680	FEES-OTHER-OFI	2,816,474	2,760,145	2,704,942	(55,203)
FEES & SELF GENERATED	4550681	FEES-OTHER-OFI	604,800	635,100	666,900	31,800
FEES & SELF GENERATED	4710699	MR-INA	204,683	100,000	100,000	—
FEES & SELF GENERATED	4830016	PY CASH CARRYOVER	1,335,878	587,516	—	(587,516)
Total Collections/Income			\$28,597,817	\$29,397,767	\$30,537,969	\$1,140,202
TYPE						
Expenditures Source of Funding Form (BR-6)			11,123,215	15,654,424	16,653,474	999,050
Carryover			587,516	587,516	—	(587,516)
Income Not Available			204,683	100,000	100,000	—
Reversion			16,682,403	13,055,827	13,784,495	728,668
Total Expenditures, Transfers and Carry Forwards to Next FY			\$28,597,817	\$29,397,767	\$30,537,969	\$1,140,202
Difference in Total Collections/Income and Total Expenditures, Transfers and Carry Forwards to Next FY			\$0	\$0	\$0	—

Justification of Differences

Form 13474 — 255 - Revenue Collections

Question	Narrative Response
Explain any transfers to other appropriations.	At the end of each fiscal year, all unspent revenue collections, less any carry forward of revenue to the next fiscal year, revert to the state's general fund.
Break out INA by Source of Funding.	Income Not Available is received from various self-generated revenue sources such as: charges for copies, return of appropriations from previous fiscal years, securities settlements, etc.
Additional information or comments.	The Office of Financial Institutions is allowed to carry forward unspent revenue collections for banks/thrifts, Business and Industrial Development Corporations (BIDCO)/Certified Louisiana Capital Companies (CAPCO), and Louisiana Community Development Financial Institutions (LCDFI) to the next fiscal year. \$587,516 of bank/thrift fees was carried forward from FY 21/22 to FY 22/23.

SCHEDULE OF REQUESTED EXPENDITURES

2551 - Office of Financial Institutions

Travel

FY2023-2024 Request	Description
63,880	In-State and Out-of-State Conferences in subjects related to commercial lending, loan documentation, bankruptcies, fidelity and indemnity bond coverage, consumer credit, savings and loans, securities, real estate appraisal, etc.
17,802	In-State Travel by the Commissioner between Baton Rouge and various district offices as well as attendance at bank board meetings and other financial institution meetings. Out-of-State Travel by the Commissioner to attend meetings held by Conference of State Bank Supervisors (CSBS), Louisiana Finance Association (LFA), National Association of State Credit Union Supervisors (NASCUS), Louisiana Bankers Association (LBA), etc.
288,310	In-State Travel for routine administrative tasks and legislative matters; travel between Administrative Office and the District Offices; travel incurred to perform examinations of banks, thrifts, credit unions, finance companies, BIDCO/CAPCO, and any other state regulated financial entities. Training in subjects related to commercial lending, loan documentation, bankruptcies, fidelity and indemnity bond coverage, consumer credit, savings and loans, securities, real estate appraisal, and OFI's Annual Meeting. Out-of-State Travel to attend meetings held by the Federal Reserve Bank (FRB), Conference of State Bank Supervisors (CSBS), etc. by Deputy Commissioner and Chief Examiners; examinations conducted at out-of-state licensed lenders and banks by compliance examiners. Compliance examiners, review examiners, and other examiner-related positions attendance at training schools sponsored by Federal Deposit Insurance Corporation (FDIC), Conference of State Bank Supervisors (CSBS), Federal Reserve Bank (FRB), National Association of Consumer Credit Administrators (NACCA), etc.
\$369,992	Total Travel

Operating Services

FY2023-2024 Request	Description
2,764	Advertising of judicial interest and vacant positions in all major city newspapers in Baton Rouge, New Orleans, Lafayette, Alexandria, Lake Charles, Monroe, New Orleans, Shreveport, etc.
1,228	Banking fees for JP Morgan Chase ACH Services.
440,899	Building Leases for Five (5) Buildings: Administrative/Baton Rouge District Office; Alexandria District Office; Lafayette District Office; Monroe District Office; and Shreveport District Office.
24,371	Cell phone charges for the Commissioner, Deputy Commissioner, and Essential Personnel.

Operating Services *(continued)*

FY2023-2024 Request	Description
4,022	Fees for Drug Testing of Employees and Licenses; Pre-Employment Fees for Employees, such as credit reports, fingerprint cards, notary fee, etc.; Information for Investigations; Reports for Securities Division Performance Indicators; etc.
2,395	Janitorial Service for Monroe District Office
17,860	Maintenance and repairs for 11 state vehicles used for conducting examinations of regulated entities and office pool cars.
3,890	Maintenance/Repairs for Fax Machines, Shredders, & Other Miscellaneous Repairs and Technical Maintenance for Imaging System Software.
19,542	Postage/Freight for large volume of correspondence, mailing applications, licenses, renewal notices, and requests from the non-depository and securities divisions. Certificates of Authority are mailed to consumer credit licensees; including licensed lenders; pawnbrokers; residential mortgage lenders, brokers, and originators; and other consumer-related entities licensed by this office
1,024	Printing of Business cards, etc.
170,673	Professional Membership Dues to organizations on behalf of OFI and its employees.
17,266	Rental of Copiers, Multi-Function Devices, etc.
749	Shredding Services
81,816	Subscriptions used by the Office of Financial Institutions (OFI) to enhance employees' knowledge on OFI related topics.
7,401	Utilities for Gas, Electricity, Sanitation and Environmental Fees for Alexandria District Office. Gas, Electricity, Water, Sewage, and Garbage Fees for Monroe District Office. Electricity for Administrative Office/Baton Rouge District Office for air conditioning/heat after hours and/or holidays.
\$795,900	Total Operating Services

Supplies

FY2023-2024 Request	Description
297	First Aid Kit Supplies
29,892	Fuel, Oil, Lubricants, Batteries, Hoses, Belts, Starters, Spark Plugs, Points, Alternators, Windshield Wipers, etc. for eleven (11) state vehicles.
20,178	Office Supplies such as pens, pencils, copier paper, file folders, note pads, paper clips, binder clips, labels, etc.
26,002	Other supplies such as chairs, bookcases, tables, tile cabinets, storage boxes, shredder bags, calculators, chair mats, library reference materials, fax machine developer and toner; electric staplers, etc.

Supplies *(continued)*

FY2023-2024 Request	Description
2,354	Paper Towels, Cleaning Supplies, etc.
35,481	Toner Cartridges, Hard Drives, Cables, Computer Carts, Mice, Mice Pads, Notebook Batteries, Carrying Cases, Surge Protectors, Software, etc.
\$114,204	Total Supplies

Professional Services

FY2023-2024 Request	Means of Financing	Description
51,185	Fees & Self-Generated	
\$51,185		Legal services provided to this office for human resource related matters and issues that are outside the expertise of existing Legal Staff and that fall outside the purvue of the Attorney General's Office or Office of Risk Management.
5,119	Fees & Self-Generated	
\$5,119		Provide presentations on topics related to banking, thrifts, and other regulatory areas at OFI's Annual Meeting and any other professional services deemed necessary.
\$56,304	Total Professional Services	

Interagency Transfers

FY2023-2024 Request	Means of Financing	Receiving Agency	Description
1,615	Fees & Self-Generated		
\$1,615		DOA-OFFICE OF ST PROCUREMENT	Estimated proportional utilization of the Office of State Procurement (OSP) Ancillary Services such as purchasing, contractual review and RFPs.
1,338,225	Fees & Self-Generated		
\$1,338,225		DOA-OFFICE OF TECHNOLOGY SVCS	Information Technology Consolidation which includes telecommunication charges, mailings/postage, and printing services.

Interagency Transfers (continued)

FY2023-2024 Request	Means of Financing	Receiving Agency	Description
161,952	Fees & Self-Generated		
\$161,952		OFFICE OF RISK MANAGEMENT	Insurance through the Office of Risk Management
8,970	Fees & Self-Generated		
\$8,970		OFFICE OF STATE POLICE	Investigatory Fees for background checks for new employees, bank board members, credit union board members, pawnbrokers, repossession agents and apprentices, loan brokers, etc.
4,720	Fees & Self-Generated		
\$4,720		CS-DIV OF ADMINISTRATIVE LAW	Judicial and Clerical services provided by the Division of Administrative Law (DAL).
32,618	Fees & Self-Generated		
\$32,618		LEGISLATIVE AUDITOR	Louisiana Legislative Auditor (LLA) audit allocation based on cost of audit services provided to OFI.
990	Fees & Self-Generated		
\$990		DIVISION OF ADMINISTRATION	Office of State Register - Advertising & Subscription
5,273	Fees & Self-Generated		
\$5,273		UNIFORM PAYROLL OFFICE	Office of State Uniform Payroll (OSUP) charges
44,228	Fees & Self-Generated		
\$44,228		STATE CIVIL SERVICE	Pro-rata share of the cost of operations of Louisiana State Civil Service (SCS), which includes Comprehensive Public Training Program (CPTP).
97,432	Fees & Self-Generated		
\$97,432		DIVISION OF ADMINISTRATION	Rent in State Owned Buildings made payable to the Office of Facilities Corporation for OFI's New Orleans District Office located in Benson Towers in New Orleans, LA
8,900	Fees & Self-Generated		
\$8,900		LA PROPERTY ASSISTANCE AGENCY	Supplies and Acquisitions purchased from the Louisiana Property Assistance Agency (LPAA).
\$1,704,923	Total Interagency Transfers		

Acquisitions

FY2023-2024 Request	Means of Financing	New/Replacement	Acquisition Type	Quantity	Description
210,000	Fees & Self-Generated				
\$210,000		Replace	AUTOMOTIVE	6	Six (6) Replacement Vehicles estimated at \$35,000 each.
\$210,000	Total Acquisitions				



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Continuation Budget Adjustments

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	Existing Operating Budget as of 10/01/2022	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2023-2024 Requested Continuation Level
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	15,654,424	(144,384)	30,941	882,893	—	229,600	16,653,474
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$15,654,424	\$(144,384)	\$30,941	\$882,893	—	\$229,600	\$16,653,474

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2022	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2023-2024 Requested Continuation Level
Fees & Self-Generated	15,654,424	(144,384)	30,941	882,893	—	229,600	16,653,474
Total:	\$15,654,424	\$(144,384)	\$30,941	\$882,893	—	\$229,600	\$16,653,474

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2022	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2023-2024 Requested Continuation Level
Total:	—	—	—	—	—	—	—

Expenditures and Positions

Description	Existing Operating Budget as of 10/01/2022	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2023-2024 Requested Continuation Level
Salaries	7,651,457	—	—	750,061	—	—	8,401,518
Other Compensation	57,328	—	—	—	—	—	57,328
Related Benefits	4,810,473	—	—	132,832	—	—	4,943,305
TOTAL PERSONAL SERVICES	\$12,519,258	—	—	\$882,893	—	—	\$13,402,151
Travel	361,424	—	8,568	—	—	—	369,992
Operating Services	777,475	—	18,425	—	—	—	795,900
Supplies	111,560	—	2,644	—	—	—	114,204
TOTAL OPERATING EXPENSES	\$1,250,459	—	\$29,637	—	—	—	\$1,280,096
PROFESSIONAL SERVICES	\$55,000	—	\$1,304	—	—	—	\$56,304
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,724,707	(39,384)	—	—	—	19,600	1,704,923
TOTAL OTHER CHARGES	\$1,724,707	\$(39,384)	—	—	—	\$19,600	\$1,704,923
Acquisitions	105,000	(105,000)	—	—	—	210,000	210,000
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$105,000	\$(105,000)	—	—	—	\$210,000	\$210,000
TOTAL EXPENDITURES	\$15,654,424	\$(144,384)	\$30,941	\$882,893	—	\$229,600	\$16,653,474
Classified	105	—	—	—	—	—	105
Unclassified	1	—	—	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	—	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—	—	—

CONTINUATION BUDGET ADJUSTMENTS - SUMMARIZED

Form 11658 — Non-Recurring Acquisitions and Major Repairs

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(105,000)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(105,000)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	(105,000)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$(105,000)
TOTAL EXPENDITURES	\$(105,000)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 13362 — 255 - IT Computer Acquisitions in IAT

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(28,814)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(28,814)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	(28,814)
TOTAL OTHER CHARGES	\$(28,814)
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(28,814)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 13509 — 255 - Louisiana Legislative Auditor

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(10,570)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(10,570)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	(10,570)
TOTAL OTHER CHARGES	\$(10,570)
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(10,570)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 11659 — Standard Inflation Adjustment

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	30,941
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$30,941

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	8,568
Operating Services	18,425
Supplies	2,644
TOTAL OPERATING EXPENSES	\$29,637
PROFESSIONAL SERVICES	\$1,304
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$30,941

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 13357 — 255 - Personal Services

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	882,893
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$882,893

Expenditures

	Amount
Salaries	750,061
Other Compensation	—
Related Benefits	132,832
TOTAL PERSONAL SERVICES	\$882,893
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$882,893

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 14479 — 255 - Six (6) Replacement Vehicles

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	210,000
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$210,000

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	210,000
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$210,000
TOTAL EXPENDITURES	\$210,000

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Form 11622 — 255 - IT Request in IAT - 14 Notebook Computers

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	19,600
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$19,600

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	19,600
TOTAL OTHER CHARGES	\$19,600
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$19,600

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing

Description	Existing Operating Budget as of 10/01/2022	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2023-2024 Requested Continuation Level
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEEES & SELF-GENERATED	15,654,424	(144,384)	30,941	882,893	—	229,600	16,653,474
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$15,654,424	\$(144,384)	\$30,941	\$882,893	—	\$229,600	\$16,653,474

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2022	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2023-2024 Requested Continuation Level
Fees & Self-Generated	15,654,424	(144,384)	30,941	882,893	—	229,600	16,653,474
Total:	\$15,654,424	\$(144,384)	\$30,941	\$882,893	—	\$229,600	\$16,653,474

Expenditures and Positions

Description	Existing Operating Budget as of 10/01/2022	Non-Recurring	Inflation	Compulsory	Workload	Other	FY2023-2024 Requested Continuation Level
Salaries	7,651,457	—	—	750,061	—	—	8,401,518
Other Compensation	57,328	—	—	—	—	—	57,328
Related Benefits	4,810,473	—	—	132,832	—	—	4,943,305
TOTAL PERSONAL SERVICES	\$12,519,258	—	—	\$882,893	—	—	\$13,402,151
Travel	361,424	—	8,568	—	—	—	369,992
Operating Services	777,475	—	18,425	—	—	—	795,900
Supplies	111,560	—	2,644	—	—	—	114,204
TOTAL OPERATING EXPENSES	\$1,250,459	—	\$29,637	—	—	—	\$1,280,096
PROFESSIONAL SERVICES	\$55,000	—	\$1,304	—	—	—	\$56,304
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	1,724,707	(39,384)	—	—	—	19,600	1,704,923
TOTAL OTHER CHARGES	\$1,724,707	\$(39,384)	—	—	—	\$19,600	\$1,704,923
Acquisitions	105,000	(105,000)	—	—	—	210,000	210,000
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$105,000	\$(105,000)	—	—	—	\$210,000	\$210,000
TOTAL EXPENDITURES	\$15,654,424	\$(144,384)	\$30,941	\$882,893	—	\$229,600	\$16,653,474
Classified	105	—	—	—	—	—	105
Unclassified	1	—	—	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	—	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—	—	—

CONTINUATION BUDGET ADJUSTMENTS - BY PROGRAM

Form 11658 — Non-Recurring Acquisitions and Major Repairs

2551 - Office of Financial Institutions

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(105,000)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(105,000)

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	(105,000)
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$(105,000)
TOTAL EXPENDITURES	\$(105,000)

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(105,000)
Total:	\$(105,000)

Statutory Dedications

	Amount
Total:	—

**Supporting Detail
Means of Financing**

Description	Amount
Fees & Self-Generated	(105,000)
Total:	\$(105,000)

Acquisitions

Commitment item	Name	Amount
5710250	ACQ-AUTOMOBILES	(105,000)
Total:		\$(105,000)

Form 11659 — Standard Inflation Adjustment

2551 - Office of Financial Institutions

Means of Financing

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	30,941
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$30,941

Expenditures

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	8,568
Operating Services	18,425
Supplies	2,644
TOTAL OPERATING EXPENSES	\$29,637
PROFESSIONAL SERVICES	\$1,304
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$30,941

Positions

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	30,941
Total:	\$30,941

Statutory Dedications

	Amount
Total:	—

Supporting Detail

Means of Financing

Description	Amount
Fees & Self-Generated	30,941
Total:	\$30,941

Travel

Commitment item	Name	Amount
5210010	IN-STATE TRAVEL-ADM	24
5210015	IN-STATE TRAVEL-CONF	121
5210020	IN-STATE TRAV-FIELD	3,713
5210050	OUT-OF-STATE TRV-ADM	388
5210055	OUT-OF-STTRV-CONF	1,359
5210060	OUT-OF-STTRV-FIELD	2,963
Total:		\$8,568

Operating Services

Commitment item	Name	Amount
5310001	SERV-ADVERTISING	64
5310004	SERV-BANK FEES	28
5310005	SERV-PRINTING	24
5310010	SERV-DUES & OTHER	5,846
5310017	SERV-DOC DESTRUCTION	17
5310400	SERV-MISC	93
5330008	MAINT-EQUIPMENT	24
5330012	MAINT-JANITORIAL	55
5330017	MAINT-DATA SOFTWARE	66
5330018	MAINT-AUTO REPAIRS	413
5340010	RENT-REAL ESTATE	10,208
5340020	RENT-EQUIPMENT	399
5350004	UTIL-TELEPHONE SERV	564
5350006	UTIL-MAIL/DEL/POST	453
5350009	UTIL-GAS	20
5350010	UTIL-ELECTRICITY	126

Operating Services (continued)

Commitment item	Name	Amount
5350011	UTIL-WATER	7
5350400	UTIL-OTHER	18
Total:		\$18,425

Supplies

Commitment item	Name	Amount
5410001	SUP-OFFICE SUPPLIES	468
5410006	SUP-COMPUTER	703
5410008	SUP-MEDICAL	7
5410015	SUP-AUTO	656
5410017	SUP-JANITORIAL	54
5410031	SUP-REP/MNT SUP-AUTO	35
5410035	SUP-SOFTWARE	119
5410400	SUP-OTHER	602
Total:		\$2,644

Professional Services

Commitment item	Name	Amount
5510005	PROF SERV-LEGAL	1,185
5510400	PROF SERV-OTHER	119
Total:		\$1,304

Form 13362 — 255 - IT Computer Acquisitions in IAT

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(28,814)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(28,814)

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	(28,814)
TOTAL OTHER CHARGES	\$(28,814)
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(28,814)

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(28,814)
Total:	\$(28,814)

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This adjustment is to non-recur one-time funding received to purchase replacement equipment in FY 22/23: (30) Printers in the amount of \$15,750 and (8) Notebook Computers in the amount of \$13,064 budgeted in IAT - Office of Technology Services in FY 22/23.
Cite performance indicators for the adjustment.	It is related to the general performance information cost of operations and percentage of revenue expended.
What would the impact be if this is not funded?	If this adjustment is not funded, OFI would have excess budget authority in IAT.
Is revenue a fixed amount or can it be adjusted?	The requested revenue can be adjusted based upon the recommended level of the expenditure.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, it can be adjusted and is not restricted to certain line items.
Additional information or comments.	

Form 13509 — 255 - Louisiana Legislative Auditor

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	(10,570)
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$(10,570)

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	(10,570)
TOTAL OTHER CHARGES	\$(10,570)
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$(10,570)

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	(10,570)
Total:	\$(10,570)

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This request is to non-recur \$10,570 of budget authority not needed to pay FY 2023-2024 cost of audit services to the Louisiana Legislative Auditor (LLA). In FY 2022-2023 OFI is budgeted \$43,188 for audit services and only needs \$32,618 for audit services in FY 2023-2024; therefore, the difference of \$32,618 less \$43,188 = (\$10,570)
Cite performance indicators for the adjustment.	It is related to the general performance information cost of operations and percentage of revenue expended.
What would the impact be if this is not funded?	OFI would have more budget authority than needed to pay for Louisiana Legislative Auditor's cost of audit services for FY 2023-2024.
Is revenue a fixed amount or can it be adjusted?	The requested revenue can be adjusted based upon the recommended level of the expenditure.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, it can be adjusted and is not restricted to certain line items.
Additional information or comments.	See attached September 30, 2022, letter received from the Louisiana Legislative Auditor for the cost of audit services for FY 2023-2024.



MICHAEL J. "MIKE" WAGUESPACK, CPA
LOUISIANA LEGISLATIVE AUDITOR

September 30, 2022

Mr. Stanley M. Dameron
Commissioner
Office of Financial Institutions
Post Office Box 94095
Baton Rouge, Louisiana 70804-9095

Dear Commissioner Dameron,

Act 198 of the 2022 Regular Legislative Session authorized the Legislative Auditor to allocate and collect from each auditee included in the state's Annual Comprehensive Financial Report such amounts as may be reasonably necessary to compensate the Legislative Auditor for services rendered.

I understand your agency is currently preparing its budget for the 2023-2024 fiscal year. I ask that you include \$32,618 for the 2023-2024 regular allocation of audit costs in your budget.

Your agency's audit allocation is based on the cost of audit services provided to you. If additional audit services are requested or required, those services may result in additional audit costs charged to your agency. Those costs would be discussed with you prior to any additional allocation.

Inquiries concerning this allocation should be directed to Mr. Ernest F. Summerville, Jr., CPA, First Assistant Legislative Auditor, at (225) 339-3839. I appreciate the many courtesies extended my staff over the years and look forward to working with you in the future.

Sincerely,

A handwritten signature in blue ink, appearing to read "Mike Waguespack", is written over a light blue circular stamp.

Michael J. Waguespack, CPA
Louisiana Legislative Auditor

MJW:EFS:tmp
Allocation Letter 2024-ID 3426

Form 13357 — 255 - Personal Services

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	882,893
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$882,893

EXPENDITURES

	Amount
Salaries	750,061
Other Compensation	—
Related Benefits	132,832
TOTAL PERSONAL SERVICES	\$882,893
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$882,893

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	882,893
Total:	\$882,893

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This request is needed to adjust current existing operating budget to match expenditures for salaries and related benefits per OFI's Payroll Projection report (PEP) as of September 18, 2022.
Cite performance indicators for the adjustment.	It is related to the general performance information cost of operations and percentage of revenue expended.
What would the impact be if this is not funded?	If this adjustment is not funded, it would force OFI to hold vacancies or not fund these salary and related benefit increases to existing personnel.
Is revenue a fixed amount or can it be adjusted?	The requested revenue can be adjusted based upon the recommended level of the expenditure.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, it can be adjusted and is not restricted to certain line items.
Additional information or comments.	

Form 14479 — 255 - Six (6) Replacement Vehicles

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	210,000
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$210,000

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	—
TOTAL OTHER CHARGES	—
Acquisitions	210,000
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	\$210,000
TOTAL EXPENDITURES	\$210,000

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	210,000
Total:	\$210,000

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this request.	This request is for a total of \$210,000 to replace six (6) vehicles in OFI's fleet. State travel is inherent to OFI's mission. The six (6) vehicles requesting to be replaced are some of the oldest vehicles in OFI's fleet and have the highest mileage. As vehicles age and increase in mileage from normal use, their reliability decreases. OFI has a responsibility to ensure that state vehicles used by employees for business travel are reliable and safe. These vehicles are used by teams of examiners with several examiners commuting together while traveling to assignments. When agency vehicles break down while traveling to assignments, productive man-hours are lost. OFI believes that replacing a portion of its aging fleet helps ensure that OFI employees are safe while traveling to perform examinations and conduct other state business and decreases the loss of productive man-hours due to breakdowns. Replacement costs will be paid from self-generated funds collected from depository institutions regulated by OFI. Twice a year, these institutions are billed the actual costs associated with their regulation by OFI. In addition to providing a safe working environment for OFI employees, it is important for OFI to avoid significant fluctuations in regulatory costs. Replacing vehicles on a rotational basis as they age helps OFI to prevent significant fluctuations in regulatory costs. OFI was not able to replace any vehicles in FY 19/20 or FY 20/21 due to vehicle inventory shortage; therefore, OFI is requesting to replace six (6) vehicles in FY 23/24 to get back on a replacement rotation of three (3) vehicles each fiscal year.
Cite performance indicators for the adjustment.	This request is to provide vehicles for employees to use on a daily basis to conduct field examinations. It is related to the general performance information cost of operations and percentage of revenue expended.
What would the impact be if this is not funded?	Statewide travel is an inherent part of OFI's mission. OFI has a responsibility to provide safe working conditions for employees. Over the period of years that these replacement vehicles will be utilized, it is less costly for the agency to provide a state vehicle to transport several examiners together in one vehicle than to reimburse individual employee mileage for field examiners. It is important to replace a portion of OFI's eleven (11) fleet vehicles periodically so that the replacement cost does not create a significant fluctuation in the depository assessments.
Is revenue a fixed amount or can it be adjusted?	The requested revenue can be adjusted based upon the recommended level of the expenditure.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, it can be adjusted and is not restricted to certain line items.
Additional information or comments.	

Form 11622 — 255 - IT Request in IAT - 14 Notebook Computers

2551 - Office of Financial Institutions

MEANS OF FINANCING

	Amount
STATE GENERAL FUND (Direct)	—
STATE GENERAL FUND BY:	—
INTERAGENCY TRANSFERS	—
FEES & SELF-GENERATED	19,600
STATUTORY DEDICATIONS	—
FEDERAL FUNDS	—
TOTAL MEANS OF FINANCING	\$19,600

EXPENDITURES

	Amount
Salaries	—
Other Compensation	—
Related Benefits	—
TOTAL PERSONAL SERVICES	—
Travel	—
Operating Services	—
Supplies	—
TOTAL OPERATING EXPENSES	—
PROFESSIONAL SERVICES	—
Other Charges	—
Debt Service	—
Interagency Transfers	19,600
TOTAL OTHER CHARGES	\$19,600
Acquisitions	—
Major Repairs	—
TOTAL ACQ. & MAJOR REPAIRS	—
TOTAL EXPENDITURES	\$19,600

AUTHORIZED POSITIONS

	FTE
Classified	—
Unclassified	—
TOTAL AUTHORIZED T.O. POSITIONS	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—
TOTAL NON-T.O. FTE POSITIONS	—

Fees and Self-Generated

	Amount
Fees & Self-Generated	19,600
Total:	\$19,600

Statutory Dedications

	Amount
Total:	—

Question	Narrative Response
Explain the need for this IT request.	OFI is requesting \$19,600 for the purchase of fourteen (14) Dell Latitude notebook computers for the new hires filling vacant positions.
Provide details related to this request.	OFI is requesting the purchase of seven (7) Dell Latitude notebook computers for the Depository Division and seven (7) Dell Latitude notebook computers for the Non-Depository Division for new hires filling vacant positions. Each Dell Latitude notebook computer is estimated at a cost of \$1,400.00 each x 14 Dell Latitude notebook computers = \$19,600.00
Cite performance indicators for the adjustment.	This request is to provide basic computer equipment for compliance examiners to use on a daily basis to perform their job duties. It is related to the general performance information cost of operations and percentage of revenue expended.
What would the impact be if this is not funded?	Failure to fund the purchase the Dell Latitude notebook computers would mean OFI would not have the needed equipment for its new employees.
Is revenue a fixed amount or can it be adjusted?	The requested revenue can be adjusted based upon the recommended level of the expenditure.
Is the expenditure of these revenues restricted?	Since this agency is self-generated and revenue is assessed based on expenditures, it can be adjusted and is not restricted to certain line items.



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Technical and Other Adjustments

AGENCY SUMMARY STATEMENT

Total Agency

	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in this Adjustment Package	FY2023-2024 Requested Realignment
Means of Financing				
STATE GENERAL FUND (Direct)	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—
FEES & SELF-GENERATED	15,654,424	979,450	—	16,633,874
STATUTORY DEDICATIONS	—	—	—	—
FEDERAL FUNDS	—	—	—	—
TOTAL MEANS OF FINANCING	\$15,654,424	\$979,450	—	\$16,633,874
Salaries	7,651,457	750,061	—	8,401,518
Other Compensation	57,328	—	—	57,328
Related Benefits	4,810,473	132,832	—	4,943,305
TOTAL PERSONAL SERVICES	\$12,519,258	\$882,893	—	\$13,402,151
Travel	361,424	8,568	—	369,992
Operating Services	777,475	18,425	—	795,900
Supplies	111,560	2,644	—	114,204
TOTAL OPERATING EXPENSES	\$1,250,459	\$29,637	—	\$1,280,096
PROFESSIONAL SERVICES	\$55,000	\$1,304	—	\$56,304
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	1,724,707	(19,784)	—	1,704,923
TOTAL OTHER CHARGES	\$1,724,707	\$(19,784)	—	\$1,704,923
Acquisitions	105,000	105,000	—	210,000
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$105,000	\$105,000	—	\$210,000
TOTAL EXPENDITURES	\$15,654,424	\$999,050	—	\$16,653,474
Classified	105	—	—	105
Unclassified	1	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—

PROGRAM BREAKOUT

Means of Financing	Requested in this Adjustment Package	2551 Office of Financial Institutions
STATE GENERAL FUND (Direct)	—	—
STATE GENERAL FUND BY:	—	—
INTERAGENCY TRANSFERS	—	—
FEES & SELF-GENERATED	—	—
STATUTORY DEDICATIONS	—	—
FEDERAL FUNDS	—	—
TOTAL MEANS OF FINANCING	—	—
Salaries	—	—
Other Compensation	—	—
Related Benefits	—	—
TOTAL SALARIES	—	—
Travel	—	—
Operating Services	—	—
Supplies	—	—
TOTAL OPERATING EXPENSES	—	—
PROFESSIONAL SERVICES	—	—
Other Charges	—	—
Debt Service	—	—
Interagency Transfers	—	—
TOTAL OTHER CHARGES	—	—
Acquisitions	—	—
Major Repairs	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	—
TOTAL EXPENDITURES & REQUEST	—	—
Classified	—	—
Unclassified	—	—
TOTAL AUTHORIZED T.O. POSITIONS	—	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in this Adjustment Package	FY2023-2024 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—
FEE & SELF-GENERATED	15,654,424	979,450	—	16,633,874
STATUTORY DEDICATIONS	—	—	—	—
FEDERAL FUNDS	—	—	—	—
TOTAL MEANS OF FINANCING	\$15,654,424	\$979,450	—	\$16,633,874
Salaries	7,651,457	750,061	—	8,401,518
Other Compensation	57,328	—	—	57,328
Related Benefits	4,810,473	132,832	—	4,943,305
TOTAL PERSONAL SERVICES	\$12,519,258	\$882,893	—	\$13,402,151
Travel	361,424	8,568	—	369,992
Operating Services	777,475	18,425	—	795,900
Supplies	111,560	2,644	—	114,204
TOTAL OPERATING EXPENSES	\$1,250,459	\$29,637	—	\$1,280,096
PROFESSIONAL SERVICES	\$55,000	\$1,304	—	\$56,304
Other Charges	—	—	—	—
Debt Service	—	—	—	—
Interagency Transfers	1,724,707	(19,784)	—	1,704,923
TOTAL OTHER CHARGES	\$1,724,707	\$(19,784)	—	\$1,704,923
Acquisitions	105,000	105,000	—	210,000
Major Repairs	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$105,000	\$105,000	—	\$210,000
TOTAL EXPENDITURES	\$15,654,424	\$999,050	—	\$16,653,474
Classified	105	—	—	105
Unclassified	1	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—

New or Expanded Requests

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing and Expenditures	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in Technical/Other Package	FY2023-2024 Requested New/Expanded	FY2023-2024 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	15,654,424	979,450	—	—	16,633,874
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$15,654,424	\$979,450	—	—	\$16,633,874
Salaries	7,651,457	750,061	—	—	8,401,518
Other Compensation	57,328	—	—	—	57,328
Related Benefits	4,810,473	132,832	—	—	4,943,305
TOTAL PERSONAL SERVICES	\$12,519,258	\$882,893	—	—	\$13,402,151
Travel	361,424	8,568	—	—	369,992
Operating Services	777,475	18,425	—	—	795,900
Supplies	111,560	2,644	—	—	114,204
TOTAL OPERATING EXPENSES	\$1,250,459	\$29,637	—	—	\$1,280,096
PROFESSIONAL SERVICES	\$55,000	\$1,304	—	—	\$56,304
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,724,707	(19,784)	—	—	1,704,923
TOTAL OTHER CHARGES	\$1,724,707	\$(19,784)	—	—	\$1,704,923
Acquisitions	105,000	105,000	—	—	210,000
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$105,000	\$105,000	—	—	\$210,000
TOTAL EXPENDITURES	\$15,654,424	\$999,050	—	—	\$16,653,474
Classified	105	—	—	—	105
Unclassified	1	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in Technical/Other Package	FY2023-2024 Requested New/Expanded	FY2023-2024 Requested Realignment
Fees & Self-Generated	15,654,424	979,450	—	—	16,633,874
Total:	\$15,654,424	\$979,450	—	—	\$16,633,874

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in Technical/Other Package	FY2023-2024 Requested New/Expanded	FY2023-2024 Requested Realignment
Total:	—	—	—	—	—

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing and Expenditures	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in Technical/Other Package	FY2023-2024 Requested New/Expanded	FY2023-2024 Requested Realignment
STATE GENERAL FUND (Direct)	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—
FEES & SELF-GENERATED	15,654,424	979,450	—	—	16,633,874
STATUTORY DEDICATIONS	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$15,654,424	\$979,450	—	—	\$16,633,874
Salaries	7,651,457	750,061	—	—	8,401,518
Other Compensation	57,328	—	—	—	57,328
Related Benefits	4,810,473	132,832	—	—	4,943,305
TOTAL PERSONAL SERVICES	\$12,519,258	\$882,893	—	—	\$13,402,151
Travel	361,424	8,568	—	—	369,992
Operating Services	777,475	18,425	—	—	795,900
Supplies	111,560	2,644	—	—	114,204
TOTAL OPERATING EXPENSES	\$1,250,459	\$29,637	—	—	\$1,280,096
PROFESSIONAL SERVICES	\$55,000	\$1,304	—	—	\$56,304
Other Charges	—	—	—	—	—
Debt Service	—	—	—	—	—
Interagency Transfers	1,724,707	(19,784)	—	—	1,704,923
TOTAL OTHER CHARGES	\$1,724,707	\$(19,784)	—	—	\$1,704,923
Acquisitions	105,000	105,000	—	—	210,000
Major Repairs	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	\$105,000	\$105,000	—	—	\$210,000
TOTAL EXPENDITURES	\$15,654,424	\$999,050	—	—	\$16,653,474
Classified	105	—	—	—	105
Unclassified	1	—	—	—	1
TOTAL AUTHORIZED T.O. POSITIONS	106	—	—	—	106
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—

Fees and Self-Generated

Description	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in Technical/Other Package	FY2023-2024 Requested New/Expanded	FY2023-2024 Requested Realignment
Fees & Self-Generated	15,654,424	979,450	—	—	16,633,874
Total:	\$15,654,424	\$979,450	—	—	\$16,633,874

Statutory Dedications

Description	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustment	FY2023-2024 Requested in Technical/Other Package	FY2023-2024 Requested New/Expanded	FY2023-2024 Requested Realignment
Total:	—	—	—	—	—



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Total Request Summary

AGENCY SUMMARY STATEMENT

Total Agency

Means of Financing

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustments	FY2023-2024 Requested in Technical/Other Adjustments	FY2023-2024 Requested New or Expanded Adjustments	FY2023-2024 Total Request	Over/Under EOB
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEES & SELF-GENERATED	11,123,215	15,654,424	999,050	—	—	16,653,474	999,050
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$11,123,215	\$15,654,424	\$999,050	—	—	\$16,653,474	\$999,050

Fees and Self-Generated

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustments	FY2023-2024 Requested in Technical/Other Adjustments	FY2023-2024 Requested New or Expanded Adjustments	FY2023-2024 Total Request	Over/Under EOB
Fees & Self-Generated	11,123,215	15,654,424	999,050	—	—	16,653,474	999,050
Total:	\$11,123,215	\$15,654,424	\$999,050	—	—	\$16,653,474	\$999,050

Statutory Dedications

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustments	FY2023-2024 Requested in Technical/Other Adjustments	FY2023-2024 Requested New or Expanded Adjustments	FY2023-2024 Total Request	Over/Under EOB
Total:	—	—	—	—	—	—	—

Expenditures and Positions

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustments	FY2023-2024 Requested in Technical/Other Adjustments	FY2023-2024 Requested New or Expanded Adjustments	FY2023-2024 Total Request	Over/Under EOB
Salaries	5,795,290	7,651,457	750,061	—	—	8,401,518	750,061
Other Compensation	57,788	57,328	—	—	—	57,328	—
Related Benefits	3,388,161	4,810,473	132,832	—	—	4,943,305	132,832
TOTAL PERSONAL SERVICES	\$9,241,239	\$12,519,258	\$882,893	—	—	\$13,402,151	\$882,893
Travel	49,141	361,424	8,568	—	—	369,992	8,568
Operating Services	745,373	777,475	18,425	—	—	795,900	18,425
Supplies	48,140	111,560	2,644	—	—	114,204	2,644
TOTAL OPERATING EXPENSES	\$842,655	\$1,250,459	\$29,637	—	—	\$1,280,096	\$29,637
PROFESSIONAL SERVICES	\$45,443	\$55,000	\$1,304	—	—	\$56,304	\$1,304
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	993,878	1,724,707	(19,784)	—	—	1,704,923	(19,784)
TOTAL OTHER CHARGES	\$993,878	\$1,724,707	\$(19,784)	—	—	\$1,704,923	\$(19,784)
Acquisitions	—	105,000	105,000	—	—	210,000	105,000
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$105,000	\$105,000	—	—	\$210,000	\$105,000
TOTAL EXPENDITURES	\$11,123,215	\$15,654,424	\$999,050	—	—	\$16,653,474	\$999,050
Classified	110	105	—	—	—	105	—
Unclassified	1	1	—	—	—	1	—
TOTAL AUTHORIZED T.O. POSITIONS	111	106	—	—	—	106	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—	—	—

PROGRAM SUMMARY STATEMENT

2551 - Office of Financial Institutions

Means of Financing

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustments	FY2023-2024 Requested in Technical/Other Adjustments	FY2023-2024 Requested New or Expanded Adjustments	FY2023-2024 Total Request	Over/Under EOB
STATE GENERAL FUND (Direct)	—	—	—	—	—	—	—
STATE GENERAL FUND BY:	—	—	—	—	—	—	—
INTERAGENCY TRANSFERS	—	—	—	—	—	—	—
FEEES & SELF-GENERATED	11,123,215	15,654,424	999,050	—	—	16,653,474	999,050
STATUTORY DEDICATIONS	—	—	—	—	—	—	—
FEDERAL FUNDS	—	—	—	—	—	—	—
TOTAL MEANS OF FINANCING	\$11,123,215	\$15,654,424	\$999,050	—	—	\$16,653,474	\$999,050

Fees and Self-Generated

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustments	FY2023-2024 Requested in Technical/Other Adjustments	FY2023-2024 Requested New or Expanded Adjustments	FY2023-2024 Total Request	Over/Under EOB
Fees & Self-Generated	11,123,215	15,654,424	999,050	—	—	16,653,474	999,050
Total:	\$11,123,215	\$15,654,424	\$999,050	—	—	\$16,653,474	\$999,050

Expenditures and Positions

Description	FY2021-2022 Actuals	Existing Operating Budget as of 10/01/2022	FY2023-2024 Requested Continuation Adjustments	FY2023-2024 Requested in Technical/Other Adjustments	FY2023-2024 Requested New or Expanded Adjustments	FY2023-2024 Total Request	Over/Under EOB
Salaries	5,795,290	7,651,457	750,061	—	—	8,401,518	750,061
Other Compensation	57,788	57,328	—	—	—	57,328	—
Related Benefits	3,388,161	4,810,473	132,832	—	—	4,943,305	132,832
TOTAL PERSONAL SERVICES	\$9,241,239	\$12,519,258	\$882,893	—	—	\$13,402,151	\$882,893
Travel	49,141	361,424	8,568	—	—	369,992	8,568
Operating Services	745,373	777,475	18,425	—	—	795,900	18,425
Supplies	48,140	111,560	2,644	—	—	114,204	2,644
TOTAL OPERATING EXPENSES	\$842,655	\$1,250,459	\$29,637	—	—	\$1,280,096	\$29,637
PROFESSIONAL SERVICES	\$45,443	\$55,000	\$1,304	—	—	\$56,304	\$1,304
Other Charges	—	—	—	—	—	—	—
Debt Service	—	—	—	—	—	—	—
Interagency Transfers	993,878	1,724,707	(19,784)	—	—	1,704,923	(19,784)
TOTAL OTHER CHARGES	\$993,878	\$1,724,707	\$(19,784)	—	—	\$1,704,923	\$(19,784)
Acquisitions	—	105,000	105,000	—	—	210,000	105,000
Major Repairs	—	—	—	—	—	—	—
TOTAL ACQ. & MAJOR REPAIRS	—	\$105,000	\$105,000	—	—	\$210,000	\$105,000
TOTAL EXPENDITURES	\$11,123,215	\$15,654,424	\$999,050	—	—	\$16,653,474	\$999,050
Classified	110	105	—	—	—	105	—
Unclassified	1	1	—	—	—	1	—
TOTAL AUTHORIZED T.O. POSITIONS	111	106	—	—	—	106	—
TOTAL AUTHORIZED OTHER CHARGES POSITIONS	—	—	—	—	—	—	—
TOTAL NON-T.O. FTE POSITIONS	—	—	—	—	—	—	—



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Addenda



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